

**STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS**

1020 South Spring Street, P.O. Box 4187  
Springfield, Illinois 62708  
217/782-4141 TTY:217/782-1518  
Fax: 217/782-5959

James R. Thompson Center  
100 West Randolph, Suite 14-100  
Chicago, Illinois 60601  
312/814-6440 TTY: 312/814-6431  
Fax: 312/814-6485



**BOARD MEMBERS**  
William M. McGuffage, Chairman  
Jesse R. Smart, Vice Chairman  
Harold D. Byers  
Betty J. Coffrin  
Ernest L. Gowen  
Judith C. Rice  
Bryan A. Schneider  
Charles W. Scholz

**EXECUTIVE DIRECTOR**  
Rupert T. Borgsmiller

**AGENDA  
STATE BOARD OF ELECTIONS  
BOARD MEETING  
Wednesday, February 22, 2012  
9:00 a.m.**

James R. Thompson Center – Room 9-040  
Chicago, Illinois  
and via videoconference  
1020 South Spring Street  
Springfield, Illinois

Call State Board of Elections to order.

1. Approval of the minutes from the January 24 and Feb 2 meetings. (pgs.1-4)
2. Report of the General Counsel
  - a. Campaign Disclosure;  
Motion for reconsideration
    - 1) *SBE v. Friends of Stocks-Smith, 23142*; (pgs.5-8)Motion for settlement offer
    - 2) *Citizens for Spitz, 18183*; (pgs.9-10)
    - 3) *613PAC, 10AG076*; (pg.11)Appeals of campaign disclosure fines – new appeals – hearing officer recommendation appeals be denied
    - 4) *SBE v. Chicago Assn. of Realtors PAC, 213*, 11AJ015; (pgs.12-14)
    - 5) *SBE v. Ford County Democrats Central committee, 318*, 11SQ004; (pgs.15-17)
    - 6) *SBE v. Massac County Democratic Central Committee, 348*, 11SQ005; (pgs.18-21)
    - 7) *SBE v. Illinois Hospital Assn. PAC (IHA PAC), 675*, 11AJ022; (pgs.22-43)
    - 8) *SBE v. PCI Political Account, 12467*, 11AS017; (pgs.44-46)
    - 9) *SBE v. Illinois Roofing Contractors PAC, 14286*, 11SQ041; (pgs.47-56)
    - 10) *SBE v. Kane County Republican Advisory Council, 18202*, 11AS026; (pgs.57-60)
    - 11) *SBE v. Friends of BBCHS in Support of Building Referenda, 19859*, 11SQ149; (pgs.61-63)
    - 12) *SBE v. Local 705 Teamsters Political Action Committee B, 21038*, 11AJ062; (pgs.64-66)
    - 13) *SBE v. Committee to Elect Kevin W. Horan, 23658*, 11AJ014; (pgs.67-71)Appeals of campaign disclosure fines – new appeals – hearing officer recommendation appeals be granted and denied
    - 14) *SBE v. Kane County Democratic Central Committee, 711*, 11MA091; (pgs.72-77)

Failure to comply with a board order

15) *SBE v. Save5Acres for Barrington Hills Trustees*, 23119, 11MA036; (pg.78)

Other campaign disclosure items

16) Random audits of political committees; (pg.79)

17) Itemization of all receipts & expenditures; (pg.80)

18) Payment of civil penalties – informational; (pgs.81-82)

Motion to dismiss complaint prior to public hearing

19) *Sherman v. Indian Trails, et al.*, 11CD019 & 11CD023; (83-87)

Complaints following closed preliminary hearing (separate packet)

20) *Wasowicz v. Peoples Voice Party*, 11CD022; (pgs.1-26)

21) *Zurek v. Citizens to Elect Terry Gallagher*, 11CD175; (pgs.27-52)

22) *Radke v. Fiscal Voices for Orland*, 11CD211; (pgs.53-68)

23) *Zurek v. Democratic Party of Leyden Township*, 11CD212; (pgs.69-91)

24) *Zurek v. Citizens to Elect Terry Gallagher, also known as John T. Gallagher*, 11CD213; (pgs.92-113)

25) *McCoy v. Thomas*, 12CD004; (will be sent under separate cover)

26) *McCoy v. Brian Gabor for Sate Representative*, 12CD005; (will be sent under separate cover)

27) *McCoy v. Brian Gabor for Sate Representative*, 12CD006; (will be sent under separate cover)

28) *McCoy v. Friends of Tom Bennett*, 12CD007; (will be sent under separate cover)

29) *McCoy v. Friends of Tom Bennett*, 12CD008; (will be sent under separate cover)

30) *McCoy v. Watseka/Iroquois County Tea Party*, 12CD009. (will be sent under separate cover)

3. Report of the Executive Director

a. Preparations for the March 20, 2012 General Primary Election;

1) Election day assignments; (pg.88)

2) Voting systems testing; (pg.89)

3) Election judge training schools – informational; (pgs.90-91)

4) Internet Voters Guide; (pg.92)

5) DOJ reports; (pgs.93-95)

6) East St. Louis Board of Election Commissioners e-mail; (pgs.96-98)

b. GOA report – *Views on Implementing Federal Elections on a Weekend*; (pgs.99-101)

c. Method for random sample of signatures for constitutional amendments; (pgs.102-103)

d. Legislative update; (oral report)

e. Electronic canvass update; (pg.104)

f. IVRS update – informational; (pgs.105-108)

g. Census data versus registered voters; (pg.109)

h. Election Management System update; (pgs.110-111)

i. Springfield office facility update; (pgs.112-113)

j. Fiscal status reports – informational;

1) FY12 – month ending January 31; (pgs.114-121)

2) Help Illinois Vote Fund; (pgs.122-127)

k. Two year plan of staff activity for the months of February & March – informational. (pgs.128-130)

4. Follow up. (pg.131)

5. Comments from the general public. (pg.131)

- 6. Next Board meeting at 10:30 a.m. on Monday, March 19, 2012 in the Agency's new Springfield office located at 2329 S. MacArthur Boulevard. (pg.131)**
- 7. Executive session. (pgs.132-137)**

STATE BOARD OF ELECTIONS  
Special Board Meeting Via Videoconference  
Tuesday, January 24, 2012

MINUTES

PRESENT:

William M. McGuffage, Chairman  
Jesse R. Smart, Vice Chairman  
Harold D. Byers, Member  
Betty J. Coffrin, Member  
Ernest L. Gowen, Member  
Judith C. Rice, Member  
Bryan A. Schneider, Member  
Charles W. Scholz, Member

ALSO PRESENT:

Rupert Borgsmiller, Executive Director  
Steve Sandvoss, General Counsel  
Amy Calvin, Administrative Assistant II

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The special meeting of the State Board of Elections was called to order via videoconference at 11:00 a.m. with all Members present. Chairman McGuffage and Members Byers, Gowen, Rice and Schneider were present in Chicago. Vice Chairman Smart was present in Springfield and Members Coffrin and Scholz were present via teleconference.

The Chairman opened the meeting by leading everyone in the pledge of allegiance.

The minutes from the December 20 and January 3 meetings were presented. Member Byers moved to approve the minutes. Vice Chairman Smart seconded the motion which passed unanimously.

Member Schneider moved to recess the State Board of Elections and reconvene as the State Officers Electoral Board. Member Byers seconded the motion which passed unanimously. The meeting recessed at 11:05 a.m. and reconvened at 1:20 p.m. Member Gowen left the meeting at 12:20 p.m. and Member Schneider held his proxy. Member Scholz left the meeting at 1:30 p.m. and Chairman McGuffage held his proxy.

The following pending candidate withdrawals following certification were presented for Agenda items 12.a-g: a) Patricia "Teesha" Hanson – 28<sup>th</sup> Representative District; b) James J. Taylor – 28<sup>th</sup> Representative District; c) John Hu – 5<sup>th</sup> Representative District; d) Martin D. Reggi – Cook County, 4<sup>th</sup> Subcircuit A Vacancy; e) Pamela Raves-Harris – Cook County, 7<sup>th</sup> Subcircuit, Vacancy of Lawrence W. Terrell; f) Sherby J. Miller – 7<sup>th</sup> Representative District; and g) John P. Tully - 1<sup>st</sup> Supreme Court, Vacancy of Thomas R. Fitzgerald. The General Counsel said all of the affected election authorities were notified and none had any issue with the SBE transmitting an amended certification reflecting said withdrawals. Member Byers moved to accept the candidate withdrawals. Member Coffrin seconded the motion which passed by roll call vote of 80.

The General Counsel presented a motion to settle civil penalty assessments from *Friends of Sherman Jones*. Attorney Richard Means was present on behalf of the committee. He said the committee was now in full compliance with the previously issued Board order requiring the Committee to file amended reports and asked the Board for a 50% reduction from the assessed

**SBE Minutes**  
**January 24, 2012 - Page 2**

amount of \$11,352.00. The General Counsel concurred with the reduced amount of \$5,676.00. Vice Chairman Smart moved to accept the settlement offer. Member Byers seconded the motion which passed by roll call vote of 8-0.

The Executive Director reminded the Board of a special Board meeting scheduled for Thursday, February 2 and it was agreed to convene at 11:00 a.m.

With there being no further business before the Board, Member Byers moved to adjourn until Thursday, February 2, 2012 at 11:00 a.m. or until call of the Chairman whichever occurs first. Vice Chairman Smart seconded the motion which passed unanimously. The meeting adjourned at 1:30 p.m.

Respectfully submitted,

  
\_\_\_\_\_  
Amy Calvin, Administrative Assistant II

  
\_\_\_\_\_  
Rupert Borgsmiller, Executive Director

STATE BOARD OF ELECTIONS  
Special Board Meeting Via Videoconference  
Thursday, February 2, 2012

MINUTES

PRESENT:

William M. McGuffage, Chairman  
Jesse R. Smart, Vice Chairman  
Harold D. Byers, Member  
Betty J. Coffrin, Member  
Ernest L. Gowen, Member  
Judith C. Rice, Member  
Bryan A. Schneider, Member  
Charles W. Scholz, Member

ALSO PRESENT:

Rupert Borgsmiller, Executive Director  
Steve Sandvoss, General Counsel  
Amy Calvin, Administrative Assistant II

---

The special meeting of the State Board of Elections was called to order via videoconference at 11:00 a.m. with all Members present. Chairman McGuffage and Members Gowen and Rice were present in Chicago. Vice Chairman Smart and Members Coffrin and Scholz were present in Springfield and Members Byers and Schneider were present via teleconference.

The Chairman opened the meeting by leading everyone in the pledge of allegiance.

The minutes from the January 9 & 17 meetings were presented. Vice Chairman Smart moved to approve the minutes as presented. Member Rice seconded the motion which passed unanimously.

Member Rice moved to recess the State Board of Elections and reconvene as the State Officers Electoral Board. Member Gowen seconded the motion which passed unanimously. The meeting recessed at 11:05 a.m. and reconvened at 11:45 a.m.

The Executive Director presented a candidate withdrawal from Alan Nudo - 52<sup>nd</sup> Senate District and noted that the affected election authority has been contacted and they did not have an issue with the SBE transmitting an amended certification reflecting said withdrawal. Vice Chairman Smart moved to accept the candidate withdrawal. Member Coffrin seconded the motion which passed by roll call vote of 8-0.

The General Counsel informed the Board that another candidate withdrawal was received from Todd Brian Projansky - 19<sup>th</sup> Circuit, 3<sup>rd</sup> Subcircuit, B Vacancy. The affected jurisdiction, Lake County, is said they were no longer accepting candidate withdrawals, therefore, the above named candidate will remain on the their ballot. The General Counsel advised the Board not to take any action on the withdrawal.

With there being no further business before the Board, Member Gowen moved to adjourn until Wednesday, February 22, 2012 at 9:00 a.m. or until call of the Chairman whichever occurs first. Member Rice seconded the motion which passed unanimously. The meeting adjourned at 11:50 a.m.

Respectfully submitted,

  
\_\_\_\_\_  
Amy Calvin, Administrative Assistant II

  
\_\_\_\_\_  
Rupert Borgsmiller, Executive Director

January 24, 2012

STATE BOARD OF ELECTIONS

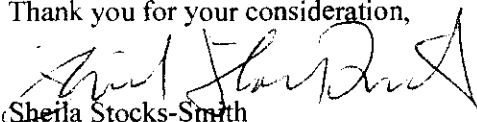
12 JAN 24 AM 10:15

State Board of Elections  
State of Illinois  
1020 South Spring Street  
Springfield, IL 62708

Dear Members of the Board:

Please accept the enclosed affidavits from Sheila Stocks-Smith, candidate, John Farrell, Treasurer and Rudy Davenport, Chair as ordered by the State Board of Elections.

Thank you for your consideration,

  
Sheila Stocks-Smith  
Friends of Stocks-Smith  
P.O. Box 9642  
Springfield, IL 62791



12 JAN 24 AM 10:15

State of Illinois

County of Sangamon

In the matter of:

State Board of Elections  
Complaint(s)

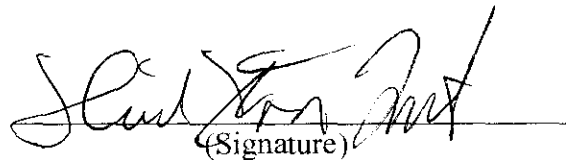
Vs.

Friends of Stocks-Smith  
P.O. Box 9642  
Springfield, IL 62791

Case # 11 MQ – CL008

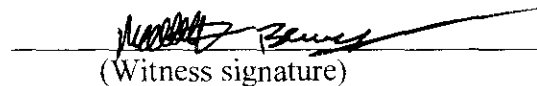
### Affidavit

I, Sheila Stocks-Smith, do swear (or affirm) that I did not receive any notification from the Illinois State Board of Elections preceding its 11/22/11 Final Order alerting the Friends of Stocks-Smith campaign to violations in contribution limits during the 2011 election cycle for the Office of Mayor, Springfield, Illinois or any information about an appeal process.

  
(Signature)

Signed and sworn to (or affirmed) by Sheila Stocks-Smith before me,

on January 22, 2012.  
(insert month, day, year)

  
(Witness signature)

Matthew Berry  
(Print name of witness)

STATE BOARD OF ELECTIONS  
12 JAN 24 AM 10:15

State of Illinois

County of Sangamon

In the matter of:

State Board of Elections  
Complaint(s)

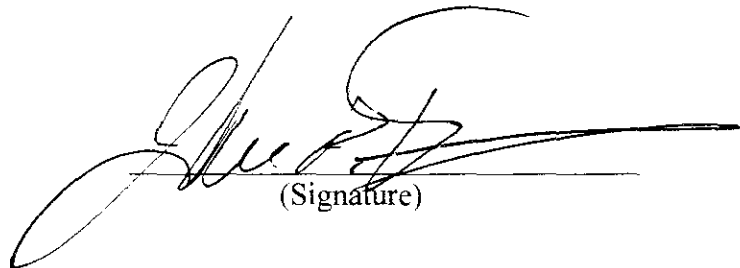
Vs.

Friends of Stocks-Smith  
P.O. Box 9642  
Springfield, IL 62791

Case # 11 MQ – CL008

### Affidavit

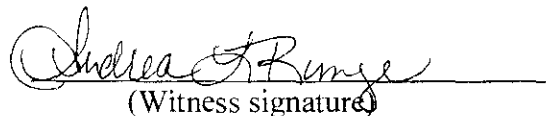
I, John B. Farrell, do swear (or affirm) that I did not receive any notification from the Illinois State Board of Elections preceding its 11/22/11 Final Order alerting the Friends of Stocks-Smith campaign to violations in contribution limits during the 2011 election cycle for the Office of Mayor, Springfield, Illinois or any information about an appeal process.



(Signature)

Signed and sworn to (or affirmed) by John B. Farrell before me,

on 01/23/12  
(insert month, day, year)



(Witness signature)

Andrea L. Runge  
(Print name of witness)

State of Illinois

County of Sangamon

In the matter of:

State Board of Elections  
Complaint(s)

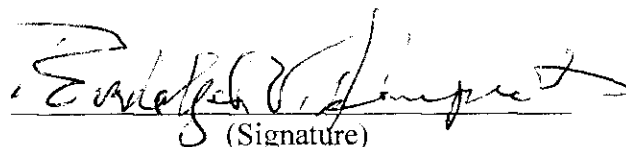
Vs.

Friends of Stocks-Smith  
P.O. Box 9642  
Springfield, IL 62791

Case # 11 MQ – CL008


### Affidavit

I, Rudolph Davenport, do swear (or affirm) that I did not receive any notification from the Illinois State Board of Elections preceding its 11/22/11 Final Order alerting the Friends of Stocks-Smith campaign to violations in contribution limits during the 2011 election cycle for the Office of Mayor, Springfield, Illinois or any information about an appeal process.

  
(Signature)

Signed and sworn to (or affirmed) by Rudolph Davenport before me.

on January 22, 2012  
(insert month/day, year)

  
(Witness signature)

Matthew Berry  
(Print name of witness)

**William M. McGuffage – Chairman**  
State Board of Elections  
1020 S Spring Street  
P O Box 4187  
Springfield IL 62708

STATE BOARD OF ELECTIONS

January 13, 2012

12 JAN 19 AM 10:04

**RE: Civil penalty levied against “Citizens for Spitz” (Local 12172 / ID 18183)**

Chairman McGuffage,

I am writing to request a reduction in the civil penalty levied by the State Board of Election against Citizens for Spitz. The bases for this request are summarized below. Please be mindful that these are not “excuses”, rather they are an explanation of the facts.

**1. The original violation was accidental as the committee believed a report had been submitted.**

An electronic report was prepared via the IDIS 1.0 software on behalf of the committee. However, through a technical glitch, it was not received by ISBE. The technical glitch was not apparent to the committee because the e-mail address through which notification of a failed submittal would have been received was inoperative. This fact was also unknown to the committee.

**2. Unfamiliarity with new IDIS software did not raise a “red flag” with the committee.**

A subsequent filing utilizing the IDIS 2.0 software indicated a previous report was necessary. It was assumed that the previous report was required because of the change in software versions. As the committee was unaware of any previous filing difficulty this did not trigger concern.

**3. The committee was unaware of the violation and ISBE hearing.**

Due to a personal issue, the committee did not receive mailed violation and hearing notices from the Board until the hearing process was completed. This precluded the committee from filing a timely response. The committee first became aware of the filing issue after the civil penalty was levied.

**4. The committee has been “inactive” since 2009.**

As evidenced by past ISBE filings, the committee has not raised or expended funds since December 2009. The funds available for this committee have remained at \$56.90 since that date. In practical terms, this committee has been monetarily “inactive” for two years.

**5. The civil penalty levied appears out of proportion to the nature of the filing issue and considering that no funds were involved.**

The committee acknowledges that ISBE did not receive the original report by the report deadline. Had the technical issue been known to the committee, a new filing would have been submitted in a short time-frame.

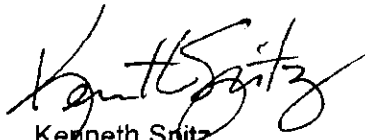
The violation was literally "technical" in nature, not intentional or evasive. The committee did not fail to disclose funds raised or expended – as no such activity took place.

A civil penalty of over \$5,500 for failure to timely report no fundraising and no spending is on its face extremely harsh, especially considering the intent of the law is to disclose when funds are raised and/or spent.

For these reasons, I respectfully request the State Board of Elections reduce the civil penalty levied against "Citizens for Spitz" to the amount of \$225.00. This represents the penalty for filing a report three days late. This is based on the fact that the committee would have submitted a report within three calendar days of receipt of original notice of violation.

Thank you for consideration of this request.

Respectfully submitted,



Kenneth Spitz  
Citizens for Spitz

19647

January 13, 2012

State Board of Elections  
Board Members  
1020 S. Spring Street  
P.O. Box 4187  
Springfield, IL 62708-4187  
Attn: Campaign Disclosure

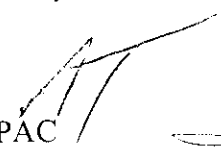
Re: 613PAC Case No. 10 AG 076

To The Board,

Please treat this letter and the contents as formal good faith offer to settle the civil fines and penalties on the above case against 613PAC. The committee takes this matter very seriously and desires to restore its good standing. There are, however, very limited resources at our disposal. Enclosed is a check for **\$1,300** representing 50% of our offer of **\$2,600** to settle the amount due of **\$5,100**. If accepted, the committee will remit a second payment of **\$1,300** within thirty days of notification from the Board to settle the claim in full.

Thank you for your consideration and we look forward to hearing from you.

Sincerely,

  
613PAC  
Aaron Topper  
2817 W. Coyle  
Chicago, IL 60645

**STATE OF ILLINOIS  
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS**

Illinois State Board of Elections

**Complainant**

v.

**11 AJ 015**

Chicago Assn of Realtors PAC (CARPAC)

Cmte ID: 213

**Respondent**

**REPORT OF HEARING OFFICER**

Appeal of Civil Penalty Assessment

For Delinquently Filing a Schedule A-1 Report

The receipt in question was dated June 2, 2011, in the amount of \$12,500 from the Illinois Association of Realtors. The Report was received on July 6, 2011, 19 days late, resulting in an assessment of \$3125. The Committee was previously assessed \$500 (not appealed, stayed) for failing to file a Schedule A-1 during the first quarter of 2011.

Ezekiel Morris, the Treasurer of the Committee when the appeal was initiated, filed a waiver of appearance and appeal affidavit in this matter.

On the Affidavit, Mr. Morris wrote, "Prior to the enacting of the new law...all previous reports were filed successfully with no errors."

I recommend the appeal be denied for lack of an adequate defense. Board staff has notified Committees on multiple occasions of changes in the Act that became effective January 1, 2011. If the Board accepts this recommendation, the stay on the previous assessment would be lifted, and a total of \$3625 would be due and owing. As of June 30, 2011, the Committee's reported balance was \$118,122.82.

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John Levin – Hearing Officer  
October 7, 2011

STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS

1020 South Spring Street, P.O. Box 4187  
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100 West Randolph, Suite 14-100  
Chicago Illinois 60601  
312/814-6440  
Fax: 312/814-6485



EXECUTIVE DIRECTOR  
Rupert T. Borgsmiller  
August 30, 2011

BOARD MEMBERS  
William M. McGuffage, Chairman  
Jesse R. Smart, Vice Chairman  
Harold D. Byers  
Bety J. Coffrin  
Ernest L. Gowen  
Judith C. Rice  
Bryan A. Schneider  
Charles W. Scholz

Chicago Assn of Realtors PAC (CARPAC)  
Ezekiel Morris  
200 S Michigan Ave, Ste 401  
Chicago, IL 60604-2411

ID # 213

Dear Chicago Assn of Realtors PAC (CARPAC):

This committee has failed to timely file the following Schedule A-1's, Report of Campaign contributions of \$1000 or more as required by the Illinois Campaign Disclosure Act:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>	<u>Fine Assessed</u>
Illinois Association of Realtors	6/1/2011	\$12,500	7/6/2011	19	\$6250

As required by the Illinois Campaign Disclosure Act, as amended by Public Act 96-832, your committee is subject to a fine of \$6250 for delinquent filing schedule A-1 reports. This total **does not** reflect any previously assessed fines.

Since this is the second delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$3125. (50% of the above referenced fine amount) if you do not choose to file an appeal under the Board's present policy. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired (September 29). This amount must be paid, including any previously assessed fines, within 30 days of the issuance of the Order.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal within this 30 day period, you forfeit the right to contest this assessment.**

If the above listed violation is not appealed, and since this is a subsequent violation, the previously stayed fine(s) for delinquent filing is now also required to be paid by the committee within 30 days of the issuance of the Final Board Order:

<u>Reporting Period</u>	<u>Report Type</u>	<u>Previous Fine Amount</u>
January 1 through March 31, 2011	Schedule A-1	\$250
<b>TOTAL AMOUNT NOW DUE</b>		<b>\$3375</b>



State of Illinois )  
County of Cook )

11 SEP 27 AM 8:57

BEFORE THE STATE BOARD OF ELECTIONS  
OF THE STATE OF ILLINOIS

IN THE MATTER OF; )

ILLINOIS STATE BOARD OF ELECTIONS, )

Complainant )

Vs. Chicago Association of Realtors )  
Political Action Committee. )

Case No. 11 AJ 015

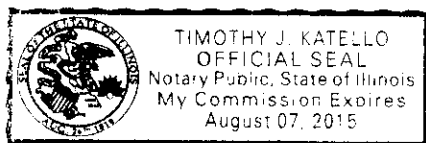
Respondent(s). 10# 213 )

APPEAL AFFIDAVIT

I, Ron Abrams, Treasurer beginning 10/1/2011  
Ezekiel Morris, Treasurer ending 9/30/11 of the  
(Name) (Chairman/Treasurer)

Chicago Association of Realtors Political Action Committee  
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are: Prior to the enacting of the new law proceedings  
all previous reports were filed successfully with no errors.



Ron D. Abrams  
Ron D. ABRAMS

Signed and Sworn to by:  
Ezekiel Morris / Ron D. Abrams  
before me this 23<sup>rd</sup> Day of  
September, 2011  
Notary Public Timothy J. Katello

Ezekiel Morris  
(Signature of Chairman/Treasurer)

**STATE OF ILLINOIS  
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS**

Illinois State Board of Elections

**Complainant**

v.

**11 SQ 004**

Ford County Democrats Central Committee

ID# 318

**Respondent**

**REPORT OF HEARING OFFICER**

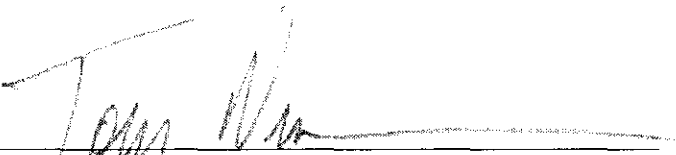
Appeal of Civil Penalty Assessment for Delinquently Filing  
the September 2011 Quarterly Report

The Report was received by the Board on November 3, 2011, 13 days late, resulting in a civil penalty assessment of \$325.

Patricia Mogged, the Treasurer of the Committee, filed a Waiver of Appearance, and submitted on the Appeal Affidavit the following:

On the Affidavit, Ms. Mogged states that she forgot to send the Report in on time because she was used to the old Semi-Annual Report filing periods. She adds that she will mark it on the calendar from now on.

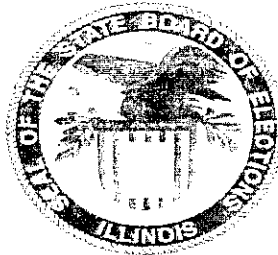
I recommend the appeal be denied for lack of an adequate defense. As a first violation, the penalty is stayed. (As of 9/30/11, this Committee reported a funds available balance of \$509.71.)

  
\_\_\_\_\_  
Tom Newman – Hearing Officer  
December 16, 2011

STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS

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EXECUTIVE DIRECTOR  
Rupert T. Borgsmiller  
December 5, 2011

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William M. McGuffage, Chairman  
Jesse R. Smart, Vice Chairman  
Harold D. Byers  
Bety J. Coffrin  
Ernest L. Gowen  
Judith C. Rice  
Bryan A. Schneider  
Charles W. Scholz

Ford County Democrats Central Cmte  
Douglas Berry  
3 Huston Lane  
Piper City, IL 60959

ID# 318

Dear Ford County Democrats Central Cmte:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type:	Quarterly Report of Campaign Contributions and Expenditures
Report Period:	July 1, 2011 through September 30, 2011
Filing Period:	October 3, 2011 through October 17, 2011

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received by the Board on November 3, 2011, 13 days late. As such, this committee has been assessed a fine of \$325.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by January 4, you forfeit the right to contest this assessment.**

Since this is a first time violation, the assessed civil penalty will be *stayed*. Any subsequent violation of Article 9 of the Election Code or of a Board Order, may result in the assessment of an additional civil penalty as provided in Section 125.425 of the Rules and Regulations. If that subsequent violation is one which results in the assessment of a civil penalty, that penalty, as well as the civil penalty previously stayed, shall become due and owing. **Therefore, you need not pay this assessed civil penalty unless another violation occurs.**

If you have questions regarding the appeal process, please call Jennifer Ronimous at 217-782-1543.

Sincerely,

Sharon Steward  
Director, Campaign Disclosure Division

SS: jr  
Enclosure(s): appeal packet

State of Illinois )  
County of Ford )

STATE BOARD OF ELECTIONS  
11 DEC 13 AM 9:18

BEFORE THE STATE BOARD OF ELECTIONS  
OF THE STATE OF ILLINOIS

IN THE MATTER OF: )  
ILLINOIS STATE BOARD OF ELECTIONS. )

Complainant )

Vs. )  
Ford Co. Democrat Central Cmte )  
318 Respondent(s). )

Case No. 11SQ004

APPEAL AFFIDAVIT

I, Patricia L. Megged, the Treasurer of the  
(Name) (Chairman/Treasurer)  
Ford Co. Democrat Central Cmte.  
(Name of the Committee)

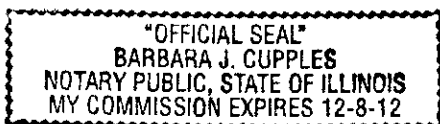
Committee, first being duly sworn, deposes and states that ~~he~~/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

I forgot to send the quarterly report in due to  
being used to the semi annual periods for  
several years. I will mark it on the calendar  
from now on.

Signed and Sworn to by:

Patricia L. Megged  
before me this 12th Day of  
December, 2011  
Barbara J. Cupples  
Notary Public

Patricia L. Megged  
(Signature of Chairman/Treasurer)



**STATE OF ILLINOIS  
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS**

Illinois State Board of Elections  
**Complainant**

v.

**11 SQ 005**

Massac County Democratic Central Committee  
**Respondent**

ID# 348

**REPORT OF HEARING OFFICER**

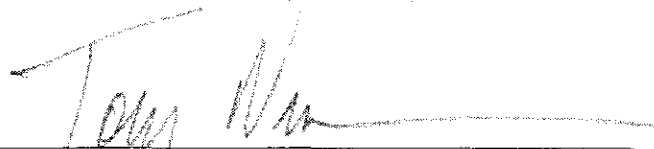
Appeal of Civil Penalty Assessment for Delinquently Filing the  
September 2011 Quarterly Report

The Report was received by the Board on November 7, 2011, 15 days late, resulting in a civil penalty assessment of \$375. Additionally, the Committee had previously been assessed a \$25 civil penalty (not appealed, stayed) for delinquently filing the December 2010 Semi-Annual Report. The total assessment is \$400.

Mary Oliver Ramsey, the Treasurer of the Committee, filed a Waiver of Appearance, and submitted on the Appeal Affidavit the following:

On the Affidavit, Ms. Ramsey states that the Committee's former Chairman/Treasurer moved and was unable to serve in that position any longer. She says by the time new officers were appointed and she obtained copies of bank statements from the Committee's bank the Report was late. She describes the situation as unintentional and due to circumstances beyond her control.

I recommend the appeal be denied for lack of an adequate defense. The Committee's amended Statement of Organization was filed on 9/28/11, and should have allowed sufficient time for the Report to be prepared and filed on time, especially since there was no activity reported. If this recommendation is accepted by the Board, the stay would be lifted from the earlier penalty and the total assessment of \$400 will be due and owing. (As of 9/30/11, this Committee reported a funds available balance of \$178.03.)



Tom Newman – Hearing Officer  
December 23, 2011

STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS

1020 South Spring Street, P.O. Box 4187  
Springfield, Illinois 62708  
217/782-4141  
Fax: 217/782-5959

James R. Thompson Center  
100 West Randolph, Suite 14-100  
Chicago Illinois 60601  
312/814-6440  
Fax: 312/814-6485



EXECUTIVE DIRECTOR  
Rupert T. Borgsmiller  
December 5, 2011

BOARD MEMBERS  
William M. McGuffage, Chairman  
Jesse R. Smart, Vice Chairman  
Harold D. Byers  
Bety J. Coffrin  
Ernest L. Gowen  
Judith C. Rice  
Bryan A. Schneider  
Charles W. Scholz

Massac County Democratic Central Cmte ID# 348  
Mary Oliver Ramsey  
3707 Jo Mark Ln  
Metropolis, IL 62960-4006

Dear Massac County Democratic Central Cmte:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type: Quarterly Report of Campaign Contribution and Expenditures  
Report Period: July 1, 2011 through September 30, 2011  
Filing Period: October 3, 2011 through October 17, 2011

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on November 7, 2011, 15 days late. As such, this committee has been assessed a fine of \$375.

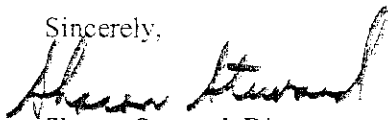
Enclosed, please find the Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. ***Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by January 4, you forfeit the right to contest this assessment.***

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 1020 S Spring Street, Springfield, IL 62704. You may also pay by MasterCard, Discover, or American Express.

If the above listed violation is not appealed, and since this is a subsequent violation, the previously stayed fine(s) for delinquent filing is now also required to be paid by the committee within 30 days:

Reporting Period	Report Type	Previous Fine Amount
July 1 through December 31, 2010	Semi-Annual	\$25
<b>TOTAL AMOUNT NOW DUE</b>		<b>\$400</b>

If you have any questions regarding the appeal process, please call Jennifer Ronimous at 217-782-1543.

Sincerely,  
  
Sharon Steward, Director,  
Campaign Disclosure Division

SS: jr

Enclosure(s): appeal packet

State of Illinois )  
County of Massac )

STATE BOARD OF ELECTIONS  
11 DEC 21 AM 10:00

BEFORE THE STATE BOARD OF ELECTIONS  
OF THE STATE OF ILLINOIS

IN THE MATTER OF: )

ILLINOIS STATE BOARD OF ELECTIONS, )

Complainant )

Vs. )

Case No. 11SQ005

Mary Oliver Ramsey  
Respondent(s).  
Massac County Democratic Comm.

APPEAL AFFIDAVIT

I, Mary Oliver Ramsey, the Treasurer of the  
(Name) (Chairman/Treasurer)

Massac County Democratic Central Comm. Hec  
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

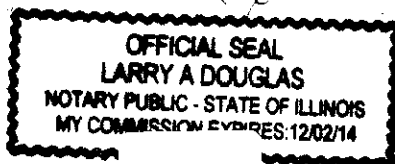
Please see attached statement.

Signed and Sworn to by:

Mary Oliver Ramsey  
before me this 16 Day of  
Dec., 2011

Larry A. Douglas  
Notary Public

Mary Oliver Ramsey  
(Signature of Chairman/Treasurer)



## Appeal Affidavit

Our former Chairman had been filing the Quarterly Reports. He was forced to move out of his precinct due to historic flooding in Southern IL and was unable to serve as Chairman.

The Committee realized it had to reorganize. I called to inform the Board of the change and was told a D-1 had to be filed. The D-1 was filed on 9/28/11.

I called again to get the Quarterly Report Forms. I returned those forms as soon as I got copies of the bank statements from the bank, so I could fill out the Reports. I'm sorry the Report was 15 days late getting filed but it was due to circumstances beyond my control and not intentional. You can check my previous record and see I always filed on time.

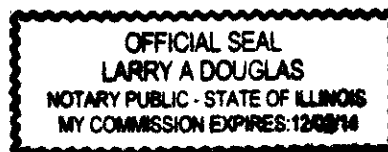
Mary Oliver Ramsey  
Treasurer

Signed and Sworn to by:

Mary Oliver Ramsey  
before me this 16 Day of

Dec., 2011

Larry A. Douglas  
Notary Public





STATE OF ILLINOIS  
COUNTY OF COOK

BEFORE THE STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS

Illinois State Board of Elections  
**Complainant**

Vs.

11 AJ 022

Illinois Hospital Assn PAC (IHA PAC) 675  
**Respondent**

**REPORT OF HEARING EXAMINER**

Appeal of Civil Penalty Assessment for Delinquently Filing  
A Schedule A-1 for the 2<sup>nd</sup> Quarter of 2011

This Committee received an in-kind contribution of \$33,844.65 on 6/30/11 and listed this contribution on a Schedule A-1 filed on 7/12/11, 2 days late. Additionally, the Committee had previously been assessed a \$50 civil penalty (not appealed, stayed, expired) for delinquently filing the June 2003 Semi-Annual Report; a \$187 civil penalty (not appealed, stayed, expired) for failing to file a Schedule A-1 for the 2006 General Election; \$1,250 civil penalty (appealed, reduced, paid) for failing to file a Schedule A-1 for the 2010 General Elections, and a \$1,250 civil penalty (appealed, reduced, paid) for failing to file a Schedule A-1 for the General Election. The total assessment is \$16,923.

The Respondent was represented by attorney David H. Hoffman at the November 17 appeal hearing. Also in attendance was the Illinois Hospital Association's General Counsel, Mark D. Deaton.

Mr. Hoffman supplied the hearing officer with a detailed overview and supporting documentations in relation to this appeal (attached). Mr. Hoffman indicated at the hearing that the IHA PAC overestimated the in-kind contribution amount that they are being assessed on and listed this inflated amount on the Schedule A-1 filed on 7/12/11, and then again on the Quarterly Report. Mr. Hoffman explained that the IHA PAC has recalculated the amount of the in-kind contribution and they were very conservative in this process and have estimated that value should have been \$13,470.04. This new amount is the result of the Committee now excluding the amount of funds that they calculated were actually spent on communications within the association. This new calculated amount takes into consideration the time and cost (salary) associated with these communications, supplies that were used, postage, and any travel expenses that would have been incurred. The attachment goes into significant detail on how they came up with the amount of \$13,470.04. For the purpose of this appeal the Respondent indicated that the amount of in-kind contribution that should have been reported is \$13,470.04, and they request the Board to use this amount although they believe an argument could be made that even this amount could be excluded from the proper understanding of the term contribution. Mr. Hoffman also indicated that if the same reduction formula was then used with the \$13,470.04 amount the fine should be reduced to \$3,368, or less. Section 5/9-1.4(B)(d) indicates that a contribution does not include communications by an association to its members and executive or administrative personnel or their families. The IHA PAC plans on amending the quarterly report to correct the over-reported in-kind contribution that they had reported prior to being aware of this statutory exclusion. The amended report will reflect an amount of \$13,470.04. Additionally the IHA PAC requests the Board to exercise its statutory discretion to waive the fine. Mr. Hoffman indicated that the statutory factors the Board must consider weight strongly in favor of the PAC and they believe it would be appropriate to waive a fine based on these circumstances.

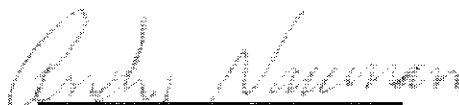
1. *Whether the political committee made an attempt to disclose the contribution and any attempts made to correct the violation.* Mr. Hoffman explained that the Committee not only attempted to disclose the contribution, but they actually reported the contribution twice (once on the A-1 and once on the Quarterly Report). He also explained that the Committee attempted to correct the violation by filing the Schedule A-1 within two days of the due date. He went on further to say that the IHA and its PAC were being e ly careful, diligent and honest when calculating the IHA's in-kind contribution to th 22 e IHA and its PAC believe that the kind of

behavior that they performed in calculating the in-kind contribution the Board seeks to encourage, not penalize.

2. *The amount of the contribution.* Mr. Hoffman believes the proper amount of the in-kind contribution was \$13,470.04. The IHA had to go through a fairly involved process to calculate the time its employees had spent on the working on matters related to the PAC. Around 24 people had to be asked if they worked on anything in relation to the PAC and then the cost had to be calculated from their time (salary), postage, and office supplies that were used. Mr. Hoffman explained that this takes time to get in contact with these individuals and since this information was trying to be gathered around the holiday weekend (July 4) the 5 day time frame to complete this is rather quick. The Committee is not trying to use this as an excuse but they believe it should be considered.
3. *The number of days the contribution was reported late.* The Committee believes this is a very important factor because the report was only two days late. They believe any political committee that misses a reporting deadline but then files the report within a day or two is clearly acting in good faith and clearly shows they were not trying to hide the contribution. Additionally they believe little harm could have been caused by the Committee reporting the contribution only two days late for this contribution was not close to an election.
4. *Past violations of Section 9-3 and 9-10 by the political committee.* Mr. Hoffman indicated that the assessment letter that they received indicated that this violation was the second delinquent Schedule A-1 filing by the PAC. The Committee has been active since the 70's so they believe that is a rather good track record. He indicated that the prior violation assessed was a relatively small fine in 2010 and the PAC attempted to correct that prior violation as soon as it realized its error. They also believe the violation at issue here should be considered much smaller than that prior violation. The prior violation was for two contributions totaling \$250,000 that were received from IHA subsidiaries. The contributions were not deposited in its typical account and it was not discovered until 3 months later that the contributions were not reported on a Schedule A-1. The Committee immediately reported the contributions. The IHA PAC appealed the fine and the Board reduced the fine to \$2,500 or 1% of the assessed amount. The PAC believes the Board should consider prior violations, but they do not believe the Board should weigh against the PAC in a significant fashion in this matter because the first violation was a clerical error. Additionally, in the prior violation the much larger monetary contribution was not disclosed for over 3 months and in this violation the much smaller in-kind contribution was disclosed only two days after the deadline.

The PAC believes it would not be fair or appropriate to impose a fine for this situation that is as large as the \$2,500 fine imposed on the prior situation if a penalty is to be imposed at all considering both violations were inadvertent and unintentional. Furthermore, the PAC believes when the above factors are considered together the just resolution of this matter that they request of the Board is to waive the fine.

A contribution does not include communications by an association to its members and executive or administrative personnel or their families, and I believe the IHA PAC would therefore be correct in their calculations on adjusting the in-kind contribution amount down to \$13,470.04. I recommend that the Committee amend the June 2011 Quarterly Report to reflect the adjusted in-kind contribution amount of \$13,470.04 within 30 days of the Final Order. Furthermore, I understand that Committee had difficulty trying to calculate the in-kind contribution amount around the holiday weekend but the Committee could have been keeping a running total during the period rather than waiting to the end of the period to then go back and determine the amount of time and materials that was spent on behalf of the Committee. Therefore, I also recommend that the appeal on the adjusted amount of \$13,470.04 be denied for lack of an adequate defense. In addition, since there is no indication that this violation was anything other than inadvertent and unintentional, and since this is the Committee's second violation involving a Schedule A-1, I also recommend the penalty be reduced to 50% of the adjusted amount, or \$3,368. (As of September 30, 2011, the Committee reported a cash balance of \$1,275,537.68.)



Andy Nauman – Hearing Officer

November 9, 2011

STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS

1020 South Spring Street, P.O. Box 4187  
Springfield, Illinois 62708  
217/782-4141  
Fax: 217/782-5959

James R. Thompson Center  
100 West Randolph, Suite 14-100  
Chicago Illinois 60601  
312/814-6440  
Fax: 312/814-6485



EXECUTIVE DIRECTOR  
Rupert T. Borgsmiller  
August 30, 2011

BOARD MEMBERS  
William M. McGuffage, Chairman  
Jesse R. Smart, Vice Chairman  
Harold D. Byers  
Bety J. Coffrin  
Ernest L. Gowen  
Judith C. Rice  
Bryan A. Schneider  
Charles W. Scholz

Illinois Hospital Assn PAC (IHA PAC) ID # 675  
Kathleen Dunn  
IHA @ the Center for Health Affairs, 1151 E Warrenville Rd  
Naperville, IL 60566

Dear Illinois Hospital Assn PAC (IHA PAC):

This committee has failed to timely file the following Schedule A-1's, Report of Campaign contributions of \$1000 or more as required by the Illinois Campaign Disclosure Act:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>	<u>Fine Assessed</u>
Illinois Hospital Association	6/30/2011	\$33,844.65	7/12/2011	2	\$16,923

As required by the Illinois Campaign Disclosure Act, as amended by Public Act 96-832, your committee is subject to a fine of \$16923 for delinquent filing schedule A-1 reports. This total **does not** reflect any previously assessed fines.

Since this is the second delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$8462, (50% of the above referenced fine amount) if you do not choose to file an appeal under the Board's present policy. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired (September 29). This amount must be paid, including any previously assessed fines, within 30 days of the issuance of the Order.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal within this 30 day period, you forfeit the right to contest this assessment.**

If the above listed violation is not appealed, and since this is a subsequent violation, the previously stayed fine(s) for delinquent filing is now also required to be paid by the committee within 30 days of the issuance of the Final Board Order:

<u>Reporting Period</u>	<u>Report Type</u>	<u>Previous Fine Amount</u>
October 4 through November 1, 2010	Schedule A-1	\$2500
<b>TOTAL AMOUNT NOW DUE</b>		<b>\$10962</b>

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 1020 S Spring Street, Springfield, IL 62704.

State of Illinois )  
County of Sangamon )

11 SEP 28 AM 9:35

BEFORE THE STATE BOARD OF ELECTIONS  
OF THE STATE OF ILLINOIS

IN THE MATTER OF; )

ILLINOIS STATE BOARD OF ELECTIONS, )

Complainant )

Vs. )

Case No. 11 AJ 022

Illinois Hospital Assn PAC (IHA PAC) )

Respondent(s). )

APPEAL AFFIDAVIT

I, Kathleen Dunn, the Treasurer of the  
(Name) (Chairman/Treasurer)

Illinois Hospital Assn PAC (IHA PAC)

(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

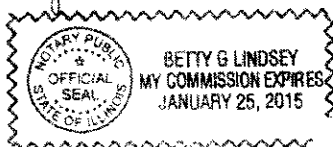
See attached letter

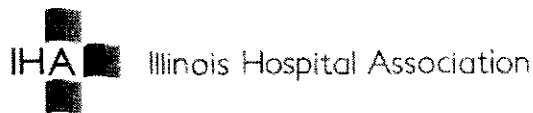
Signed and Sworn to by:

Betty G. Lindsey  
before me this 28 Day of  
September, 2011

Betty G. Lindsey  
Notary Public

Kathleen Dunn  
(Signature of Chairman/Treasurer)





September 28, 2011

Illinois State Board of Elections  
Campaign Disclosure  
1020 South Spring Street  
Springfield, Illinois 62704

Dear State Board of Elections

The Illinois Hospital Association PAC (IHA PAC) appeals the penalty proposed by an August 30, 2011 letter (ID # 675) from Sharon Steward, Director of the Campaign Disclosure Division and requests a hearing to consider its defenses, which are summarized in this letter.

On August 30, 2011, IHA PAC received a penalty letter from the Board for being late in reporting an in-kind contribution in the PAC's second quarter report, filed June 30, 2011. This in-kind contribution represents compensation paid to IHA staff members throughout the second quarter for providing services relating to the IHA PAC and certain related expenses. Because these services were reported as an in-kind contribution on June 30, 2011, the Board staff concluded that IHA PAC had been delinquent in filing a Schedule A-1 report, which requires a report within five (5) days of receiving a contribution of \$1,000 or more.

IHA believes that the statutory definition of "contribution" excludes all of the staff services and expenses reported by the IHA PAC, and, therefore, the PAC should not have reported the in-kind contribution. If the Board agrees, IHA would file an amended report for the second quarter of 2011, excluding any in-kind contribution based on IHA staff services and expenses provided to the IHA PAC.

The IHA services and expenses represent time spent by IHA employees communicating with IHA members (by email, printed materials, phone, at meetings, etc.) to solicit contributions to the IHA PAC and doing administrative work for the PAC – mainly preparing and filing disclosure reports with the Board and providing accounting services regarding contributions to and expenditures by the PAC. It appears to us that this work does not fall within the definition "contribution" in the Election Code, 10 ILCS 5/9-1.4. Therefore, these services and expenses should not have been reported as an in-kind contribution.

We respectfully request that a Hearing Officer be appointed to hold a hearing in Chicago. Once appointed, our attorneys plan to discuss with the Hearing Officer the best way to present our legal analysis and factual information. We will be represented at the hearing by Sidley Austin LLP and one of its attorneys, David Hoffman.

The IHA cares deeply about complying with the law regarding these disclosure issues. It wants to do whatever is necessary to determine whether its expenses were correctly or incorrectly reported in the past as an in-kind contribution, and how it should report these expenses in the future. We look forward to resolving these issues as expeditiously as possible with the Board.

Sincerely,

Kathleen Dunn  
IHA PAC Treasurer

[www.ihatoday.org](http://www.ihatoday.org)

BEFORE THE STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS

In the Matter of:

IL SBE

Complainant(s),

Vs.

Case No. 11A5022

IL Hospital Assn PAC (IHA PAC)

Respondent(s)

APPEARANCE

The undersigned enters the appearance of (check one):

☐ COMPLAINANT(S) or ☒ RESPONDENT(S)

(Insert name)

Check one: ☒ Attorney ☐ Pro Se

Name:

DAVID HOFFMAN

Address:

SIDLEY AUSTIN  
1500 N DEARBORN

City/State/ZIP

CHICAGO IL 60603

Telephone:

312 853 2174

FAX No.:

EMAIL:

david.hoffman@sidley.com

Will you accept service of documents via FAX transmissions?

Check one: ☒ Yes ☐ No

Signature



SIDLEY AUSTIN LLP  
ONE SOUTH DEARBORN STREET  
CHICAGO, IL 60603  
(312) 853 7000  
(312) 853 7035 FAX

david.hoffman@sidley.com  
(312) 853 2174

BEIJING  
BRUSSELS  
CHICAGO  
DALLAS  
FRANKFURT  
GENEVA  
HONG KONG  
LONDON  
LOS ANGELES

FOUNDED 1866

NEW YORK  
PALO ALTO  
SAN FRANCISCO  
SHANGHAI  
SINGAPORE  
SYDNEY  
TOKYO  
WASHINGTON, D.C.

November 16, 2011

**By Hand Delivery**

Mr. Andy Nauman  
Hearing Examiner  
Illinois State Board of Elections  
100 West Randolph Street, Suite 14-100  
Chicago, IL 60601

*Re: Appeal of ISBE Proposed Penalty Against  
Illinois Hospital Association PAC (ID # 675)*

Dear Mr. Nauman:

I am writing on behalf of the Illinois Hospital Association (IHA) and the IHA PAC in advance of the hearing before you scheduled for November 17 at 10:00 a.m. While we look forward to make our presentation to you at the hearing, we thought it would be helpful to provide you with the relevant facts and arguments in advance of the hearing.

On July 15, 2011, the IHA PAC timely filed its D-2 quarterly report for the second quarter of 2011. One of the reported contributions to the PAC was an in-kind contribution of \$33,844.65 on June 30, 2011 from IHA. The PAC filed an A-1 report regarding that contribution on Tuesday, July 12, 2011, as the contribution exceeded \$1,000.

On August 30, 2011, the Board of Elections (ISBE) issued a letter to the PAC assessing a fine of \$8,462, because the A-1 report filed on July 12 was two business days late. The letter stated that the PAC was subject to a fine of \$16,923, which is equivalent to 50% of the amount of the in-kind contribution at issue. The letter further stated that the fine was being reduced in half to \$8,462. The IHA PAC filed a timely appeal with the ISBE on September 28, 2011.

The Illinois Campaign Disclosure Act provides that "[t]he Board may impose a fine for negligent or inadvertent violations . . . not to exceed 50% of the total amount of the contributions that were untimely reported, *or the Board may waive the fine.*"<sup>1</sup>

<sup>1</sup> 10 ILCS 5/9-10(c) (emphasis added). The regulations are identical. See 26 Ill. Admin. Code 125.425(d)(5)(C).

Mr. Andy Nauman  
November 16, 2011  
Page 2

As explained below, IHA inadvertently over-reported the amount of its in-kind contribution to the PAC. Of the \$33,844.65 reported as in-kind contribution, \$20,374.61 represented time and expenses spent by IHA employees making communications to IHA member hospitals and their staffs. The statute explicitly excludes the costs of an association's "communications to its members" from the definition of "contribution." Thus, the IHA PAC clearly should not have reported this \$20,374.61 as an in-kind contribution.

When this amount is removed, the remainder is \$13,470.04. Although there may be some arguments that this amount should also be excluded from a proper understanding of the term "contribution," the IHA PAC agrees for the purposes of this appeal that the amount of IHA's in-kind contribution to the PAC that should have been reported is \$13,470.04.

Applying the same reduction formula used in the ISBE penalty letter, this would equate to a fine of \$3,368 (50% of the contribution amount, then reduced in half). The IHA PAC therefore submits that the fine should be reduced to an amount of \$3,368, or less.

In addition, the IHA PAC asks the ISBE to exercise its statutory discretion to waive a fine in this situation. As described in detail below, the statutory factors the Board must consider weigh strongly in favor of the PAC and make it highly appropriate to waive a fine in these circumstances.

***1. The PAC's Over-Reporting of IHA's In-Kind Contribution:  
Costs of "Communications to IHA Members" Do Not Count as Contributions***

The Campaign Disclosure Act makes it clear that time and expenses spent by IHA employees in communicating with IHA members hospitals and their staffs do not count as contributions. Section 5/9-1.4(B)(d) provides:

"Contribution" does not include: . . . (d) communications by an association to its members and executive or administrative personnel or their families.

This "communications to members" exclusion is stated very broadly and without limitation. It necessarily covers the costs of making the communication. Making a communication requires people to spend time on the task; it requires supplies to produce the communication (paper, presentation materials, etc.); and it requires supplies and effort to deliver the communication (postage, messengers, travel to the site of the communication, etc.).

When IHA employees calculated IHA's in-kind contribution to the PAC for the second quarter of 2011, they erroneously included all time and expenses spent by IHA employees that related to the PAC in any way, even though a substantial amount of this work constituted communications by the IHA employees to IHA members about the PAC.



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IHA's costs to communicate with IHA members are explicitly excluded from the definition of "contribution", and therefore should never have been included in the IHA "in-kind contribution" reported by the PAC. This over-reporting of IHA's in-kind contribution was an inadvertent error by the IHA employees who compiled the PAC reports, as they were unaware of the statutory exclusion for an association's "communications to members."

Attached to this letter is an affidavit from IHA's Director of Accounting, Lacy A. Cortez, which details the over-reporting of IHA's in-kind contribution, along with a summary chart at the end of her affidavit. Her affidavit establishes that of the \$33,844.65 originally reported as an in-kind contribution from IHA:

\$17,004.75 was the value of the time spent by four IHA employees communicating with the officials and staff at IHA member hospitals about the PAC. This included calls, emails, letters, speeches, and presentations that informed officials and staff at IHA member hospitals about the PAC's activities and attempted to persuade them to support the PAC. (Affidavit paragraph 7.) Paragraphs 7(a) through 7(d) of the affidavit detail the activities of the four employees that constituted communications to IHA members.

\$3,369.86 was the amount of expenses relating to communications with IHA members. This included traveling to IHA member hospitals around the state to make these communications in person, postage to send the communications, and materials to produce the communications. (Affidavit paragraph 8.) Paragraphs 8(a) through 8(d) of the affidavit detail these expenses.

This results in a total over-reported amount of \$20,374.61, which represents the total amount of time and expenses spent on IHA communications to IHA members. (Affidavit paragraph 9(a).) This amount should never have been reported as a "contribution" to the IHA PAC.

The remaining amount (\$13,470.04) consists of \$11,479.91 in employee time that appears to have been spent doing administrative and ISBE reporting work for the PAC, along with \$1,990.13 in PAC administrative expenses. (Affidavit paragraph 9(b).) IHA and the IHA PAC are therefore not arguing on appeal that this amount falls within the exception for "communications to members."

2. **Whether the Remaining Amount (\$13,470.04) Constitutes an In-Kind Contribution**

The IHA PAC's appeal letter dated September 28, 2011 suggested that the PAC would take the position on appeal that the remaining amount – the cost of administrative services and expenses provided by IHA employees – also did not count as a "contribution." The PAC has now decided for the purposes of this appeal not to take that position.

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Page 4

Although there may be some strong arguments that such costs should also be excluded from a proper understanding of the term "contribution," and although IHA and the PAC reserve the right to make these arguments in the future, the IHA PAC agrees for the purpose of this appeal that the amount of IHA's in-kind contribution to the PAC that should have been reported is \$13,470.04.

3. **Whether ISBE Should Impose a Fine Here**

If the IHA PAC had properly reported an in-kind contribution of \$13,470.04 on a Schedule A-1 filed two days late on July 12, 2011, and if the same reduction formula were used as the one set out in the ISBE penalty letter, then the fine to be imposed would be \$3,368 (50% of the contribution amount, then reduced in half). The IHA PAC therefore submits that the penalty should be reduced to an amount *no greater than* \$3,368.

However, the PAC also respectfully requests that the Board waive a fine in this situation, and that the Hearing Examiner make such a recommendation to the Board.

The statute lists six factors that the Board must consider in deciding whether to impose a fine, and if it does, the amount of the fine.<sup>2</sup> We believe that a full consideration of the factors reveals that there are strong mitigating circumstances here, and that waiving a fine would be just and proper.

1. *"Whether the political committee made an attempt to disclose the contribution and any attempts to correct the violation"*. First, the PAC not only made an attempt to disclose the contribution, it actually did disclose the contribution – twice – in a clear and forthright fashion (on the A-1 and D-2 reports). Second, as to whether the PAC "attempted to correct the violation," it corrected the violation almost immediately by filing the A-1 within two days of the due date.

These facts are especially significant since the contribution was an in-kind contribution. Accurately reporting in-kind contributions often requires far greater effort than reporting a simple contribution of money. Here, Ms. Cortez's affidavit shows how seriously the IHA and the PAC took their obligation to report in-kind contributions fully and accurately to the Board, and how much work was required to aggregate the time of multiple employees and expenses from multiple categories.

Failures to report in-kind contributions fully can be especially difficult for the Board to detect, especially contributions of employee time from entities affiliated with the PAC. In the typical situation, the Board relies on the good faith of political committees to report in-kind

<sup>2</sup> 10 ILCS 5/9-10(c). The regulations are identical in all relevant respects. 26 Ill. Admin. Code 125.425(d)(5)(D).

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contributions. It should be apparent to the Board and the hearing examiner that IHA and the PAC were attempting to act in an extraordinarily careful, diligent, honest manner by comprehensively calculating the IHA's in-kind contribution to the PAC.

Although the PAC was two days late in filing its A-1 report, we submit that the diligence and commitment to disclosure shown by IHA and its PAC here is the kind of behavior that the Board should seek to encourage, not penalize. We therefore suggest that this first factor should weigh strongly in favor of waiving a fine here.

2. *"Whether the violation is attributed to a clerical or computer error".* The PAC cannot claim that its filing of the A-1 two days late was due to a clerical or computer error. It was simply two days late.

3. *"The amount of the contribution".* As described above, the proper amount of the in-kind contribution was \$13,470.04. Just as with the first factor, the fact that the contribution at issue was not a check or credit-card contribution in this amount, but was an in-kind contribution in this amount, is highly significant. When a committee receives a money contribution, no calculating, accounting, or research is required in order to report the right amount. The amount is clear. The reporting task takes minutes. But here, IHA had to go through a fairly involved process to calculate the time its employees had spent that quarter on work relating to the PAC that was properly reportable. This was work that needed to be done, but it could not be done for the second quarter until the quarter was over. The next day, July 1, was the Friday before the July 4<sup>th</sup> weekend. This left three days in order to collect the information and perform the calculations in order to meet the Friday, July 8 deadline.

That the IHA PAC missed the deadline by two days and instead filed the report on Tuesday, July 12 is (we submit) far more understandable (and a far less serious violation) because the contribution at issue was an in-kind contribution that required substantial work in order to figure out the proper amount, rather than a simple money contribution that did not.

4. *"Whether the violation arose from a discrepancy between the date the contribution was reported transferred by a political committee and the date the contribution was received by a political committee".* This factor does not apply.

5. *"The number of days the contribution was reported late".* The contribution was reported only two days late. This is a highly important factor (along with factor 1) because it corresponds almost entirely with the seriousness of the violation. Any political committee that misses a reporting deadline but then files its report within a day or two is clearly acting in good faith and is making all possible efforts to correct its initial error by filing the report almost

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November 16, 2011  
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immediately after the deadline. And obviously, such a quick filing after a deadline passes is entirely inconsistent with any attempt to hide information.

In addition, rarely will any concrete harm flow from a report being filed one or two days late unless the report was due close to the date of an election. Obviously, the purpose of disclosure is to inform the public so that it can take into account the reported information when making political decisions. When a very short delay in filing a required report takes place far from the date of an election, as in this case, the Board should recognize the error as minor and should reduce the fine or eliminate the fine altogether.

Thus, we submit that this factor should weigh very strongly in favor of waiving a fine. And we suggest that in the absence of any aggravating factor, this factor and IHA's diligence in its attempt to calculate in-kind contributions to the PAC should on their own be enough to persuade the Board to waive the fine.

6. *"Past violations of Section 9-3 and 9-10 by the political committee"*. The ISBE's penalty letter says that this violation is the "second delinquent Schedule A-1 filing" by the PAC. While it is true that the PAC was assessed a relatively small fine for the fourth quarter of 2010, the circumstances show that the PAC attempted to correct that prior violation as soon as it realized its error, and that the violation at issue here should be considered much smaller than the prior violation.

Specifically, the IHA PAC received two contributions on October 8, 2010 totaling \$250,000 from IHA subsidiaries. However, the contributions were not reported on A-1 reports because they were not deposited in the typical bank account that the IHA employee (an Accounts Receivable Clerk) checked to file A-1 reports; the Clerk who normally made the deposits was on vacation. Thus, when the Clerk checked the typical bank account, the Clerk did not see the contributions. As soon as the contributions were discovered as part of the D-2 quarterly reporting process in January 2011, they were immediately posted to the Board's IDIS electronic system. However, more than three months had already passed since the contributions had been made. After the IHA PAC appealed the imposition of a fine for this violation, the Board reduced the fine to \$2,500.

While we agree that it is relevant for the Board to consider this prior incident, we do not believe that it should weigh against the PAC here in any significant fashion. First, that violation was clearly an error by a Clerk that was "inadvertent and unintentional," as the hearing examiner found. Second, even that prior clerical error is more significant than the minor delay at issue here. In the prior situation, very large monetary contributions were not disclosed for over three months. Here, an in-kind contribution less than 10% as large was disclosed a mere two days

*Mr. Andy Nauman*  
*November 16, 2011*  
*Page 7*

after the deadline. We therefore submit that it would not be fair or proportionate to impose a fine for this situation that is as large as the fine imposed for the prior situation (\$2,500).

More significantly, we believe that when all six of the factors are considered together, the just resolution of this matter is to waive the fine. The PAC was a mere two days late in filing a report that related to an involved process for calculating an in-kind contribution based on work by multiple employees and multiple categories of expenses, where the time to complete this process and calculations was interrupted by the 4<sup>th</sup> of July weekend. When this violation is compared to the spectrum of potential disclosure and reporting violations, we submit that this violation should be categorized as a minor violation, and that waiving a fine is entirely appropriate.

None of this should be taken to suggest that the PAC is making an excuse for its violation, or that it has anything but respect for the Board and the state's disclosure and reporting regime. To the contrary – the actions of IHA and the PAC establish the care and seriousness they apply to these requirements. We simply suggest that in these circumstances, and considering the six factors set out in the statute, a two-day delay in filing an A-1 report regarding a multi-faceted in-kind contribution is not the kind of violation for which a fine is merited.

We therefore respectfully request that the Board exercise its discretion by waiving the imposition of a fine in this matter.

Very truly yours,



David H. Hoffman

Attachments

cc: Mark D. Deaton, General Counsel  
Illinois Hospital Association

# **ATTACHMENT**

**AFFIDAVIT OF LACY A. CORTEZ**

I, Lacy A. Cortez, being duly sworn, hereby state and declare as follows:

1. I am the Director of Accounting for the Illinois Hospital Association (IHA) and have worked in this position for seven months. Prior to this, I was an Accounting Manager at Goodpack USA, Ltd., for four years. I have been a certified public accountant for eleven years.

2. The IHA is a 501(c)(6) not-for-profit corporation based in Naperville, Illinois, and also maintains an office in Springfield, Illinois. Approximately 205 hospitals throughout Illinois are members of the IHA. The IHA has a political action committee, the IHA PAC, that is registered with the State of Illinois.

3. At IHA, I am a supervisor in the Corporate Finance Department where I supervise five accounting employees. Several employees in the Corporate Finance Department do bookkeeping or administrative work relating to the PAC, including work relating to quarterly and other reports that must be filed by the PAC with the Illinois State Board of Elections (ISBE).

4. With regard to the PAC's accounting for the second quarter of 2011, we followed the process we had used in the past for calculating in-kind contributions to the PAC from IHA. Specifically, an IHA employee in Corporate Finance asked IHA employees whether they had done work relating to the PAC, and if so, the number of hours worked. We then multiplied the person's hours by the appropriate hourly rate to arrive at the value of the person's work relating to the PAC. We also asked for any related expenses. We then added the expenses to the total value of the work and reported this amount as an in-kind contribution to the PAC. For the second quarter of 2011, this amount was \$33,844.65, which consisted of (A) \$28,484.66 worth of time spent by 12 different employees, and (B) \$5,359.99 in expenses.

5. In September 2011, I was asked by IHA officials to obtain more details about the staff time and expenses that had been reported as in-kind contributions to the PAC for the second quarter of 2011, including a determination of how much of the staff time for the 12 employees, and related expenses, were for communications with IHA members and IHA employees. I conducted that inquiry along with Deb Butler, an accounting specialist at IHA who reports to me. Prior to this, I was unaware that there was any need to make a distinction between staff time spent communicating with IHA members about the PAC, and other staff time relating to the PAC.

Employee time reported as in-kind contributions

6. Based on information provided to us by the employees, we determined that for 8 of the 12 employees, it was likely that none of their time that had been reported as an in-kind contribution to the PAC for the second quarter of 2011 had been spent on communications with IHA members. When these employees spent time relating to the PAC, it appeared that their work consisted mainly of administrative tasks such as bookkeeping and ISBE reporting. The value of the time for these 8 employees (listed as "Employees 1-8" in the attached chart) that was reported as an in-kind contribution to the PAC was approximately \$11,042.82.

7. Based on information provided to us by the employees, we determined that for 4 of the 12 employees (all of whom are in the IHA Government Relations Department), all or most of the time that had been reported as an in-kind contribution to the PAC had been spent on tasks relating to communications with IHA members. The value of the time for these 4 employees (listed as "Employees 9-12" in the attached chart) that was reported as an in-kind contribution is approximately \$17,441.84. Of this, \$17,004.75 is the approximate value of time spent on tasks



relating to communications with members, and \$437.09 is the approximate value of time spent on other tasks. Specifically:

a. Nancy DeMarco is in the Government Relations Department of IHA and her title is Development Director for IHA. She is based in Springfield. Approximately 83.5 hours of her time were reported as an in-kind contribution to the PAC for the second quarter of 2011. One of her core tasks is to inform staff at IHA member hospitals about the IHA PAC's activities, and to persuade staff at member hospitals to support the PAC. She therefore spends a substantial amount of time speaking, emailing, and writing letters to officers, directors, trustees, and staff of IHA member hospitals, and doing work to prepare her communications with members (e.g., drafting letters, documents, presentations, and speeches, and organizing events at which she will communicate with staff of member hospitals). All of these tasks constitute communications with IHA members. Some of these communications occur in person at the hospitals or at events around the State, and she therefore travels a great deal for this portion of her job. We determined that all of Ms. DeMarco's 83.5 hours that had been reported as an in-kind contribution were spent conducting the tasks described above, and were therefore communications with IHA members.

b. Howard Peters is Executive Vice President at IHA. He is based in Springfield. Approximately 23 hours of his time were reported as an in-kind contribution to the PAC for the second quarter of 2011. Like Ms. DeMarco and Ms. Dunn, Mr. Peters spends time communicating with staff of member hospitals about the IHA PAC. We determined that all of his 23 hours that had been reported as in-kind contribution to the PAC consisted of time speaking and emailing to officers, directors, trustees, and staff of IHA member hospitals, and doing work to prepare his communications with IHA members.

c. Kathleen Dunn is Vice President of Government Relations at IHA. She is based in Springfield. Approximately 38.5 hours of her time were reported as an in-kind contribution to the PAC for the second quarter of 2011. Like Ms. DeMarco, Ms. Dunn spends time communicating with staff of member hospitals about the IHA PAC. Ms. Dunn estimates and we determined that almost all of her time (approximately 98%) that had been reported as in-kind contribution to the PAC consisted of time speaking and emailing to officers, directors, trustees, and staff of IHA member hospitals, and doing work to prepare her communications with members. We determined that the remainder of her time (approximately 2%) consisted of ministerial administrative tasks that she performed in her role as Treasurer of the PAC (e.g., signing checks). We therefore calculated that of the 38.5 hours that had been reported as an in-kind contribution, approximately 37.5 hours constituted of communications with IHA members, and approximately 1 hour did not.

d. Linn Newton is an Administrative Assistant in the Government Relations Department. Approximately 227.5 hours of her time were reported as an in-kind contribution to the PAC for the second quarter of 2011. Ms. Newton spends a substantial amount of time assisting Nancy DeMarco, Kathleen Dunn, and Howard Peters in their efforts to communicate with IHA member hospitals. Specifically, Ms. Newton assists in the preparation of letters and other written communications to members, and assists in the organization of events and board meetings at which Ms. DeMarco and others communicate with staff member hospitals. On the other hand, Ms. Newton also spends a relatively small amount of time handling administrative or clerical work for the PAC, including work relating to the transfer of bank funds. Ms. Newton estimates and we determined that the split between these two types of tasks is about 93% on tasks relating to communicating with IHA members and about 7% on administrative/clerical

tasks. We therefore calculated that of the 227.5 hours that had been reported as an in-kind contribution, approximately 211.5 hours consisted of communications with IHA members, and approximately 16 hours did not.

Expenses reported as in-kind contributions

8. As to the \$5,359.99 in IHA expenses that were reported as in-kind contributions for the second quarter of 2011, we determined that \$3,369.86 were expenses relating to communications with IHA members, and \$1,990.13 were other types of expenses (specifically, bank fees principally charged as a result of credit card contributions to the PAC from member hospital officials). The expenses relating to communications with members consisted of:

- a. \$1,414.65 in travel expenses by Nancy DeMarco, all of which related to her traveling to member hospitals or nearby locations to meet with or give presentations to hospital officials about the PAC.
- b. \$1,194.93 in postage and \$174.56 in messenger fees, all of which were to mail or deliver letters and other communications to IHA members.
- c. \$379.60 in printing and \$174.12 in paper and presentation materials, all of which were used to print and prepare letters, presentations, and other communications to IHA members.
- d. \$32.00 to lease presentation materials for a presentation to IHA members.

Summary

9. Therefore, as summarized in the attached chart (Exhibit 1), we have calculated that of the \$33,844.65 reported as an in-kind contribution to the PAC for the second quarter of 2011:

a. \$17,004.75 was the value of employee time spent on tasks relating to communications with IHA members, and \$3,369.86 was for expenses relating to those communications, for a total of \$20,374.61 relating to communications with IHA members.

b. \$11,479.91 was the value of employee time spent on tasks that appeared to be unrelated to communications with IHA members, and \$1,990.13 was for expenses unrelated to communications with members, for a total of \$13,470.04 that did not relate to communications with IHA members.

10. I declare under penalty of perjury that the foregoing is true and correct.

By: \_\_\_\_\_

*Lacy A. Cortez*  
Lacy A. Cortez

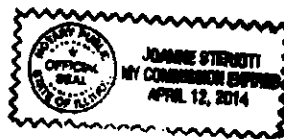
SUBSCRIBED AND SWORN to before me  
this 16 day of November, 2011

Signature \_\_\_\_\_

*Joanne Steriotti*

NOTARY PUBLIC  
My commission expires: \_\_\_\_\_

4/12/14



**EXHIBIT 1 to**  
**AFFIDAVIT OF LACY A. CORTEZ**

*Exhibit 1 to Affidavit of Lacy A. Cortez*

	<u>Administrative etc.</u>	<u>Communications</u>	<u>TOTAL</u>
Employees 1-8	\$11,042.82	0	\$11,042.82
Employees 9-12	\$437.09	\$17,004.75	\$17,441.84
SUBTOTAL - EMPLOYEE TIME	\$11,479.91	\$17,004.75	\$28,484.66
EXPENSES	\$1,990.13	\$3,369.86	\$5,359.99
<u>GRAND TOTAL</u>	<u>\$13,470.04</u>	<u>\$20,374.61</u>	<u>\$33,844.65</u>

**STATE OF ILLINOIS  
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS**

Illinois State Board of Elections  
**Complainant**

v.

**11 AS 017**

PCI Political Account ID# 12467  
**Respondent**

**REPORT OF HEARING OFFICER**

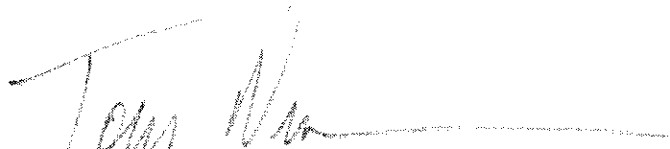
Appeal of Civil Penalty Assessment for Delinquently Filing Schedule A-1 Reports

The Committee received a \$1,536 contribution on 8/12/11, a \$1,000 contribution on 8/13/11 and a \$4,000 contribution on 8/13/11, but failed to report any of these on a Schedule A-1, resulting in a civil penalty assessment of 3,268. Additionally, the Committee had previously been assessed a \$500 civil penalty (not appealed, paid) for delinquently filing a Schedule A-1 for the 2010 General Election and a \$510 civil penalty (not appealed, paid) for delinquently filing a Schedule A-1 for the 2008 General Election. The total assessment is \$3,268.

June Holmes, the Treasurer of the Committee, filed a Waiver of Appearance, and submitted on the Appeal Affidavit the following:

On the Affidavit, Ms. Holmes states that as a result of the Committee's previous filing delinquencies, the Committee hired a national campaign finance reporting service on August 1, 2011. However, she says the contributions in question were received during the transition period and as a result, were not filed. Ms. Holmes adds that the Committee has initiated additional safeguards to ensure that all future reporting is done correctly.

I recommend the appeal be denied for lack of an adequate defense. While there is no indication these violations were anything other than inadvertent and unintentional, since this is the third set of A-1 violations for this Committee I recommend the penalty remain at 100% of the original assessment, or \$3,268. If this recommendation is accepted by the Board, the \$3,268 civil penalty will be due and owing. (As of 9/30/11, this Committee reported a funds available balance of \$73,583.32.)



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Tom Newman – Hearing Officer  
December 23, 2011

STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS

1020 South Spring Street, P.O. Box 4187  
Springfield, Illinois 62708  
217/782-4141  
Fax: 217/782-5959

James R. Thompson Center  
100 West Randolph, Suite 14-100  
Chicago Illinois 60601  
312/814-6440  
Fax: 312/814-6485



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EXECUTIVE DIRECTOR  
Rupert T. Borgsmiller  
December 5, 2011

PCI Political Account ID# 12467  
June Holmes  
2600 River Rd, 1st floor  
Des Plaines, IL 60018-3203

Dear PCI Political Account:

This committee has failed to timely file the following Schedule A-1's, Report of Campaign contributions of \$1000 or more as required by the Illinois Campaign Disclosure Act:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>	<u>Fine Assessed</u>
Harleysville Group PAC	8/13/11	\$1000	*	n/a	\$500
Harleysville Group PAC	8/13/11	\$4000	*	n/a	\$2000
Progressive Casualty Insurance Co	8/12/11	\$1536	*	n/a	\$768

As required by the Illinois Campaign Disclosure Act, as amended by Public Act 96-832, your committee is subject to a fine of \$3268 for delinquently filing schedule A-1 reports. This total **does not** reflect any previously assessed fines.

Since this is the third or subsequent delinquent Schedule A-1 filing by your committee, the civil penalty will be \$3268, (100% of the above referenced fine amount) if you do not choose to file an appeal under the Board's present policy. The fine will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired (January 4). This amount must be paid, including any previously assessed fines, within 30 days of the issuance of the Order.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal within this 30 day period, you forfeit the right to contest this assessment.**



State of Illinois )  
County of Cook )

STATE BOARD OF ELECTIONS  
11 DEC 21 PM 2:46

BEFORE THE STATE BOARD OF ELECTIONS  
OF THE STATE OF ILLINOIS

IN THE MATTER OF;  
ILLINOIS STATE BOARD OF ELECTIONS.

Complainant

Vs.

Case No. 11AS017

PCI POLITICAL ACCOUNT  
12467 Respondent(s).

APPEAL AFFIDAVIT

I, JUNE HOLMES, the TREASURER of the  
(Name) (Chairman/Treasurer)

PCI POLITICAL ACCOUNT  
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

PURSUANT TO THE PREVIOUS ERRORS THAT OCCURRED IN PRIOR ILLINOIS CAMPAIGN FINANCE REPORTING, AND OTHER STATES, THE PCI POLITICAL ACCOUNT EMPLOYED DDC, A NATIONAL CAMPAIGN FINANCE REPORTING SERVICE PROVIDER, BEGINNING AUGUST 1, 2011, ALL THREE OF THE PAC CONTRIBUTIONS WERE RECEIVED DURING THE DDC TRANSITION TIME FRAME AND THEREFORE THE TIMELY SCHEDULE A-1 REPORT WAS NOT MADE. BOTH DDC AND THE PCI POLITICAL ACCOUNT HAVE INITIATED ADDITIONAL SAFEGUARDS SO THAT ALL FUTURE SCHEDULE A-1 REPORTING WILL BE DONE WITHIN THE CORRECT TIME FRAME. THEREFORE, WE RESPECTFULLY REQUEST THE ENTIRE \$3,368 FINE ASSESSED BE WAIVED AT THIS TIME

Signed and Sworn to by:

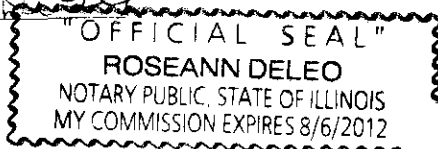
JUNE HOLMES

before me this 19th Day of

DECEMBER, 2011

Roseann Deleo  
Notary Public

JUNE HOLMES  
(Signature of Chairman/Treasurer)



**STATE OF ILLINOIS  
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS**

Illinois State Board of Elections  
**Complainant**

v.

**11 SQ 041**

Illinois Roofing Contractors PAC  
Cmte ID: 14286  
**Respondent**

**REPORT OF HEARING OFFICER**

Appeal of Civil Penalty Assessment  
For Delinquently Filing the September 2011 Quarterly Report

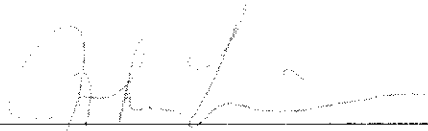
The Report was received October 19, 2011, 2 days late, resulting in an assessment of \$100. The Committee was previously assessed \$275 (not appealed in a timely manner, stayed) for delinquently filing the June 2011 Quarterly Report, \$150 (not appealed, expired) for delinquently filing the December 2008 Semi-Annual Report, and \$25 (not appealed, expired) for delinquently filing the June 2002 Semi-Annual Report.

Patricia Keating, the Treasurer of the Committee, filed a Waiver of Appearance and an Appeal Affidavit in this matter. She included with it an appeal of the fine assessed in connection with the delinquent June 2011 Quarterly Report. However, the Committee did not appeal the latter assessment in a timely manner, nor did it file a motion to reconsider. A final board order including a \$275 assessment was issued in that matter on October 19, 2011.

On the Affidavit filed in the appeal of the \$100 fine, Ms. Keating stated the Committee did not receive notice and forms from the Board in advance of the September 2011 Quarterly Report, as required by statute. However, a review of Board records indicates such notice and forms were mailed August 29, 2011, to the address provided the Board by the Committee. Ms. Keating stated another person working on behalf of the Committee visited the Board web site and noted a due date for the Report of October 17, 2011, and mistakenly inferred a postmark of October 17<sup>th</sup> would be sufficient for the Report to be considered timely. Ms. Keating stated she was given incorrect information on how to complete the Quarterly Report from staff in the Board's Chicago office. She also stated the electronic filing software provided by the Board is cumbersome.

While I sympathize with the Committee, I recommend the appeal be denied for lack of an adequate defense. I cannot speak to the quality of the assistance she received from Board staff; however, the affidavit references assistance sought in completing the Report rather than in determining the actual due date of the Report. Reference materials available on the Board web site, including the Act itself and the Guide to Campaign Disclosure, outline due dates for Quarterly Reports. According to documents filed by the Committee, Ms. Keating has served as

Treasurer since its inception in 1998. Additionally, the Committee was under no obligation to file the Report electronically and, therefore, is not entitled to relief pursuant to Section 100.150(c)(5) of the Rules accompanying the Act. If the Board accepts this recommendation, the stay on the \$275 fine for delinquently filing the June 2011 Quarterly Report would be lifted, and a total of \$375 would be due and owing. As of the December 31, 2011, the Committee reported a cash balance of \$1715.40.

A handwritten signature in dark ink, appearing to read "John Levin", is written over a horizontal line.

John Levin – Hearing Officer  
January 26, 2012

STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS

1020 South Spring Street, P.O. Box 4187  
Springfield, Illinois 62708  
217/782-4141  
Fax: 217/782-5959

James R. Thompson Center  
100 West Randolph, Suite 14-100  
Chicago Illinois 60601  
312/814-6440  
Fax: 312/814-6485



EXECUTIVE DIRECTOR  
Rupert T. Borgsmiller  
December 5, 2011

BOARD MEMBERS  
William M. McGuffage, Chairman  
Jesse R. Smart, Vice Chairman  
Harold D. Byers  
Bety J. Coffrin  
Ernest L. Gowen  
Judith C. Rice  
Bryan A. Schneider  
Charles W. Scholz

Illinois Roofing Contractors PAC  
Patricia Keating  
4415 W Harrison St. Ste 436  
Hillside, IL 60162-1906

ID# 14286

Dear Illinois Roofing Contractors PAC:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type:	Quarterly Report of Campaign Contribution and Expenditures
Report Period:	July 1, 2011 through September 30, 2011
Filing Period:	October 3, 2011 through October 17, 2011

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on October 19, 2011, 2 days late. As such, this committee has been assessed a fine of \$100.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by January 4, you forfeit the right to contest this assessment.**

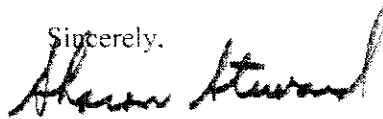
If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order (January 4). You will be notified of this payment due date in the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 1020 S Spring Street, Springfield, IL 62704.

If the above listed violation is not appealed, and since this is a subsequent violation, the previously stayed fine(s) for delinquent filing is now also required to be paid by the committee within 30 days:

Reporting Period	Report Type	Previous Fine Amount
April 1 through June 30, 2011	Quarterly	\$275
<b>TOTAL AMOUNT NOW DUE</b>		<b>\$375</b>

If you have any questions regarding the appeal process, please call Jennifer Ronitnious at 217-782-1543.

Sincerely,



Sharon Steward  
Director, Campaign Disclosure Division

SS: jr

Enclosure(s): appeal packet

State of Illinois )  
County of \_\_\_\_\_ )

STATE BOARD OF ELECTIONS  
12 JAN -6 AM 10:47

BEFORE THE STATE BOARD OF ELECTIONS  
OF THE STATE OF ILLINOIS

IN THE MATTER OF; )

ILLINOIS STATE BOARD OF ELECTIONS, )

Complainant )

Vs. )

Case No. 11SQ041

Illinois Roofing Contractors PAC )  
Respondent(s). )  
14286

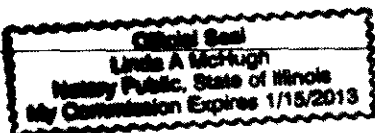
APPEAL AFFIDAVIT

I, Patricia H. Keating, the Treasurer of the  
(Name) (Chairman/Treasurer)

Illinois Roofing Contractors PAC #14286  
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

Please see attached



Signed and Sworn to by:  
Linda A. McHugh  
before me this 4th Day of  
January, 2011 2012  
Notary Public

Patricia H. Keating  
(Signature of Chairman/Treasurer)

## **Appeal to – Illinois Roofing Contractors PAC, ID #14286**

Defense documentation for waiving of the \$375.00 assessed December 5, 2011

Date: January 4, 2012

### **Reporting Period: 4/1/11-6/30/11, Report Type: Quarterly**

**Defense:** *While the State Board of Elections Online Reporting system is encouraged, it does not work for all users. A delay was caused by the unsuccessful attempt at downloading and using the system.*

During this time period, the Illinois Roofing Contractors PAC office received instructions in the mail for the new electronic filing system recently put into place. Linda McHugh attempted to install the system software from the State Board of Education website. After 3 hours and several phones to your Chicago office, she gave up. Please note that during the last phone call, the Chicago representative volunteered technical help if Linda traveled down to Chicago. This was not feasible due to the time and expense. A hard copy was filed instead. After the next filing, she contacted Andy Almond in the Springfield office, who admitted that the online download process is "cumbersome and doesn't always work for all users."

### **Reporting Period: 7/1/11-9/30/11, Quarterly**

**Defense:** *The Illinois Roofing Contractor PAC fund office did not receive the paperwork from the State Board of Elections for the next quarterly filing and the Board's website did not provide clear direction regarding the due date when used as a resource to determine this information.*

When the forms were not received by the Illinois Roofing Contractor PAC, Linda McHugh visited the State Board of Elections Website to determine the filing deadline and forms needed. The website listed "October 17, 2011" as the report filing date....NO WHERE ON THE WEBSITE DOES IT STATE "RECEIVED BY" vs. "POSTMARKED BY" nor was it listed on the Board's homepage calendar. The reporting was mailed on October 17<sup>th</sup>, the assumed "postmarked date" and was received on October 19<sup>th</sup> in Springfield. Please note that prior to mailing the completed forms, she contacted the Chicago office by phone several times for assistance in completing the paperwork. The staff person she talked to was unfamiliar on how to complete the D-2 form and gave her incorrect information which he later acknowledged.


After contacting Ms. Stewart on October 21, when the Illinois Roofing Contractor PAC fund office received the notice that this filing had been received late, she verified that the State Board of Elections has the correct mailing address for the Illinois Roofing Contractors PAC fund but for some reason, the required paperwork was not received by our PAC. Ms. Stewart did

state that a new web-based system will be unveiled in the future that will be less cumbersome and won't entail the user to download software that is not guaranteed to work. Ms. McHugh expressed her extreme frustration of the inability of our PAC to utilize the current electronic filing system. She also recommended that the State Board of Elections review both the website as a resource for others looking for such crucial information as deadline and form completion assistance. It clearly could be a better and more efficient resource if updated.

The Illinois Roofing Contractor PAC fund is a very small one; there are years when the PAC's expenditures do not reach the \$3,000 spending level. A total penalty of \$375 will severely diminish the ability to support Illinois Roofing Industry candidates. It is the hope that the Appeals Board can reduce or waive this fine. We also hope that our frustrations with the current electronic filing system and website clarifications will also be addressed, to make the entire system more efficient for all PAC funds to report and use as a resource.

If you have any further questions, please contact Linda McHugh at 708-449-3340. Thank you for your consideration in this matter.





# ILLINOIS State Board of Elections

Next Election: Tuesday, March 20, 2012

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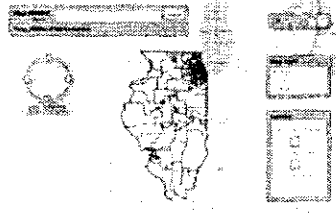
### Top Documents

1. 2012 Candidate's Guide (Amended 10/6/11)
2. Registering To Vote In Illinois
3. Illinois Voter Registration Application (English)
4. 2012 Election Calendar (Amended 10/5/2011)
5. Judicial Vacancies

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#### Interactive GIS Map



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### Illinois State Board of Elections News

10/11/2011 - Campaign Disclosure -- Quarterly Report Filing Deadline - Monday, October 17, 2011

The filing period for the September 2011 Quarterly Report ends Monday, October 17th. The September 2011 Quarterly Report must include all committee a...

10/4/2011 - 2012 Judicial Vacancies - Updated

Judicial Vacancies certified by the Supreme Court or the State Board of Elections for 2012 as of September 29, 2011.

9/26/2011 - New Interactive GIS Map is Now Available

The State Board of Elections posted an interactive map featuring the newly drawn Congressional, legislative, and Representative district boundaries on...

[View All News Events](#)

### Event Calendar

October 2011						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
24	25	26	27	28	29	1
2	3	4	5	6	7	8
9	10	11	12	13	14	15
16	17	18	19	20	21	22
23	24	25	26	27	28	29
30	31					

Today: Friday, October 21, 2011

[View Full Event Calendar](#)

Karen's Replicator 2011 San Diego CICA Members PCIA - QuadB... Inbox - Microsoft Printing 1 page save the... Drury Lane

Website Oct 14, 2011

Illinois Roofing Contractors PAC  
 4415 W Harrison St, Ste 436  
 Hillside, IL 60162-1906

State of Illinois  
 State Board of Elections  
 Campaign Disclosure Division

1020 South Spring St.  
 PO Box 4187  
 Springfield, Illinois 62708

100 West Randolph St.  
 Suite 14-100  
 Chicago, Illinois 60601

Political Action 14286 02  
 Illinois Roofing Contractors PAC

**PLEASE RETAIN THIS RECEIPT FOR YOUR RECORDS**

The Illinois State Board of Elections has received the following document(s) from your committee.  
 If this information is incorrect, notify our office at (217)782-4141 or (312)814-6440.

8/1/2011 11:52:03AM	Received in Springfield	Letter/Correspondence
8/1/2011 11:55:09AM	Received in Springfield Postmarked 7/27/11	D-2 Quarterly Report

10/20/2011

Illinois Roofing Contractors PAC  
4415 W Harrison St, Ste 436  
Hillside, IL 60162-1906

State of Illinois  
State Board of Elections  
Campaign Disclosure Division

1020 South Spring St.  
PO Box 4187  
Springfield, Illinois 62708

100 West Randolph St.  
Suite 14-100  
Chicago, Illinois 60601

Political Action 14286 02  
Illinois Roofing Contractors PAC

**PLEASE RETAIN THIS RECEIPT FOR YOUR RECORDS**

The Illinois State Board of Elections has received the following document(s) from your committee.  
If this information is incorrect, notify our office at (217)782-4141 or (312)814-6440.

10/19/2011 11:11:02AM

Received in Springfield D-2 Quarterly Report  
Postmarked 10/17/11

**STATE OF ILLINOIS  
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS**

Illinois State Board of Elections  
**Complainant**

v.

**11 AS 026**

Kane County Republican Advisory Council  
**Respondent**

ID# 18202

**REPORT OF HEARING OFFICER**

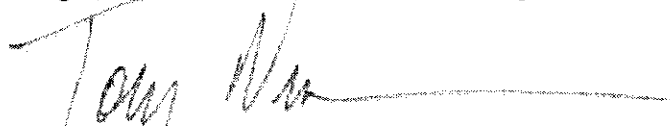
Appeal of Civil Penalty Assessment for Delinquent Filing of Schedule A-1 Reports

The Committee received a total of 19 contributions of \$1,000 or more between 7/25/11 and 8/31/11, for a grand total of \$23,500, and reported them all on a Schedule A-1 received by the Board on 9/8/11, between 1 and 27 days late, resulting in a civil penalty assessment of \$11,750.

Dan Sergi, the Treasurer of the Committee, filed a Waiver of Appearance, and submitted on the Appeal Affidavit the following:

On the Affidavit, Mr. Sergi states that the process of handling the Committee's filings was transitioned this year and as the new Treasurer, he was not informed of the A-1 filing requirement for contributions of \$1,000 or more. He adds that when the electronic filing software was updated this year, it began giving alerts when a Schedule A-1 filing was due, so the Committee is now able to complete its filings timely and accurately.

The IDIS electronic filing software does prompt users to file a Schedule A-1, but only when a qualifying receipt is entered. The responsibility for filing A-1s still rests with the Committee. Similarly, it is the responsibility of the Committee Treasurer to know what reporting is required regardless of how long they have served in the position. I therefore recommend the appeal be denied for lack of an adequate defense. However, since there is no indication the violations were anything other than inadvertent and unintentional, and since this is the first set of A-1 violations for this Committee, I recommend the penalty be reduced to 10% of the original assessment, or \$1,175. If these recommendations are accepted by the Board, the \$1,175 civil penalty will be due and owing. (As of 9/30/11, this Committee reported a funds available balance of \$29,796.95.)



---

Tom Newman -- Hearing Officer  
December 19, 2011

STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS

1020 South Spring Street, P.O. Box 4187  
Springfield, Illinois 62708  
217/782-4141  
Fax: 217/782-5959

James R. Thompson Center  
100 West Randolph, Suite 14-100  
Chicago Illinois 60601  
312/814-6440  
Fax: 312/814-6485



EXECUTIVE DIRECTOR  
Rupert T. Borgsmiller  
December 5, 2011

BOARD MEMBERS  
William M. McGuffage, Chairman  
Jesse R. Smart, Vice Chairman  
Harold D. Byers  
Bety J. Coffrin  
Ernest L. Gowen  
Judith C. Rice  
Bryan A. Schneider  
Charles W. Scholz

Kane County Republican Advisor Council  
Dan Sergi  
225 Smith Rd.  
St Charles, IL 60174

ID# 18202

Dear Kane County Republican Advisor Council:

This committee has failed to timely file the following Schedule A-1's, Report of Campaign contributions of \$1000 or more as required by the Illinois Campaign Disclosure Act:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>	<u>Fine Assessed</u>
Mendel Plumbing Heating & AC	7/28/11	\$2500	9/8/11	24	\$1250
Peter Orum	8/16/11	\$2500	9/8/11	11	\$1250
Plote Construction	8/81/11	\$1000	9/8/11	9	\$500
Daniel Sergi	8/9/11	\$1000	9/8/11	16	\$500
Wright & Co	7/28/11	\$1000	9/8/11	24	\$500
TS Alexander	7/25/11	\$2500	9/8/11	27	\$1250
Greg & Martha Buffington	8/16/11	\$1000	9/8/11	11	\$500
Janice Christiansen	8/9/11	\$1000	9/8/11	16	\$500
Christopher Burke Engineering West	7/28/11	\$1000	9/8/11	24	\$500
Andrew Faville	8/1/11	\$1000	9/8/11	22	\$500
Feltes Sand & Gravel	8/16/11	\$1000	9/8/11	11	\$500
Hampton, Lenzini & Renwick	8/16/11	\$1000	9/8/11	11	\$500
Manufactures PAC	8/18/11	\$1000	9/8/11	9	\$500
David Bumbar	8/23/11	\$1000	9/8/11	6	\$500
Elmhurst-Chicago Stone	8/23/11	\$1000	9/8/11	6	\$500

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>	<u>Fine Assessed</u>
Robert Fitzsimmons	8/31/11	\$1000	9/8/11	1	\$500
Rich Harvest Farms	8/23/11	\$1000	9/8/11	6	\$500
James Sikich	8/31/11	\$1000	9/8/11	1	\$500
The Wadsworth Co	8/23/11	\$1000	9/8/11	6	\$500

As required by the Illinois Campaign Disclosure Act and the changes enacted in 2011, as amended by Public Act 96-832, your committee is subject to a fine of \$11,750 for delinquent filing Schedule A-1 reports. This total **does not** reflect any previously assessed fines.

Since this is the first delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$1175, (10% of the above referenced fine amount) if you do not choose to file an appeal under the Board's present policy. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired (January 4). This amount must be paid, including any previously assessed fines, within 30 days of the issuance of the Order.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal within this 30 day period, you forfeit the right to contest this assessment.**

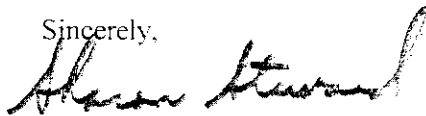
If the above listed violation is not appealed, and since this is a subsequent violation, the previously stayed fine(s) for delinquent filing is now also required to be paid by the committee within 30 days of the issuance of the Final Board Order:

<u>Reporting Period</u>	<u>Report Type</u>	<u>Previous Fine Amount</u>
July 1 through October 3, 2010	Pre-Election	\$1100
July 1 through December 31, 2010	Semi-Annual	\$3800
<b><i>TOTAL AMOUNT NOW DUE</i></b>		<b>\$6075</b>

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 1020 S Spring Street, Springfield, IL 62704.

If you have any questions regarding the appeal procedure, please call Jennifer Ronimous at 217-782-1543.

Sincerely,



Sharon Steward  
Director, Campaign Disclosure Division

SS: jr  
Enclosures: appeal packet

\* This contribution was reported on the September Quarterly Report but should also have been reported on a Schedule A-1 Report of Campaign Contributions of \$1000 or more.

State of Illinois )  
County of \_\_\_\_\_ )

STATE BOARD OF ELECTIONS  
11 DEC 15 AM 10:39

BEFORE THE STATE BOARD OF ELECTIONS  
OF THE STATE OF ILLINOIS

IN THE MATTER OF; )

ILLINOIS STATE BOARD OF ELECTIONS, )

Complainant )

Vs. )

Case No. 11AS026

Rare County Republican  
Respondent(s)  
Advisory Council

APPEAL AFFIDAVIT

Dan Sergi, the Treasurer of the  
(Name) (Chairman/Treasurer)

Rare County Republican Advisory Council  
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

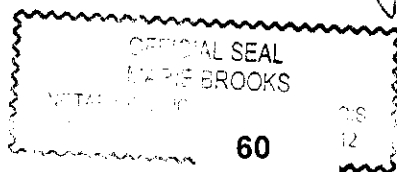
We did not become aware of this procedure  
until September when the A-1 was filed.  
Since then we have been timely filing  
the A-1's for any donations of \$1000 or  
more. We are now in complete compliance.

Signed and Sworn to by:

DANIEL SERGI  
before me this 13th Day of  
December, 2011  
Maria Brooks  
Notary Public



(Signature of Chairman/Treasurer)



**STATE OF ILLINOIS  
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS**

Illinois State Board of Elections  
**Complainant**

v.

**11 SQ 149**

Friends of BBCHS in Support of Building Referenda  
**Respondent**

ID# 19859

**REPORT OF HEARING OFFICER**

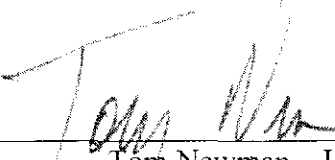
Appeal of Civil Penalty Assessment for Delinquent Filing of the  
September 2011 Quarterly Report

The Report was received by the Board on November 2, 2011, 12 days late, resulting in a civil penalty assessment of \$600.

Rick Manuel, the Treasurer of the Committee, filed a Waiver of Appearance, and submitted on the Appeal Affidavit the following:

On the Affidavit, Mr. Manuel states that an accidental lapse within the Committee resulted in the Report form either being misplaced or lost in the transmission of documents.

I recommend the appeal be denied for lack of an adequate defense. As a first violation, the penalty is stayed. (As of 9/30/11, this Committee reported a funds available balance of \$7,843.02.)

  
\_\_\_\_\_  
Tom Newman – Hearing Officer  
December 23, 2011



STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS

1020 South Spring Street, P.O. Box 4187  
Springfield, Illinois 62708  
217/782-4141  
Fax: 217/782-5959

James R. Thompson Center  
100 West Randolph, Suite 14-100  
Chicago Illinois 60601  
312/814-6440  
Fax: 312/814-6485



EXECUTIVE DIRECTOR  
Rupert T. Borgsmiller  
December 5, 2011

BOARD MEMBERS  
William M. McGuffage, Chairman  
Jesse R. Smart, Vice Chairman  
Harold D. Byers  
Bety J. Coffrin  
Ernest L. Gowen  
Judith C. Rice  
Bryan A. Schneider  
Charles W. Scholz

Friends of BBCHS in Support of Building Referenda  
Maurice Manuel  
380 S Thames Ct  
Bourbonnais, IL 60914

ID# 19859

Dear Friends of BBCHS in Support of Building Referenda:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type:	Quarterly Report of Campaign Contributions and Expenditures
Report Period:	July 1, 2011 through September 30, 2011
Filing Period:	October 3, 2011 through October 17, 2011

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received by the Board on November 2, 2011, 12 days late. As such, this committee has been assessed a fine of \$600.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by January 4, you forfeit the right to contest this assessment.**

Since this is a first time violation, the assessed civil penalty will be ***stayed***. Any subsequent violation of Article 9 of the Election Code or of a Board Order, may result in the assessment of an additional civil penalty as provided in Section 125.425 of the Rules and Regulations. If that subsequent violation is one which results in the assessment of a civil penalty, that penalty, as well as the civil penalty previously stayed, shall become due and owing. **Therefore, you need not pay this assessed civil penalty unless another violation occurs.**

If you have questions regarding the appeal process, please call Jennifer Ronimus at 217-782-1543.

Sincerely,

Sharon Steward  
Director, Campaign Disclosure Division

SS: jr  
Enclosure(s): appeal packet

State of Illinois )  
 )  
 County of \_\_\_\_\_ )

BEFORE THE STATE BOARD OF ELECTIONS  
 OF THE STATE OF ILLINOIS

IN THE MATTER OF; )  
 )  
 ILLINOIS STATE BOARD OF ELECTIONS, )  
 )  
 Complainant )

VS. FRIENDS OF BBCHS )

Case No. \_\_\_\_\_ )

IN SUPPORT OF BUILDING )  
 Respondent(s). )  
REFERENDA

APPEAL AFFIDAVIT

I, RICK MANUEL, the TREASURER of the  
 (Name) (Chairman/Treasurer)

FRIENDS OF BBCHS IN SUPPORT OF BUILDING  
 (Name of the Committee) REFERENDA

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

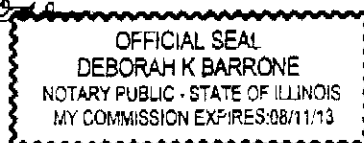
There was an accidental lapse somewhere between  
my, as treasurer, and the retired school business  
manager who completes the form for submission. The  
form was either misplaced or lost in the transmission  
of the required documents. We are both retired volunteers  
and had no intention to default our responsibilities.

Signed and Sworn to by: Please dismiss:

Rick Manuel  
 before me this 19 Day of  
December, 2011

Rick Manuel  
 (Signature of Chairman/Treasurer)

Deborah K Barrone  
 Notary Public



STATE OF ILLINOIS  
COUNTY OF COOK

BEFORE THE STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS

Illinois State Board of Elections

**Complainant**

Vs.

11 AJ 062

Local 705 Teamsters Political Action Committee B (ID 21038)

**Respondent**

**REPORT OF HEARING OFFICER**

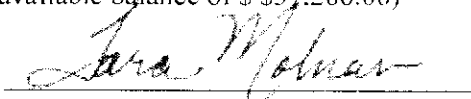
Appeal of Civil Penalty Assessment for Failure to File a Schedule A-1 Report

The Committee received a \$14,401.14 contribution on June 15, 2011 and failed to file a Schedule A-1 Report resulting in a civil penalty assessment of \$7,201 or 50% of the amount reflected above.

Ron Damerjan, Accounting Manager for Local 705 and Michael Blanski, CPA from Graff, Ballauer & Blanski, P.C. appeared on October 25, 2011 for the appeal hearing.

Ron Damerjan stated the committee never received notice from the Board regarding the 2011 changes to the Schedule A-1 reporting requirements. The contribution assessed was from Drive Committee, a federal political committee that collects contributions from union employers who deduct a portion of their employee's checks. The wage deduction is elective by union employees, not a required assessment. Drive Committee contributes a certain percentage of these donations to Local 705 Teamsters on a quarterly basis. Michael Blanski acknowledged the Committee filed all previous reports timely and understands the new filing requirements.

To clarify, the Drive Committee would not be considered a conduit under Section 5/9-8.5(i) since the contributions are voluntarily made. An organization may be considered a conduit for contributions made through dues, levies, or similar assessments, but a conversation with a representative of Drive Committee clarified that they would not meet the criteria to be considered a conduit. Contributions from the Drive Committee qualify as a transfer in and subject to Schedule A-1 Requirements. Respondent's defense is insufficient. The Board issued several notices in 2010 and 2011 regarding the 2011 campaign disclosure rules and regulations. Notices were sent to the address associated with the committee as officially declared on their D-1 Statement of Organization. The Respondent claimed they received no instruction regarding the new filing laws; however the Committee did receive the delinquent and assessment notices which were sent to the same address. Additionally, the Board is not mandated by law to notify political committees of changes and disperses information as a courtesy. I recommend that the appeal be denied, and the assessed civil penalty be reduced to 10%, or \$720, but stayed as a first violation (As of 9/30/11, this committee reported a funds available balance of \$ \$37,286.06)



Tara Molnar – Hearing Officer

November 14, 2011

1020 South Spring Street, P.O. Box 4187  
Springfield, Illinois 62708  
217/782-4141  
Fax: 217/782-5959

James R. Thompson Center  
100 West Randolph, Suite 14-100  
Chicago Illinois 60601  
312/814-6440  
Fax: 312/814-6485

STATE OF ILLINOIS



EXECUTIVE DIRECTOR  
Rupert T. Borgsmiller  
August 30, 2011

BOARD MEMBERS  
BOARD MEMBERS  
William M. McGuffage, Chairman  
Jesse R. Smart, Vice Chairman  
Harold D. Byers  
Bety J. Coffrin  
Ernest L. Gowen  
Judith C. Rice  
Bryan A. Schneider  
Charles W. Scholz

Local 705 Teamsters Political Action Committee B  
Juan Campos  
1645 W Jackson Blvd, Ste 700  
Chicago, IL 60612-3276

ID# 21038

Dear Local 705 Teamsters Political Action Committee B:

This committee has failed to timely file the following Schedule A-1's, Report of Campaign contributions of \$1000 or more as required by the Illinois Campaign Disclosure Act:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>	<u>Fine Assessed</u>
Drive Committee	6/15/2011	\$14,401.14	*	13	\$7201

As required by the Illinois Campaign Disclosure Act, as amended by Public Act 96-832, your committee is subject to a fine of \$7201 for delinquently filing schedule A-1 reports. This total **does not** reflect any previously assessed fines.

Since this is the first delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$721, (10% of the above referenced fine amount) if you do not choose to file an appeal under the Board's present policy. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired (September 29). Since your committee has no other civil penalty assessments and only one delinquent Schedule A-1 amount is listed above, the penalty will be **stayed** as a first violation and would only become due and owing upon any subsequent delinquent filings by the committee. **Therefore, you need not pay this assessed civil penalty unless another violation occurs.**

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal within this 30 day period, you forfeit the right to contest this assessment.**

If you have any questions regarding the appeal procedure, please call Jennifer Ronimous at 217-782-1543.

Sincerely,

A handwritten signature in cursive script that reads "Sharon Steward".

Sharon Steward  
Director, Campaign Disclosure Division

SS: jr

Enclosures: appeal packet

\* This contribution was reported on the June Quarterly Report but should also have been reported on a Schedule A-1 Report of Campaign Contributions of \$1000 or more.

State of Illinois )  
County of Cook )

STATE BOARD OF ELECTIONS

11 SEP 21 PM 4:18

BEFORE THE STATE BOARD OF ELECTIONS  
OF THE STATE OF ILLINOIS

IN THE MATTER OF; )

ILLINOIS STATE BOARD OF ELECTIONS, )

Complainant )

Vs. Local 705 Teamsters Political )  
Action Committee B )

Case No. 11AJ062

Respondent(s). )

APPEAL AFFIDAVIT

I, Juan Campos, the Chairman of the  
(Name) (Chairman/Treasurer)

Local 705 Teamsters Political Action Committee B

(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

We never received any instruction with regard to the new filing of Schedule A-1 and were not aware of any changes in the filing of the Schedule A-1 until we received the notice dated August 30, 2011, after we filed the D-2 on July 12, 2011. Once we received the notice we acted promptly on this violation. We were under the understanding that this money was from members withholding on their paycheck and sent to the Drive Fund and then the Drive Fund would forward the Local 705 Teamsters Political Action Committee B.

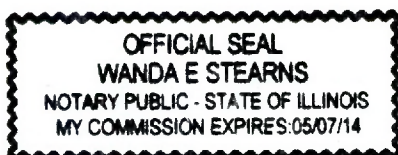
Signed and Sworn to by:

before me this 19<sup>th</sup> Day of

Sept, 2011

Wanda E Stearns  
Notary Public

Juan Campos  
(Signature of Chairman/Treasurer)





**STATE OF ILLINOIS  
COUNTY OF COOK**

**BEFORE THE STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS**

Illinois State Board of Elections  
**Complainant**

Vs.

11 AJ 014

Committee to Elect Kevin W Horan 23658  
**Respondent**

**REPORT OF HEARING EXAMINER**

Appeal of Civil Penalty Assessment for Delinquently Filing  
A Schedule A-1 for the 2<sup>nd</sup> Quarter of 2011

This Committee received a contribution of \$20,000 on 5/6/11 and listed it on a Schedule A-1 filed on 5/19/11. The total assessment is \$10,000.

The Respondent was represented by attorney James P. Nally at the September 1 appeal hearing.

James Nally indicated that the \$20,000 contribution from Marcella Meyer was seed money for the Committee. The contribution was actually from Marcella Meyer Horan the spouse of the candidate. The Committee was of the belief that once the Statement of Organization was filed on 5/12/11 that they then had 5 business days to file the Schedule A-1 once they officially became a committee on file with the State Board of Elections. The Committee filed a Schedule A-1 on the 5<sup>th</sup> business day, 5/19/11.

The Committee filed a Statement of Organization on 5/12/11 and listed the creation date of 5/11/11. The \$20,000 contribution from Marcella Meyer was reported as being received on 5/6/11. The receipt of this \$20,000 contribution on 5/6/11 would contradict the creation date the Committee listed on the Statement of Organization filed on 5/12/11. However, the Committee amended the Statement of Organization on 7/5/11 and changed the creation date to 4/25/11. The Committee reported on the 1<sup>st</sup> Quarterly Report of 2011 that they expended \$10,000 before they took in any funds. The Committee reported an expense on 4/30/11 for \$10,000 and another expense on 5/1/11 for \$2,500, but the first contributions were reported as being received on 5/1/11 for \$600 and 5/6/11 for \$20,000. The Committee's attorney could not explain how the Committee spent funds before they had them; however, the \$20,000 contribution was described by Mr. Nally as start-up funding for the Committee and this contribution was received on 5/6/11 as per the check the Committee supplied after the hearing (attached). The Committee amended their creation date to 4/25/11 and the contribution in question was received on 5/6/11. Therefore, a Schedule A-1 should have been filed within 5 business days. I recommend the appeal be denied for lack of an adequate defense. In addition, since there is no indication that this violation was anything other than inadvertent and unintentional, and since this is the Committee's first violation involving a Schedule A-1, I also recommend the penalty be reduced to 10% of the original assessment, or \$1,000. As a first violation, the penalty is stayed.



Andy Nauman – Hearing Officer

December 1, 2011

1020 South Spring Street, P.O. Box 4187  
Springfield, Illinois 62708  
217/782-4141  
Fax: 217/782-5959

James R. Thompson Center  
100 West Randolph, Suite 14-100  
Chicago Illinois 60601  
312/814-6440  
Fax: 312/814-6485

STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS



EXECUTIVE DIRECTOR

Rupert T. Borgsmiller

June 30, 2011

BOARD MEMBERS  
Bryan A. Schneider, Chairman  
Wanda L. Rednour, Vice Chairman  
Patrick A. Brady  
John R. Keith  
William M. McGuffage  
Albert S. Porter  
Jesse R. Smart  
Robert J. Walters

Committee to Elect Kevin W Horan  
PO Box 277  
La Grange, IL 60525-0277

ID# 23658

Dear Committee to Elect Kevin W Horan:

This committee has failed to file the following Schedule A-1's, Report of Campaign contributions of \$1000 or more as required by the Illinois Campaign Disclosure Act:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Fine Assessed</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>
Marcella Meyer	5/6/2011	\$10,000	\$20,000	5/19/2011	4

As required by the Illinois Campaign Disclosure Act, as amended by Public Act 96-832, your committee is subject to a fine of \$10,000 for delinquent filing schedule A-1 reports. This total **does not** reflect any previously assessed fines.

Since this is the first delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$1000, (10% of the above referenced fine amount) if you do not choose to file an appeal under the Board's present policy. The total amount due will be imposed with the issuance of a Final Board Order after the 30-day appeal period has expired. Since your committee has no other civil penalty assessments and only one delinquent Schedule A-1 amount is listed above, the penalty will be **stayed** as a first violation and would only become due and owing upon any subsequent delinquent filings by the committee. **Therefore, you need not pay this assessed civil penalty unless another violation occurs.**

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal within this 30 day period, you forfeit the right to contest this assessment.**

If you have any questions regarding the appeal procedure, please call Jennifer Ronimous at 217-782-1543.

Sincerely,

A handwritten signature in cursive script that reads "Sharon Steward".

Sharon Steward  
Director, Campaign Disclosure Division

SS: jr

Enclosures: appeal packet

\* This contribution was reported on the March Quarterly Report but should also have been reported on a Schedule A-1 Report of Campaign Contributions of \$1000 or more.

CHICAGO

State of Illinois )

County of COOK )

11 JUL 14 PM 1:06

STATE BOARD OF ELECTIONS

BEFORE THE STATE BOARD OF ELECTIONS  
OF THE STATE OF ILLINOIS

IN THE MATTER OF; )

ILLINOIS STATE BOARD OF ELECTIONS, )

Complainant )

Vs. )

Case No. 11AJ014

Committee to Elect Kevin W. Horan  
Respondent(s). )

APPEAL AFFIDAVIT

I, MARCELLA HORAN, the TREASURER of the  
(Name) (Chairman/Treasurer)  
Committee to Elect Kevin W. Horan  
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

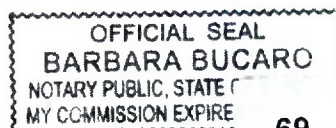
Mitigating circumstances

Signed and Sworn to by:

MARCELLA HORAN  
before me this 13th Day of  
July, 2011

Notary Public

Marcella Horan  
(Signature of Chairman/Treasurer)





BEFORE THE STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS

In the Matter of:

SBE

Complainant(s),

Vs.

Case No. 11A5014

Com. to Elect Kevin W. Horan

Respondent(s)

APPEARANCE

The undersigned enters the appearance of (check one):

☐ COMPLAINANT(S) or ☒ RESPONDENT(S)

(Insert name)

Check one: ☒ Attorney ☐ Pro Se

Name:

James P. Kelly

Address:

8 S Michigan #3500

City/State/ZIP

Chicago IL 60603

Telephone:

312-324-422-5560

FAX No.:

312-346-3999

EMAIL:

jp.kelly@att.net

Will you accept service of documents via FAX transmissions?

Check one: ☐ Yes ☐ No

James P. Kelly  
Signature



KEVIN W. HORAN  
MARCELLA HORAN  
115 E. 6TH AVENUE  
LA CROSSE, WIS. 54601

DATE

2566

5/6/11

PAY TO THE  
ORDER OF

Committee to Elect Ryan Horan 20,000.00

Twenty thousand

DOLLARS & 00/100

POST NATIONAL BANK OF LA CROSSE

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**STATE OF ILLINOIS  
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS**

Illinois State Board of Elections  
**Complainant**

v.

**11 MA 091**

Kane County Democratic Central Committee  
**Respondent**

ID# 711

**REPORT OF HEARING OFFICER**

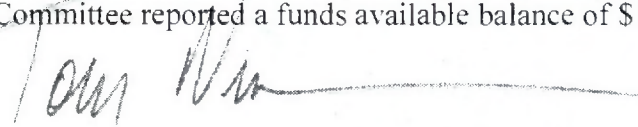
Appeal of Civil Penalty Assessment for Delinquent Filing of Schedule A-1 Reports

The Committee received a \$1,000 contribution on 8/22/11 and reported it on a Schedule A-1 received by the Board on 9/29/11, 22 days late, resulting in a civil penalty assessment of \$500. The Committee also received two \$1,000 contributions on 10/7/11 and reported them on a Schedule A-1 received by the Board on 10/18/11, 1 day late, resulting in a civil penalty assessment of \$1,000. The total assessment is \$1,500.

Michael Lowery, the Treasurer of the Committee, filed a Waiver of Appearance, and submitted on the Appeal Affidavit the following:

On the Affidavit, Mr. Lowery states that in regards to the 8/22/11 contribution, the delinquent filing was the result of an honest mistake because he was unaware of the reporting requirements for contributions of \$1,000 or more. In regards to the other two contributions, Mr. Lowery says the wrong date was accidentally used on the A-1 report. He says both contributions were actually received on October 17, rather than October 7. He includes a copy of a bank deposit receipt showing the contributions deposited on the 17<sup>th</sup>.

I recommend the appeal for the 8/22/11 contribution be denied for lack of an adequate defense. However, since there is no indication the violation was anything other than inadvertent and unintentional, and since this was the first A-1 violation for this committee I recommend the penalty be reduced to 10% of the original assessment, or \$50. In regards to the other two contributions, it is clear the incorrect date was used on the A-1, and that the filing was therefore timely. I recommend the appeal in regards to these contributions be granted. If these recommendations are accepted by the Board, as a first violation the \$50 civil penalty would be stayed. (As of 9/30/11, this Committee reported a funds available balance of \$10,479.89.)

  
\_\_\_\_\_  
Tom Newman – Hearing Officer  
December 23, 2011

STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS

1020 South Spring Street  
Springfield, Illinois 62704  
217/782-4141  
Fax: 217/782-5959

James R. Thompson Center  
100 West Randolph, Suite 14-100  
Chicago Illinois 60601  
312/814-6440  
Fax: 312/814-6485



EXECUTIVE DIRECTOR  
Rupert T. Borgsmiller  
December 5, 2011

BOARD MEMBERS  
William M. McGuffage, Chairman  
Jesse R. Smart, Vice Chairman  
Harold D. Byers  
Bety J. Coffrin  
Ernest L. Gowen  
Judith C. Rice  
Bryan A. Schneider  
Charles W. Scholz

Kane County Democratic Central Committee  
Michael Lowery  
1001 Pinehurst Dr.  
North Aurora, IL 60542

ID# 711

Dear Kane County Democratic Central Committee:

This committee has failed to timely file the following Schedule A-1's, Report of Campaign contributions of \$1000 or more as required by the Illinois Campaign Disclosure Act during the third quarter:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>	<u>Fine Assessed</u>
AFT Local 604 COPE II	8/22/11	\$1000	9/29/11	22	\$500

As required by the Illinois Campaign Disclosure Act and the changes enacted in 2011, as amended by Public Act 96-832, your committee is subject to a fine of \$500 for delinquently filing Schedule A-1 reports. This total **does not** reflect any previously assessed fines.

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(c) of the Election Code, the Board may impose fines for violations not to exceed 50% of the total amount of the contribution(s) that were untimely reported.

Since this is the first delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$50, (10% of the total fine amount reflected above) if you do not choose to file an appeal under the Board's present policy. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired. This amount must be paid, including any previously assessed fines, within 30 days of the issuance of the Order.

In addition, this committee also failed to timely file the following Schedule A-1's, Report of Campaign contributions of \$1000 as required by the Illinois Campaign Disclosure Act during the fourth quarter:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>	<u>Fine Assessed</u>
Construction & General Laborers District Council of Chicago	10/17/11	\$1000	10/18/11	1	\$500
IUPAT PAC	10/7/11	\$1000	10/18/11	1	\$500



Since this is the second delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$500, (50% of the total fine amount reflected above) if you do not choose to file an appeal under the Board's present policy. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired. This amount must be paid, including any previously assessed fines, within 30 days of the issuance of the Order.

**The total for all assessments in this letter is \$550.**

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed by January 4. If you fail to file a Notice of Appeal within this 30 day period, you forfeit the right to contest this assessment.**

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 1020 S Spring Street, Springfield, IL 62704.

If you have any questions regarding the appeal process, please call Jenny Ronimous at 217-782-1543.

Sincerely,



Sharon Steward  
Director, Campaign Disclosure Division

SS: jr

Enclosures: appeal packet

State of Illinois )  
County of \_\_\_\_\_ )

STATE BOARD OF ELECTIONS

11 DEC 21 AM 11:16

BEFORE THE STATE BOARD OF ELECTIONS  
OF THE STATE OF ILLINOIS

IN THE MATTER OF; )  
ILLINOIS STATE BOARD OF ELECTIONS, )  
Complainant )  
Vs. )  
Kane County Democratic Central Committee )  
Respondent(s). )

Case No. 11MA091

APPEAL AFFIDAVIT

I, Michael Lowery, the Treasurer of the  
(Name) (Chairman/Treasurer)  
Kane County Democratic Central Committee  
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

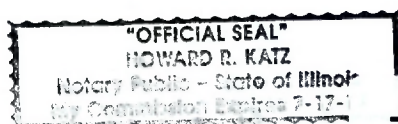
This was an honest mistake on the part of the treasurer. He was not cognizant of the new regulation about reporting the \$1000.00 within five business days at the time of receipt of the contribution and the realization that an infraction was committed did not occur to him until he began preparations for filing the quarterly report for the first quarter. There was no intention of deceit as indicated by the fact that he filed the A-1 immediately upon becoming aware of the new regulation on September 29<sup>th</sup>, 2011 while in the midst of preparing to file the quarterly report - which was subsequently filed on October 2<sup>nd</sup>, 2011.

Signed and Sworn to by:

Michael Lowery  
before me this 15<sup>th</sup> Day of  
Dec 2011

Notary Public

Michael Lowery  
(Signature of Chairman/Treasurer)



State of Illinois )  
County of \_\_\_\_\_ )

STATE BOARD OF ELECTIONS

BEFORE THE STATE BOARD OF ELECTIONS  
OF THE STATE OF ILLINOIS

IN THE MATTER OF; )  
ILLINOIS STATE BOARD OF ELECTIONS, )  
Complainant )  
Vs. )  
Kane County Democratic Central Committee )  
Respondent(s). )

Case No. 11MA091

APPEAL AFFIDAVIT

I, Michael Lowery, the Treasurer of the  
(Name) (Chairman/Treasurer)  
Kane County Democratic Central Committee  
(Name of the Committee)

Committee, first being duly sworn deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

The contribution date of October 7, 2011 on the A-1 report submitted on October 18, 2011 is a typographical error. These two contributions, one from Construction and General Laborer's District Council of Chicago and one from IUPAT - PAC were both received on October 17, 2011, not October 7. An error was made while inputting the date on the A-1 report. I have submitted a copy of the bank deposit receipt to substantiate this fact. Both A-1 reports were completed in a timely manner, one day after receiving them and were within the five business days required by SBOE.

Signed and Sworn to by:

Michael Lowery  
before me this 15th Day of  
Dec., 2011

Howard R. Katz  
Notary Public

Michael Lowery  
(Signature of Chairman/Treasurer)





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SOUTH ELGIN (810)  
835 S RANDALL RD  
ELGIN IL 60123  
Cashbox 06 AM

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Check Amount 3: \$240.00  
Check Amount 4: \$60.00  
Check Amount 5: \$250.00  
Check Amount 6: \$1,000.00  
Check Amount 7: \$1,000.00

W/S ID WWS055DD Sequence Number 00201

This deposit or payment is accepted subject to  
verification and to the rules and regulations of  
this bank. Deposits may not be available for  
immediate withdrawal. Receipt should be held  
until verified with your statement.

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STATE OF ILLINOIS )  
 ) SS  
COUNTY OF COOK )

STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS

In the Matter Of: )  
 )  
Illinois State Board of Elections )  
Complainant(s), )  
 )  
Vs. ) 11 MA 036  
 )  
Save5Acres for Barrington Hills )  
Trustees )  
Respondent(s). )

**FINAL ORDER**

TO: Save5Acres for Barrington Hills Trustees  
PO Box 339  
Barrington, IL 60011-0339

ID# 23119

This matter coming to be heard this 18<sup>th</sup> day of October, 2011 as an appeal of the imposition of a civil penalty under Article 9 of the Illinois Election Code (10 ILCS 5/9-1 *et. seq.*), and the State Board of Elections having read the report of the Hearing Officer and reading the recommendation of the General Counsel and now being fully advised in the premises.

**THE BOARD FINDS:**

1. In case number 11 MA 036, a \$4250.00 civil penalty was initially assessed against the Respondent for the delinquent filing of Schedule A-1's; and
2. The recommendation of the Hearing Officer, in which the General Counsel concurs, is that the appeal be GRANTED in regards to the \$3750.00 assessment and DENIED in regards to the \$500.00 assessment for lack of adequate defense, but that pursuant to section 9-10 of the Code, the assessment be reduced to \$50.00 (10% of the value of the delinquently reported contributions).

**IT IS ORDERED:**

1. That the recommendation of the Hearing Officer and the General Counsel is adopted and the appeal is GRANTED and DENIED; and
2. A civil penalty in the amount of \$50.00 is imposed and is stayed as a first violation, and
3. The committee file an amended June 2011 Quarterly report to correctly reflect the date of the contribution received from Benjamin LeCompte within 30 days of the effective date of this Order, and
4. The effective date of this Order is October 20, 2011, and
5. Failure to do so may result in the imposition of a civil penalty not to exceed \$5000.00, and
6. This is a Final Order subject to review under the Administrative Review Law and Section 9-22 of the Election Code.

DATED: 10/20/2011

  
William M. McGuffage, Chairman

## STATE BOARD OF ELECTIONS

1020 South Spring Street  
Springfield, Illinois 62704  
217/782-4141

Sharon Steward

Director, Division of Campaign Disclosure

---

To: Members of the Board, Rupert T. Borgsmiller, Executive Director, & Steve Sandvoss,  
General Counsel

Re: Random Audits

Date: February 2, 2012

---

Pursuant to 10 ILCS 5/9-13, the Board is mandated to order political committees to conduct audits. Specifically, Subsection (c) reads as follows: *"In each calendar year, the Board shall randomly order no more than 3% of registered political committees to conduct an audit. The Board shall establish a standard, scientific method of selecting the political committees that are to be audited so that every political committee has an equal mathematical chance of being selected."*

Pursuant to Board directive, the first group of political committees subject to random audits shall include .5% of active political committees.

On January 26, 2012, twenty political committees were randomly selected to conduct audits covering the previous two years. According to the Act, names of the selected committees may not be disclosed unless violations are found and penalties are assessed. If you would like a list, it may be provided to you during executive session.

Due to the Springfield office move, we will delay sending the audit letters to the selected committees until after the move. We anticipate many questions and concerns from those chosen, and feel we will be better equipped to handle those issues once we are settled in the new location. Letters should be sent out no later than the week of February 20<sup>th</sup>.

## **STATE BOARD OF ELECTIONS**

**1020 South Spring Street  
Springfield, Illinois 62704  
217/782-4141**

**Sharon Steward**

**Director, Division of Campaign Disclosure**

---

To: Members of the Board, Rupert T. Borgsmiller, Executive Director, & Steve Sandvoss,  
General Counsel

Re: Itemization of All Receipts and Expenditures

Date: February 2, 2012

---

The Campaign Disclosure Act requires that any receipts or expenditures aggregating more than \$150 received from one source or expended to one entity shall be itemized; since that is current law, the original design of IDIS 2.0 followed those guidelines. However, some committees have expressed a desire to itemize all transactions, although this is neither required nor encouraged.

To accommodate those political committees that choose to itemize all receipts and expenditures, regardless of amount, on any quarterly or final report, the IDIS program has been modified.

A political committee may now avail itself of that feature by contacting Campaign Disclosure staff. Upon written request, staff may check the appropriate box in our internal campaign disclosure system to allow all receipts and expenditures for the requesting political committee to be itemized on any subsequent quarterly or final report. Information regarding this new feature has been added to the HELP text accessible through the IDIS program.

**STATE BOARD OF ELECTIONS****1020 South Spring Street****Springfield, Illinois 62704****217/782-4141****Sharon Steward, Director, Campaign Disclosure Division**

To: Rupert T. Borgsmiller, Executive Director, Members of the Board

Re: Payment of Civil Penalties - Informational

Date: 2/1/2012

The following committees have made payment of outstanding civil penalties for the period of 12/30/11 to 2/1/2012

<b>Cmte ID</b>	<b>Cmte Name</b>	<b>Amt of Check</b>
124	Citizens for John Cullerton	\$140.00
18614	Friends of "AJ" Wilhelmi	\$750.00
14153	Citizens for Lyle	\$1,550.00
22443	Citizens for Jesus Garcia	\$2,500.00
21644	Preckwinkle for President	\$1,420.00
22369	People for Rauschenberger	\$525.00
22668	Citizens for Angel Garcia	\$150.00
22191	Citizens for Collins	\$1,913.00
22089	Citizens to Elect Jim Hickey	\$1,335.00
20897	Citizens to Elect Patrick J Sherlock	\$1,350.00
23662	Citizens to Elect Stanley L Hill	\$200.00
21253	Move Illinois Forward	\$100.00
21222	Zalewski for State Representative	\$1,575.00
21566	Citizens to Elect Diane Seiler	\$5,175.00
19080	Citizens to Elect Robert E Howard	\$880.00
20798	Re-Elect Phillip a Moss Clinton County Coroner	\$275.00
15682 & 2202	Citizens for Leys & Re-Elect Eric Leys	\$5,800.00
15295	Citizens to Elect Benjamin Owens	\$100.00
5856	Friends of Ted Lechowicz	\$1,350.00
5667	Moultrie County Democrat Central Committee	\$225.00
1029	DuPage County Democratic Central Committee	\$150.00
23180	Citizens for the 2001 Mokena School Referendum	\$200.00
13184	Citizens for Steve Kim	\$1,057.00
23436	Citizens for Tate and Cantelo-Zylman	\$800.00
21802	Friends for Ledonne	\$425.00
22494	Friends of Marla Wilson	\$6,055.33
20780	Friends of Eloise Gerson	\$5,825.00
23386	Madison County Federation of Labor PAC Fund	\$ 475.00
22270	Friends for Harris	\$1,608.00
18528	Friends of Dale Berman	\$1,450.00
508	Committee to Elect David Harris	\$250.00
21778	Citizens for Joseph E Watrach	\$50.00
12041	Unit Five Education Assn IPACE	\$250.00
14679	Citizens for Kent Gray	\$1,225.00
11411	Volunteers for Delgado	\$1,500.00
21582	Friends of Terry Stephens	\$2,012.50



14923 Citizens for David Miller	\$800.00
16425 Friends of JoAnn Thompson	\$100.00
20293 Friends of Art Moore	\$75.00
22319 Citizens for Trutin	\$1,350.00
19647 613 PAC	\$1,300.00
11278 Illinois Rental/Purchase Dealers PAC	\$132.00
18887 Citizens to Elect Charles Laskonis	\$5,450.00
20987 Batavia Political Action Committee for Education	\$200.00
20879 Citizens for Travis	\$200.00
12698 Coalition Party of Worth Twp	\$150.00
5778 IPACE of Alton Education Assn	\$4,950.00
22942 Rooney's Bus	\$322.88
22078 Southland Friends of Labor	\$200.00
23128 Friends for Coffey	\$937.00
20059 Friends of District 214	\$142.00
19747 Citizens for Cappleman	\$888.00
19988 Prairie Greens of East Central IL	\$388.00
1052 Committee to Elect Qualified Judges	\$675.00
9332 Citizens for William Mueller	\$425.00

**Total Amount Paid for this Period:** \$69,330.71

**Year to Date Totals:**

**Payment Plan update**

Cook County Democratic Women\* (failure to comply with payment plan board order)

BEFORE THE ILLINOIS STATE BOARD OF ELECTIONS

ROBERT I. SHERMAN,

Complainant,

v.

INDIAN TRAILS PUBLIC LIBRARY  
DISTRICT (Com. #23552), et al.,

Respondents.

Case Nos. 11-CD-019 and 023  
Consolidated

**INDIAN TRAILS PUBLIC LIBRARY DISTRICT'S MOTION TO DISMISS**

NOW COMES the Indian Trail Public Library District (the "Library") by and through its attorneys, Robbins, Schwartz, Nicholas, Lifton & Taylor, Ltd., and for its Motion to Dismiss, the Library states as follows:

1. The parties agreed on the record at the last status before Hearing Officer Tenuto that the definitive issue in this case is Sherman's allegations that the Library used public funds to improperly support the Library's referendum question at the April 5, 2011 election.

2. The Illinois Supreme Court has held that the appropriate remedy when faced with an allegation that public funds were improperly used to support a public election is the stop the use of the public funds. *Elsenau v. City of Chicago*, 334 Ill. 78, 165 N.E. 129 (1929). A matter is considered moot if no actual controversy exists or if events have occurred so that the court cannot effectively grant the complaining party additional relief. *Mount Carmel High School v. Illinois High School Ass'n*, 279 Ill. App. 3d 122, 124, 664 N.E.2d 252, 254-55 (1st Dist. 1996).

3. In the present case, the core issue, whether the Library improperly used public funds related to the referendum, ceases to exist and thus the case is rendered moot if the expenditures at issue are not paid with public funds. In other words, the "reimbursement" of

public funds from private sources renders the allegations in this matter moot because subsequent to reimbursement, no public funds can be said to have been spent.

4. Private parties have indicated that, if approved by the State Board of Elections, they will "reimburse" the expenditure of public funds that created this controversy.

5. Additionally, if required by the State Board of Elections, in order to resolve this matter, the Library would not contest a general finding that its reports with the State Board were not timely filed. Furthermore, if it is the will of the State Board, the Library would not contest the imposition of a reasonable fine in an amount the State Board deems appropriate. Thus this case would be rendered moot in its entirety.

WHEREFORE, the Respondent, Indian Trails Public Library District, respectfully prays that upon implementation of the steps detailed above, this consolidated matter be dismissed with prejudice, and for such other and further relies as is deemed appropriate.

Respectfully Submitted,

INDIAN TRAILS PUBLIC LIBRARY DISTRICT



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By: One of its Attorneys

KENNETH M. FLOREY  
M. NEAL SMITH  
ROBBINS, SCHWARTZ, NICHOLAS,  
LIFTON & TAYLOR, LTD.  
55 W. MONROE STREET  
SUITE 800  
CHICAGO, IL 60603

**BEFORE THE STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS**

ROBERT I. SHERMAN,

Complainant,

v.

INDIAN TRAILS PUBLIC LIBRARY  
DISTRICT; FRIENDS OF INDIAN  
TRAILS LIBRARY DISTRICT; and  
CITIZENS FOR INDIAN TRAILS  
LIBRARY TO SUPPORT THE APRIL  
5TH REFERENDUM,

Respondents.

Board File #: 11 CD 019 consolidated with  
11 CD 023

**NOTICE OF FILING**

To: See attached Service List

PLEASE TAKE NOTICE that on February 3, 2012, I caused to be filed with the State Board of Elections Office of General Counsel and Hearing Officer James Tenuto the **Indian Trails Public Library District's Motion to Dismiss**, a copy of which is hereby served upon you.



---

Kenneth M. Florey  
Attorney for Respondent Indian Trails Public  
Library District

KENNETH M. FLOREY  
M. NEAL SMITH  
ROBBINS, SCHWARTZ, NICHOLAS,  
LIFTON & TAYLOR, LTD.  
55 W. MONROE STREET  
SUITE 800  
CHICAGO, IL 60603



**CERTIFICATE OF SERVICE**

I certify that I caused a copy of this Notice and the above-referenced document to be served upon the Respondents and Complainant in the Service List via U.S. Mail this 3rd day of February, 2012.



---

Kenneth M. Florey

KENNETH M. FLOREY  
M. NEAL SMITH  
ROBBINS, SCHWARTZ, NICHOLAS,  
LIFTON & TAYLOR, LTD.  
55 W. MONROE STREET  
SUITE 800  
CHICAGO, IL 60603

**SERVICE LIST**

**Board File #: 11 CD 019 and 11 CD 023**

State Board of Elections  
Office of General Counsel  
100 W. Randolph Street, Suite 14-100  
Chicago, IL 60601-3232

James Tenuto  
Hearing Officer  
100 W. Randolph Street  
Suite 14-100  
Chicago, IL 60601-3232

Richard K. Means  
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806 Fair Oaks Avenue  
Oak Park, IL 60302  
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Wheaton, IL 60187  
Fax: 630.665.0407  
[rritzman@psnrb.com](mailto:rritzman@psnrb.com)

# State Board of Elections

*From the desk of....Jane Gasperin, Acting Director of Election Information*

*Phone: 217-782-1555*

*Email: [jgasperin@elections.il.gov](mailto:jgasperin@elections.il.gov)*



**To:** Rupert Borgsmiller, Executive Director  
**Re:** Election Day Assignments - March 20, 2012  
**Date:** February 6, 2012

## **SPRINGFIELD OFFICE (5:30 a.m. – Midnight)**

5:30 a.m. – Midnight	Jane Gasperin	(break 2:00 p.m. – 5:00 p.m.)
5:30 a.m. – 3:30 p.m.	Kay Walker	(break 11:00 a.m. – Noon)
6:00 a.m. – 3:00 p.m.	Kyle Thomas	(break 11:00 a.m. – Noon)
6:00 a.m. – 4:00 p.m.	Bernadette Harrington	(break 11:30 a.m. – 12:30 p.m.)
6:00 a.m. – 4:00 p.m.	Bruce Brown	(break 11:30 a.m. – 12:30 p.m.)
7:00 a.m. – 5:00 p.m.	Gary Nerone	(break Noon – 1:00 p.m.)
8:00 a.m. – 6:00 p.m.	Amy Evans	(break 1:00 p.m. – 2:00 p.m.)
9:00 a.m. – 7:00 p.m.	Cheryl Hobson	(break 2:00 p.m. – 3:00 p.m.)
11:00 a.m. – 9:00 p.m.	Brent Davis	(break 3:30 p.m. – 4:30 p.m.)
4:00 p.m. – Midnight	Steve Sandvoss	(break 6:00 p.m. – 7:00 p.m.)
4:00 p.m. – Midnight	Dianne Felts	(break 7:30 p.m. – 8:30 p.m.)

## **CHICAGO OFFICE (6:00 a.m. – 11:00 p.m.)**

6:00 a.m. – 4:00 p.m.	Ken Menzel	(break Noon – 1:00 p.m.)
6:00 a.m. – 4:00 p.m.	Marc Petrone	(break 12:30 p.m. – 1:30 p.m.)
6:00 a.m. – 4:00 p.m.	Rose Rodriguez	(break 12:30 p.m. – 1:30 p.m.)
Noon – 11:00 p.m.	Jim Tenuto	(break 3:00 p.m. – 4:00 p.m.)
Noon – 11:00 p.m.	Darcell McAllister	(break 3:00 p.m. – 4:00 p.m.)
Noon – 11:00 p.m.	Rick Fulle	(break 4:00 p.m. – 5:00 p.m.)

## **FIELD PROGRAM**

Jeff Berry (request)	Alexander County
Eric Donnewald (request)	East St. Louis

**Staff will work as directed by their Division Director if not assigned a specific time.**

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## INTEROFFICE MEMORANDUM

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To: Rupert T. Borgsmiller, Executive Director  
From: Dianne Felts, Director of Voting Systems and Standards (VOSS)  
Subject: Pre-tests for the 2012 Primary  
Date: January 20, 2012

---

I chose the following election jurisdictions to test prior to the Primary: Clinton, Marshall, Piatt, and Washington Counties. These clerks were sent notices to inform them of their selection. All of our tests must be performed at least two days prior to the jurisdiction's public test.

# Judges Schools GP 2012

Date	Jurisdiction	No. of Schools	Attendance	Zone
Tuesday, January 17, 2012	Aurora	2	28	Four
Wednesday, January 18, 2012	Aurora	2	40	Four
Thursday, January 19, 2012	Aurora	3	111	Four
Friday, January 20, 2012	Aurora	2	104	Four
Thursday, January 26, 2012	Woodford	2	185	Three
Friday, January 27, 2012	Kendall	1	338	Four
Thursday, February 02, 2012	Saline	2	74	One
Tuesday, February 07, 2012	Coles	3	0	Three
Thursday, February 09, 2012	Logan	1	0	Three
Wednesday, February 15, 2012	Hardin	1	0	One
Wednesday, February 15, 2012	Pope	1	0	One
Wednesday, February 15, 2012	Richland	2	0	One
Thursday, February 16, 2012	Lawrence	2	0	One
Thursday, February 16, 2012	Massac	2	0	One
Tuesday, February 21, 2012	Hamilton	2	0	One
Tuesday, February 21, 2012	Menard	2	0	Two
Wednesday, February 22, 2012	Galesburg	3	0	Three
Wednesday, February 22, 2012	Gallatin	2	0	One
Wednesday, February 22, 2012	Montgomery	3	0	Two
Thursday, February 23, 2012	Logan	1	0	Three
Thursday, February 23, 2012	Marshall	2	0	Three
Thursday, February 23, 2012	Montgomery	3	0	Two
Thursday, February 23, 2012	Pulaski	1	0	One
Monday, February 27, 2012	Calhoun	2	0	Two
Monday, February 27, 2012	Randolph	2	0	One
Tuesday, February 28, 2012	Jersey	2	0	Two



<b>Date</b>	<b>Jurisdiction</b>	<b>No. of Schools</b>	<b>Attendance Zone</b>
Tuesday, February 28, 2012	Monroe	3	0 One
Wednesday, February 29, 2012	Monroe	4	0 One
Thursday, March 01, 2012	Christian	2	0 Three
Thursday, March 01, 2012	Fayette	2	0 One
Thursday, March 01, 2012	Iroquois	3	0 Three
Friday, March 02, 2012	Iroquois	1	0 Three
Monday, March 05, 2012	Edwards	2	0 One
Tuesday, March 06, 2012	Effingham	3	0 One
Tuesday, March 06, 2012	Marion	3	0 One
Wednesday, March 07, 2012	Effingham	3	0 One
Wednesday, March 07, 2012	Franklin	2	0 One
Wednesday, March 07, 2012	Mercer	2	0 Four
Thursday, March 08, 2012	Franklin	1	0 One
Thursday, March 08, 2012	Henderson	2	0 Two
Thursday, March 08, 2012	Morgan	1	0 Two
Thursday, March 08, 2012	Union	2	0 One
Friday, March 09, 2012	Morgan	2	0 Two
Saturday, March 10, 2012	E St Louis	1	0 One
Monday, March 12, 2012	Kankakee	2	0 Three
Monday, March 12, 2012	Putnam	2	0 Four
Tuesday, March 13, 2012	Greene	2	0 Two
Tuesday, March 13, 2012	Kankakee	2	0 Three
Tuesday, March 13, 2012	Williamson	3	0 One
Wednesday, March 14, 2012	Pike	2	0 Two
Thursday, March 15, 2012	Macoupin	2	0 Two

# **M**emorandum

*From the desk of...Cristina Cray, Director of Legislation*

*Phone: 217-782-1577*

*Email address: ccray@elections.state.il.us*

**To:** Executive Director Rupert Borgsmiller  
Chairman McGuffage  
Vice Chairman Smart  
**Subject:** Internet Voters Guide  
**Date:** January 31, 2012

---

Please be advised that we received 27 submissions for the Primary Internet Voters Guide. One submission was received pass the deadline and will not be included. Pursuant to Rules and Regulations, no statements or photographs are accepted for inclusion after 5:00 pm on the 50<sup>th</sup> day before the Election.

One candidate submitted statements in 12 languages total (English, Spanish, Polish, French, Tagalog, Thai, Lao, Cambodian, Korean, Chinese, Hindu, and Gujarati).

The Voters Guide is scheduled to go live on Saturday, February 4.

Thank you.

State Board of Elections  
1020 South Spring Street  
Springfield, IL 62704

# **M**emorandum

*From the desk of...Cristina Cray, Director of Legislation*

*Phone: 217-782-1577*

*Email address: ccray@elections.state.il.us*

**To:** Executive Director Rupert Borgsmiller  
Chairman McGuffage  
Vice Chairman Smart  
**Subject:** Department of Justice Reports  
**Date:** February 2, 2012

---

Please be advised that all 110 election authorities received and completed the Department of Justice 55 Day Survey on January 27<sup>th</sup>. (a copy of which is attached).

Today, the election authorities received the 45 day survey (copy attached) which needs to be completed and returned by Monday, February 6<sup>th</sup>.

The deadline to mail out military and overseas ballots is Saturday, February 4<sup>th</sup>.

A complete report will be given at the Board Meeting.

Thank you.

State Board of Elections  
1020 South Spring Street  
Springfield, IL 62704



## 45 Day Report

### TO ALL LOCAL ELECTION AUTHORITIES

**As required by the consent decree with the U.S. Department of Justice, please respond by no later than Monday, February 6, 2012, 4 p.m.**

Number of UOCAVA ballots requested on or before February 4, 2012: \_\_\_\_\_

Number of UOCAVA ballots sent out on or before February 4, 2012: \_\_\_\_\_

Date on which UOCAVA ballots began being transmitted: \_\_\_\_\_

Number requested to be sent by:

Number sent by:

Mail: \_\_\_\_\_

Mail: \_\_\_\_\_

Fax: \_\_\_\_\_

Fax: \_\_\_\_\_

E-mail: \_\_\_\_\_

E-mail: \_\_\_\_\_

State Board MOVE site: \_\_\_\_\_

State Board MOVE site: \_\_\_\_\_

Form of Ballot sent (circle one):      Official Ballot      Other - please explain: \_\_\_\_\_

I certify that all military and overseas voters who properly requested absentee ballots by February 4, 2012, have been provided their absentee ballot by their requested method of transmission and attest the above numbers to be true and accurate.

If not, explanation for why not:

\_\_\_\_\_  
Election Authority  
(printed)

\_\_\_\_\_  
Election Authority  
(signature)

\_\_\_\_\_  
Election Authority Jurisdiction

\_\_\_\_\_  
Date

(Thank you for your cooperation in providing this information).

**55 Days Before the Election  
QUESTIONNAIRE TO LOCAL ELECTION AUTHORITIES**

**We are required by the consent decree involving the U.S. Department of Justice to provide this information. Please respond by no later than January 27, 2012.**

1. Are you in possession of a sufficient number of printed absentee ballots to transmit those ballots to military and overseas voters in anticipation of the 45-day deadline (February 4, 2012) for the March 20, 2012, election?

\_\_\_\_\_ Yes

\_\_\_\_\_ No

2. Will you have the ability to send the ballots by the voter's preferred method of transmission (mail, fax, e-mail, or State Board of Elections MOVE site)?

\_\_\_\_\_ Yes

\_\_\_\_\_ No

3. Do you foresee any circumstance that would prevent you from sending all requested ballots to military and overseas voters by February 4, 2012, and by the voter's preferred method of transmission?

\_\_\_\_\_ Yes

\_\_\_\_\_ No

4. If you answered "No" to question 1 or 2, or "Yes" to question 3, please explain below.

\_\_\_\_\_  
Signature

\_\_\_\_\_  
Date

\_\_\_\_\_  
Printed Name

\_\_\_\_\_  
Election Jurisdiction

(Thank you very much for your responses. We know this is a busy time for all of you as you prepare for the upcoming election).

Cris Cray, Illinois State Board of Elections

# STATE BOARD OF ELECTIONS

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## MEMORANDUM

*From the desk of:*

*Rupert T. Borgsmiller, Executive Director*

TO: Chairman McGuffage  
Vice Chairman Smart  
Members of the Board

SUBJECT: E-mail from East St. Louis Board of Election Commissioners

DATE: February 7, 2012

---

Attached is an email that was sent by Mr. James Lewis, Executive Director of the East St. Louis Board of Elections (ESL), regarding a Writ of Mandamus that the ESL Board has brought against the City of East St. Louis and the Illinois Financial Advisory Board with respect to the March 20, 2012, General Primary Election.

This appears to be a very serious situation that could impact East St. Louis' ability to conduct the upcoming primary election. Of particular interest is Mr. Lewis's statement that funds may be available through the Help America Vote Act (HAVA) lump sum amounts to possibly cover election administration costs.

HAVA (federal) funds may only be used for improvement of the election process (improving administration, education, training, state plan, new machines/technology, improving access for individuals with disabilities) or to meet requirements of Title III (implementation of an integrated electronic statewide voter registration database). It is clear from past guidelines provided by the Election Assistance Commission (EAC) that HAVA funds may not be used for normal ongoing election activities as these processes were part of the normal election process prior to HAVA.

In sum, the SBE does not have any discretionary funds that jurisdictions would be available to use, to help offset any financial shortfall regarding the conduct of an election.



FEB 05 2012

**From:** East St. Louis Election Comm. [<mailto:eslbec@eslbec.org>]

**Sent:** Sunday, February 05, 2012 5:08 PM

**To:** Joan Thomas

**Cc:** John Baricevic; Elmer Jones; Edna Allen; William Houlihan; Ryan Croke; Brad Cole; John Kurowski; John Hipskind; Tenuto, Jim; Sandvoss, Steve; Menzel, Ken; Lisa Madigan; Borgsmiller, Rupert; Glazier, Becky; Bob Day; Bob Day; Linda Fechner; Alvin Parks; Brendan Kelly

**Subject:** Writ of Mandamus (Amended)

Office of Executive Director

Dear Mr. Governor,

This is regarding the Mandamus suit concerning the East St. Louis Board of Election Commissioners, plaintiff vs. the City of East St. Louis and the Illinois Financial Advisory Authority with respect to March 20, 2012 general primary election expenses for the City of East St. Louis.

It should be noted that on Monday, Jan. 23, I spoke with Ms. Alicia Akers, secretary to your deputy chief of staff, Mr. Ryan Croke requesting a meeting with you or Mr. Croke on Tuesday, Feb. 7.

The East St. Louis Board of Election Commissioners is in dire need of acquiring emergency election funds to conduct the aforementioned election.

Moreover, due to the cooperative efforts with the East St. Louis Election Board and the concurrence of Illinois Office Supply owner Mrs. Peggy Keeney, a line of credit in the amount of \$8,311.86 was extended to our agency in order to make preparation to purchase and process absentee ballots. It should also be noted that funds pursuant to 10 ILCS 5/6-70 in the amount of \$147,170.00 that were appropriated for the year 2011 to the Election Board to be paid by the East St. Louis City Treasurer is still outstanding.

As you possibly may know, Feb. 4 is the beginning of military mail out absentee voting.

On Feb. 2, we contacted Illinois Office Supply (IOS) located in Ottawa, IL and spoke with Mr. Jeff Sheppard and Ms. Cathy both of whom, along with the IOS staff, made an extra special effort in during the necessary things to get us emergency ballots. The ballots were shipped on Feb. 2 from IOS and received at the East St. Louis Board of Elections office by 10:00 a.m. on Feb. 3. It should also be noted that Ms. Kim Kendall and staff of Schraft Data Systems provided the emergency coding service in order to make it possible for our ballots to be printed in Ottawa and subsequently shipped to us by Federal Express.

We are pleased to announce that we have these absentee emergency ballots in our procession. Moreover, the ballots were mailed on Friday, Feb. 3 to all military applicants, as of this date, requesting absentee ballots.

Mr. Governor, we are requesting that you use your executive power to assist us in acquiring the necessary funds to ensure the financial aspect for the election and also to ensure the integrity of the election inasmuch as there will be no delay in conducting the election.

Please be advised that Feb. 9 is the date any registered voter presently within the United States can make application by mail or in person to the election authority for an official ballot. Needless to say that if ballots are not available, any citizen who applies for an absentee ballot could be very easily considered disenfranchised if ballots are not available at the time of their application.

Only as a suggestion, there is a possibility that emergency funds may be acquired through the Illinois State Board of Elections where Mr. Rupert Borgsmiller is the executive director and Mrs. Becky Glazier is the assistant to the executive director. It should be noted that Mrs. Glazier also administers HAVA funds for the state of Illinois. In addition, such funding could possibly be made available through the U.S. Election Assistance Commission.

We certainly would like to thank you for your continued support in conducting elections for the city of East St. Louis and moreover, we appreciate you signing all of our certificates for election judges who served admirably for the city of East St. Louis during your tenure.

If additional information is needed, please contact me or in my absence, please contact Mrs. Kandrise Mosby, asst. executive director. I can be reached at (618) 398-3778 or my personal cell number at (618) 530-7564.

Thank you very much.

Sincerely,

James Lewis

cc: Mr. Joseph H. McCaskill, Commissioner (Via 1st Class Mail), Mr. Richard Sturgeon, Attorney (Via Fax), Ms. Joan Thomas, Executive Assistant to the Governor, Mr. Michael Wagner, Attorney for the City of East St. Louis (Via Fax) and Mr. Adam Young, Attorney for the Illinois State Attorney General (Via Fax)

James Lewis, Executive Director  
Board of Election Commissioners  
8740 State Street, Suite 200  
East St. Louis, Illinois 62203  
(618) 398-3778: Office  
(618) 398-4125: Fax



# **STATE BOARD OF ELECTIONS**

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## **MEMORANDUM**

***From the desk of:***

***Rupert T. Borgsmiller, Executive Director***

**TO:** Chairman McGuffage  
Vice Chairman Smart  
Members of the Board

**SUBJECT:** GAO Report on Federal Elections on a Weekend

**DATE:** February 1, 2012

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During the May Board meeting I reported that the SBE had participated in a discussion with the U.S. Government Accountability Office (GAO) to discuss the merits of moving the General Election to a weekend in November. As a follow up, the GAO notified the Board of the release of its report on January 13, 2012. I have reviewed the report and have included the one page summary that was prepared by the GAO. The entire report is 66 pages and if you are interested in the entire report I will e-mail the pdf. file to you or if you want I will print the report and send it to you.

In the report there were no earth shaking conclusions and the results reflected many of the concerns that our staff raised with the GAO. No conclusions were drawn and the report was a compilation of the interviews conducted by the GAO staff.

## Why GAO Did This Study

Many U.S. citizens who are eligible to vote in federal elections do not do so. For instance, in the 2008 general election, about 62 percent of eligible citizens voted. To increase voter turnout by enhancing convenience, some states have implemented alternative voting methods, such as in-person early voting—casting a ballot in person prior to Election Day without providing a reason—and no-excuse absentee voting—casting an absentee ballot, usually by mail, without providing a reason. In general, since 1845, federal law has required that federal elections be held on Tuesday.

The committees on appropriations directed GAO to study and report on costs and benefits of implementing H.R. 254—the Weekend Voting Act—including issues associated with conducting a weekend election. Specifically, this report addresses: (1) alternatives to voting on Tuesday that states provided for the November 2010 general election, (2) how election officials anticipate election administration and costs would be affected if the day for federal elections were moved to a weekend, and (3) what research and available data suggest about the potential effect of a weekend election on voter turnout. GAO reviewed H.R. 254 and analyzed state statutes and early voting turnout in the 2010 Maryland elections, which had early voting over weekdays and weekends. GAO interviewed election officials in nine states, the District of Columbia (District), and 17 local jurisdictions that were selected on the basis of geographic dispersion and experience with weekend voting, among other things. Though not generalizable, the interviews provide insights.

View GAO-12-69. For more information, contact William O. Jenkins, Jr., at (202) 512-8777 or [jenkinswo@gao.gov](mailto:jenkinswo@gao.gov).

January 2012

## ELECTIONS

### Views on Implementing Federal Elections on a Weekend

## What GAO Found

For the 2010 general election, 35 states and the District provided voters at least one alternative to casting their ballot on Election Day through in-person early voting, no-excuse absentee voting, or voting by mail. Specifically, 33 states and the District provided in-person early voting, 29 states and the District provided no-excuse absentee voting, and 2 states provided voting by mail to all or most voters. Of the 9 states and the District where GAO conducted interviews, all but 2 states provided voters the option of in-person early voting in the 2010 general election, and 5 states and the District offered both early voting and no-excuse absentee voting. Implementation and characteristics of in-person early voting varied among the 7 states and, in some cases, among the jurisdictions within a state. For example, 5 states and the District required local jurisdictions to include at least one Saturday, and 2 states allowed for some jurisdiction discretion to include weekend days.

State and local election officials GAO interviewed identified challenges they would anticipate facing in planning and conducting Election Day activities on weekends—specifically, finding poll workers and polling places, and securing ballots and voting equipment—and expected cost increases. Officials in all 17 jurisdictions and the District we contacted said they expected the number of poll workers needed for a 2-day weekend election would increase. Further, officials in 13 jurisdictions said that some poll workers would be less willing to work on the weekend because of other priorities, such as family obligations or attending religious services. Officials in 14 of the 17 jurisdictions and the District expected that at least some of the polling places they used in past elections—such as churches—would not be available for a weekend election, and anticipated difficulty finding replacements. Officials in all 9 states, the District, and 15 of the 17 local jurisdictions said ensuring the security of ballots and voting equipment over the Saturday night of a weekend election would be both challenging and expensive. Officials in 5 of the 7 states and the District that conducted early voting and provided security over multiple days explained that the level of planning needed for overnight security for a weekend election would far surpass that of early voting due to the greater number and variety of Election Day polling places. For example, officials in one state said that for the 2010 general election, the state had fewer than 300 early voting sites—which were selected to ensure security—compared to more than 2,750 polling places on Election Day, which are generally selected based on availability and proximity to voters. In addition, officials in all 9 states, the District, and 15 of the 17 local jurisdictions said they expected overnight security costs to increase.

Weekend elections have not been studied, but studies of other voting alternatives determined that voter turnout is not strongly affected by them. Since nationwide federal elections have never been held on a weekend, it is difficult to draw valid conclusions about how moving federal elections to a weekend would affect voter turnout. GAO's review of 24 studies found that, with the exception of vote by mail, each of the alternative voting methods was estimated to change turnout by no more than 4 percentage points. GAO's analysis of early voter turnout data in Maryland found that 1.5 percent of voters we analyzed cast ballots on the weekend during the 2010 general election.



# STATE BOARD OF ELECTIONS

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## MEMORANDUM

*From the desk of:*  
*Rupert T. Borgsmiller, Executive Director*

TO: Chairman Schneider  
Vice Chairman Rednour  
Members of the Board

SUBJECT: General Accounting Office Weekend Voting Proposal

DATE: May 13, 2011

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On May 10<sup>th</sup> several members of our staff met with the GAO to answer questions regarding the idea of moving the November General Election to a weekend. The GAO has been tasked by Congress to prepare this report. For your convenience I have included the email from the GAO outlining what would be discussed and the general outline that the GAO would be following during the interview. While preparing for the discussion several staff members prepared material that we submitted to the GAO in advance of the discussion. The interview lasted over two hours and I feel that we answered all of their questions.

In summary staff felt that three main issues would have to be resolved before weekend elections could take place:

- Security and integrity for the entire process
- The cost for the additional day and additional staff that would be needed to conduct the election over a weekend
- Availability of polling places

The SBE was represented by Mark Mossman, Jane Gasperin, Eric Donnewald, Kyle Thomas, Mike Roate, Becky Glazier, Cris Cray and myself. The GAO had four employees that participated as well.

I have included for your review various materials that were prepared by staff for this discussion.

Attachments



# STATE BOARD OF ELECTIONS



*From the desk of... Eric Donnewald*  
**Director of Election Training/Resource Development**  
**Phone: 217-782-1573**  
**Email: [edonnewald@elections.il.gov](mailto:edonnewald@elections.il.gov)**

**To:** Rupert Borgsmiller, Executive Director  
**Re:** Statewide Initiatives Amending Article IV of the Ill. Constitution  
**Date:** February 6, 2012

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Effective July 5, 2011 P.A. 97-0081 amended Section 28-11 of the Election Code stating:

"Signatures on petitions for constitutional amendments initiated pursuant to Article XIV, Section 3 of the Illinois Constitution need not be segregated by election jurisdiction. The Board shall design an alternative signature verification method for referenda initiated pursuant to Article XIV, Section 3 of the Illinois Constitution."

Prior to PA 97-0081 statewide constitutional and advisory initiatives had signature verification done at the election jurisdiction level using roughly a 10% sample sent from the Board.

In response to this law change staff recommends verifying a percentage of signatures on a statewide petition that requires a minimum of 298,400 valid signatures. The question for the Board becomes what percentage we should use for signature verification that represents an accurate, randomly selected sample of the entire petition and would be defensible if challenged. To help us determine the size of the sample we have contracted with Richard Schuldt, Director of the Center for State Policy and Leadership at the University of Illinois-Springfield (UIS). He advises using 5 to 10% sample for a Constitutional initiative. He and his staff have submitted a summary of their work to date. (See following page).

For signature verification we will use selected Board staff. They will have access to the Illinois Voter Registration System (IVRS) to determine signature validity. Below are statutory deadlines for statewide Constitutional initiatives in 2012:

Monday, May 7th	Deadline for filing petitions for Constitutional amendments.
Tuesday, May 8 <sup>th</sup>	Last day for the Secretary of State to deliver a petition to amend the Constitution to the State Board of Elections.
Friday, July 6 <sup>th</sup>	Last day to file objections to the petition (must be filed within 42 business days after the petition is filed).
Friday, August 24 <sup>th</sup>	Last day for the SBE to certify any proposed statewide question for the November 6, 2012 General Election ballot (74 days before the election).

Please feel free to contact me if you have any questions.

**Signature Validation Project  
Summary Progress Report  
University of Illinois Springfield Project Team**

February 6, 2012 (from February 1, 2012 longer summary)

The relevant literature on estimating the number of valid signatures on petitions has been identified and read.

We will advise keeping the initial conformity check of petition signatures to arrive at a total number of signature lines.

We will advise taking a 5% sample of these signature lines to estimate the number of valid signature lines (regardless of replicates). (Actually, a slightly smaller sample could be used for statistical validity purposes, but 5% is more commonly used across the states which use sampling and better meets the "face validity" criterion.) If this estimate does not reach the legal requirement, the process is finished. If it does, an estimate of replicates needs to be taken into account as well.

We are currently in the process of assessing the performance of a variety of replicate estimator equations found in the literature, as well as the current "penalty" approach the State Board of Elections uses but applied at the state level. In this assessment, we are also analyzing whether a 5% sample can be used to estimate replicates or whether a larger (10%) sample is needed. We are using simulations for this evaluation/assessment and will have preliminary results this week. We would then like to discuss these with staff of the State Board to get reaction (e.g., to see if we need to consider other possibilities). Upon receiving this information, we will then move to finalizing our recommendations for the methodology.

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INTEROFFICE MEMORANDUM

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**To:** Jane Gasperin  
**From:** Brent Davis  
**Subject:** January Monthly eCanvass Report  
**Date:** 2/3/2012

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January updates for the eCanvass:

- Progress has continued on the eCanvass project throughout the month of January. Several modifications have been made to the existing code that was written, most of which involve making the code more compatible with the programming that is done internally.
- ES&S – we have been working with the export data from the Unity software and expect the eCanvass program to be ready to accept this data for the General Primary Election pilot program. Logan County has volunteered to participate in the pilot program.
- At this time it appears we are still on track for the targeted goals of:
  - February 15, 2012: Complete the testing phase
  - February 21, 2012: Demonstration of system functionality for Executive Director Rupert Borgsmiller at Catalyst Consulting Group in Chicago
  - February 24, 2012: Complete training materials and documentation for the pilot jurisdictions
  - February 27, 2012: Begin on-site visits to the pilot jurisdictions to provide training and demonstrate the program's functionality



Jurisdiction	July	Aug	Sept	Oct	Nov	Dec	Jan	Feb	Mar	Apr	May	June	July	Aug	Sept	Oct	Nov	Dec	Jan	Oldest Match Date
Adams County	0	0	5	4	7	7	8	5	8	7	8	6	4	16	20	19	22	5	1	12/16/2011
Alexander County	41	41	40	41	5	3	3	4	7	5	5	6	5	5	5	3	2	1	1	2/6/2009
Bond County	3	3	5	1	5	6	1	1	2	8	9	8	12	1	4	2	3	4	8	8/3/2009
Boone County	12	11	14	5	6	5	9	4	5	3	8	6	5	10	11	12	10	11	13	2/6/2009
Brown County	0	1	1	0	0	0	0	0	0	0	0	1	2	4	3	0	0	0	0	n/a
Bureau County	11	5	3	4	0	2	6	0	0	5	0	0	4	2	3	0	1	5	2	12/9/2011
Calhoun County	0	0	0	1	0	1	1	1	0	0	0	0	0	0	0	0	0	0	1	1/23/2012
Carroll County	2	3	2	0	3	1	0	0	5	1	1	1	0	1	2	0	0	0	0	n/a
Cass County	16	16	19	0	0	5	8	0	3	1	1	0	0	2	0	0	1	0	4	1/23/2012
Champaign County	39	24	42	13	43	129	12	10	88	110	16	17	10	195	132	68	74	64	72	2/6/2009
Christian County	0	0	3	13	7	13	3	3	3	2	2	3	2	1	1	1	3	2	4	2/6/2009
Clark County	6	6	6	6	7	8	5	5	6	6	5	5	4	4	5	3	3	4	3	2/6/2009
Clay County	69	79	58	60	60	62	52	52	58	65	62	67	57	46	45	28	27	26	27	2/6/2009
Clinton County	50	50	30	28	16	20	15	16	17	16	21	11	3	6	14	7	8	1	3	9/20/2011
Coles County	38	34	29	31	18	16	14	14	14	5	4	4	2	6	6	7	5	5	2	2/6/2009
Cook County	3,231	2,477	2,277	1,271	1,446	2,357	1,770	1,393	1,988	1,773	1,679	1,717	1,270	1,943	2,085	1,874	1,879	1,391	793	2/6/2009
Crawford County	48	49	43	47	17	22	19	18	11	11	11	13	7	21	21	18	20	19	18	2/6/2009
Cumberland County	4	4	5	8	4	1	0	0	1	0	0	1	0	0	0	0	0	0	0	n/a
DeKalb County	66	43	35	31	22	29	20	19	23	4	4	4	4	12	14	8	12	9	7	2/6/2009
DeWitt County	66	61	2	4	2	4	3	2	2	2	2	6	3	2	5	3	4	6	2	2/6/2009
Douglas County	1	1	1	0	1	2	404	0	1	1	0	0	0	0	1	0	0	3	1	12/29/2011
DuPage County	328	135	69	41	183	368	0	34	51	25	47	29	27	60	27	42	79	35	26	2/6/2009
Edgar County	12	12	1	1	0	0	0	0	0	0	0	0	1	0	0	0	1	2	2	12/6/2011
Edwards County	3	4	2	5	2	2	2	2	2	4	4	4	5	4	3	2	3	3	2	2/6/2009
Effingham County	6	6	6	2	0	1	1	1	0	0	0	1	0	2	1	0	1	1	1	11/15/2011
Fayette County	18	15	15	13	12	11	11	10	11	11	9	14	12	17	12	3	2	1	2	9/26/2011
Ford County	2	4	8	3	4	22	1	1	1	2	1	1	2	0	0	0	0	0	1	1/23/2012
Franklin County	69	68	73	82	65	70	60	59	62	60	58	60	61	60	59	43	12	10	0	n/a
Fulton County	11	11	15	7	5	9	7	4	7	7	4	4	5	6	6	9	6	8	6	2/6/2009
Gallatin County	0	0	0	1	2	2	1	1	1	1	1	1	1	1	1	2	0	0	0	n/a
Greene County	7	2	1	1	4	4	3	4	1	1	4	3	7	1	3	2	2	0	0	n/a
Grundy County	19	15	19	9	30	42	25	27	52	0	2	3	9	10	4	0	1	1	10	7/8/2011
Hamilton County	0	1	1	0	0	0	0	0	0	0	0	0	2	0	1	0	1	1	1	11/28/2011
Hancock County	1	1	2	1	3	3	1	1	1	2	2	1	0	2	2	2	5	3	1	2/6/2009
Hardin County	6	4	0	0	1	1	0	0	0	1	2	1	0	0	0	0	0	0	1	1/23/2012
Henderson County	0	0	0	0	0	0	0	0	1	0	0	0	0	0	0	0	0	0	0	n/a
Henry County	27	25	22	17	17	20	17	17	19	17	13	5	6	5	7	5	3	2	0	n/a
Iroquois County	14	20	24	28	7	15	5	5	1	2	1	2	1	5	8	3	1	1	3	2/6/2009

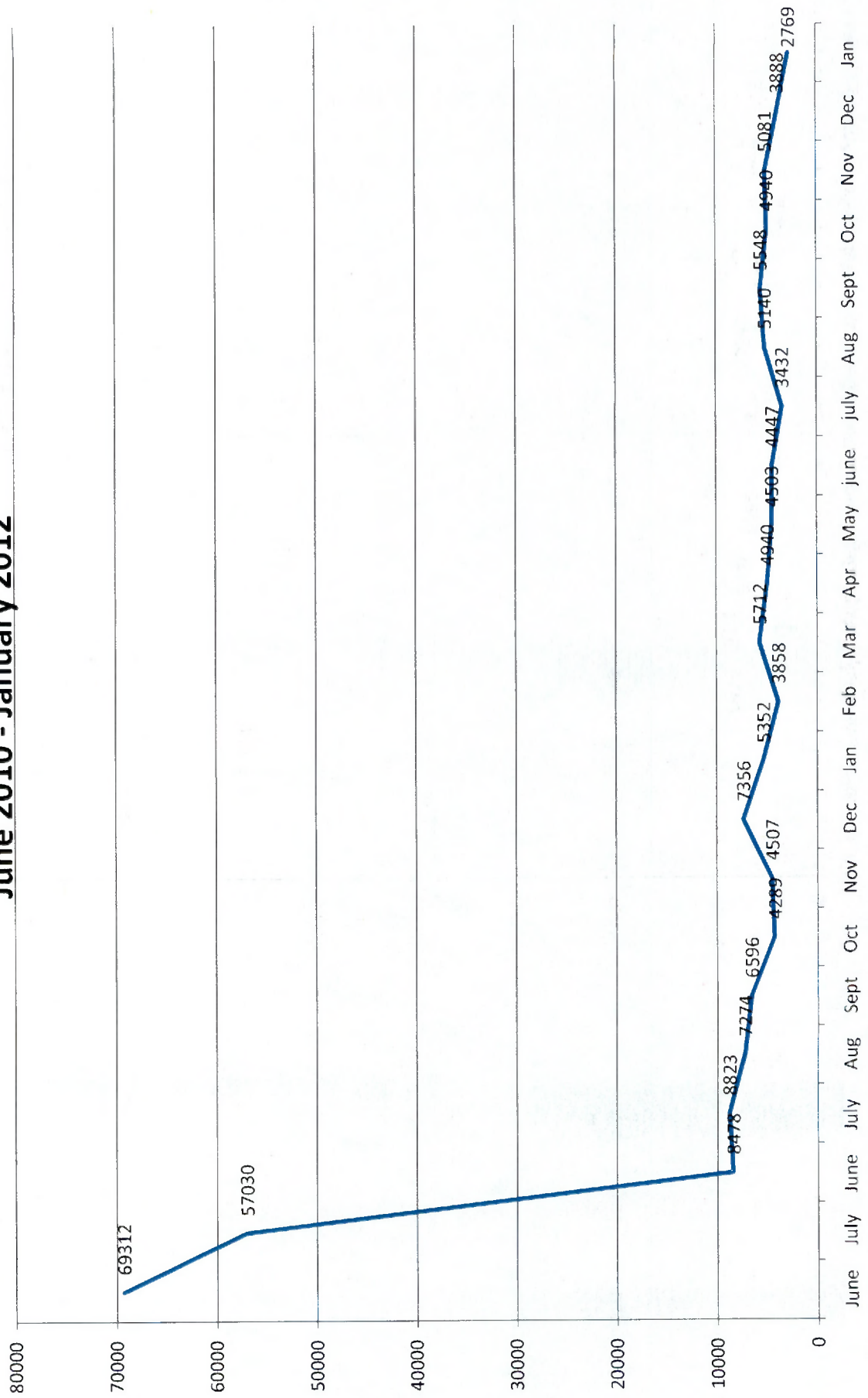


Jurisdiction	July	Aug	Sept	Oct	Nov	Dec	Jan	Feb	Mar	Apr	May	June	July	Aug	Sept	Oct	Nov	Dec	Jan	Oldest Match Date
Jackson County	26	24	24	28	16	28	15	14	20	22	17	20	15	14	14	12	8	6	5	8/27/2009
Jasper County	5	6	7	10	5	3	4	0	0	0	1	2	2	4	4	5	5	6	5	5/26/2009
Jefferson County	6	6	1	0	0	0	0	0	1	0	0	1	0	0	0	0	0	1	1	12/29/2011
Jersey County	6	6	6	8	11	9	13	12	15	15	17	17	17	19	20	20	20	22	22	2/6/2009
JoDavies County	0	0	0	0	1	0	0	0	0	0	0	0	0	0	2	1	1	0	0	n/a
Johnson County	13	11	8	7	1	2	1	1	1	0	0	1	0	0	0	0	0	0	0	n/a
Kane County	117	50	37	51	44	92	32	19	35	17	21	16	5	27	35	34	42	28	33	9/24/2010
Kankakee County	41	44	45	55	67	93	9	5	21	8	12	18	7	90	79	64	21	7	16	2/6/2009
Kendall County	50	23	18	9	15	12	6	4	5	0	4	2	5	6	13	7	10	6	15	9/12/2011
Knox County	13	15	16	18	1	5	7	7	0	1	1	5	9	9	11	14	15	15	18	9/10/2010
Lake County	157	118	152	47	44	214	193	18	51	23	54	32	16	36	46	41	62	76	80	2/6/2009
LaSalle County	106	82	17	10	10	21	14	6	22	11	16	9	4	14	15	15	13	12	17	2/6/2009
Lawrence County	2	2	2	2	1	2	1	0	1	0	1	1	1	0	0	0	0	0	1	1/23/2012
Lee County	4	3	2	1	4	3	0	0	1	0	0	0	0	0	1	0	1	1	0	n/a
Livingston County	4	8	9	6	8	12	1	1	3	5	4	1	1	3	2	4	2	1	2	1/23/2012
Logan County	13	13	4	2	6	5	4	5	12	5	5	4	4	4	3	2	6	6	7	3/18/2011
Macon County	4	5	8	21	7	25	8	4	8	3	3	1	2	45	19	15	18	26	33	2/4/2010
Macoupin County	4	6	5	11	4	12	5	6	8	3	1	0	1	5	7	5	5	3	1	11/15/2011
Madison County	30	26	46	27	29	35	18	17	34	16	27	20	13	18	30	25	27	15	16	2/6/2009
Marion County	53	64	35	39	26	27	18	18	29	24	29	22	20	33	23	13	14	8	9	2/6/2009
Marshall County	2	2	1	1	1	0	3	2	0	1	2	6	0	2	3	0	5	2	2	11/28/2011
Masson County	1	0	0	0	1	1	0	3	1	0	0	0	0	0	0	0	0	0	2	1/23/2012
Massac County	6	6	6	9	4	4	3	3	5	3	3	3	3	3	4	3	3	2	4	2/6/2009
McDonough County	3	3	6	6	9	9	6	2	5	2	2	2	3	5	4	4	4	4	2	2/6/2009
McHenry County	31	15	50	92	35	125	50	4	7	34	69	74	4	10	22	18	59	74	30	2/6/2009
McLean County	39	30	3	3	6	44	7	4	20	21	9	13	5	32	18	10	10	9	10	2/6/2009
Menard County	38	5	5	8	2	3	3	2	2	1	1	1	1	1	1	3	3	4	0	n/a
Mercer County	139	141	142	142	146	148	150	148	149	131	113	12	12	10	12	10	13	11	5	2/6/2009
Monroe County	1	5	5	6	8	6	2	2	2	2	6	7	0	2	0	2	0	0	4	1/23/2012
Montgomery County	0	4	2	2	4	13	4	3	9	10	13	7	10	1	0	0	0	0	2	3/5/2010
Morgan County	19	16	16	12	3	11	4	3	6	3	2	0	9	12	6	5	6	2	0	n/a
Moultrie County	0	0	1	0	3	0	0	0	0	0	0	1	0	0	0	0	0	1	1	12/9/2011
Ogle County	1	3	0	1	2	7	10	0	1	0	1	1	3	3	5	2	3	2	4	12/16/2011
Peoria County	7	4	4	0	4	19	1	2	2	2	4	0	3	5	4	9	12	8	5	2/6/2009
Perry County	0	3	6	5	1	4	0	0	1	0	0	0	0	0	1	0	0	0	0	n/a
Platt County	6	2	2	6	1	4	2	2	1	2	2	2	1	0	1	0	0	0	1	1/23/2012
Pike County	7	7	7	9	9	12	10	10	9	11	12	13	3	0	5	7	10	2	0	n/a
Pope County	5	1	1	1	1	2	0	0	1	2	1	1	2	1	1	0	0	0	2	1/23/2012



Jurisdiction	July	Aug	Sept	Oct	Nov	Dec	Jan	Feb	Mar	Apr	May	June	July	Aug	Sept	Oct	Nov	Dec	Jan	Oldest Match Date
Pulaski County	20	20	16	20	4	4	0	0	2	2	1	1	2	2	4	3	4	5	2	2/6/2009
Putnam County	2	2	1	2	0	2	0	0	2	0	0	0	0	0	0	1	0	0	1	1/23/2012
Randolph County	2	4	3	3	2	3	0	0	3	0	1	3	0	0	2	2	3	3	6	10/14/2011
Richland County	20	22	15	20	11	13	7	6	5	6	5	6	6	7	7	6	5	5	5	4/29/2011
Rock Island County	135	138	151	154	163	178	180	188	124	182	177	75	70	63	66	62	59	33	32	2/6/2009
Saline County	1	0	1	1	1	2	4	4	2	1	7	8	8	2	5	3	3	1	0	n/a
Sangamon County	60	29	37	42	21	63	15	20	42	16	15	21	14	16	17	21	26	26	23	2/6/2009
Schuyler County	2	1	4	2	4	9	9	0	4	1	2	0	0	0	1	1	1	0	2	1/23/2012
Scott County	3	2	6	1	0	5	2	2	3	3	2	3	8	3	2	6	8	2	0	n/a
Shelby County	1	1	1	3	1	2	0	0	0	1	1	1	0	3	1	0	1	0	1	1/23/2012
Stark County	0	0	0	1	0	0	0	0	0	0	1	2	2	2	0	0	0	0	0	n/a
St. Clair County	47	41	46	43	50	77	26	28	43	29	46	38	16	16	13	16	13	6	17	9/3/2010
Stephenson County	0	1	3	2	1	2	2	2	12	2	3	2	4	7	11	7	7	7	15	10/22/2010
Tazewell County	17	14	37	19	17	81	19	24	16	29	39	29	21	27	21	24	41	52	38	2/6/2009
Union County	32	35	30	33	24	33	17	17	28	29	24	22	20	25	23	23	22	15	8	2/6/2009
Vermilion County	6	6	6	3	3	3	3	3	4	3	4	3	2	3	7	9	8	10	13	8/27/2009
Wabash County	0	1	1	0	0	2	1	0	0	0	1	1	3	6	7	2	0	1	0	n/a
Warren County	8	9	9	3	5	7	2	0	2	1	1	2	1	5	3	3	5	5	6	8/19/2011
Washington County	11	13	14	16	7	7	5	4	4	4	6	6	6	6	12	4	5	4	3	2/6/2009
Wayne County	34	41	30	35	34	31	28	28	31	33	34	37	34	16	15	1	0	0	3	1/23/2012
White County	1	2	0	0	1	3	2	2	1	3	3	3	4	5	4	2	1	1	0	n/a
Whiteside County	6	9	9	8	7	11	7	6	9	5	6	2	2	2	3	3	1	1	1	9/3/2010
Will County	380	265	357	340	420	578	437	412	596	586	193	138	50	233	245	58	83	38	99	2/6/2009
Williamson County	93	95	94	102	57	65	43	42	45	43	45	46	45	48	49	32	15	15	2	2/6/2009
Winnebago County	28	19	14	46	35	39	25	8	10	7	10	5	4	6	5	13	20	5	18	2/6/2009
Woodford County	1	1	1	0	0	4	0	0	0	0	0	0	0	2	2	2	6	4	2	12/29/2011
City of Aurora	27	14	19	7	17	6	12	14	3	14	2	3	4	20	23	28	40	33	43	2/6/2009
City of Bloomington	9	9	9	14	11	15	5	6	6	4	5	8	4	4	7	6	8	11	5	2/6/2009
City of Chicago	2,620	2,449	2,056	899	1,037	1,755	1,382	985	1,714	1,346	1,383	1,626	1,349	1,703	2,020	2,017	2,028	1,557	960	2/6/2009
City of Danville	2	2	3	2	3	7	3	2	6	3	8	3	6	4	5	7	9	4	3	2/6/2009
City of East St. Louis	45	18	9	5	3	12	5	5	10	8	6	11	4	3	2	3	6	5	7	2/6/2009
City of Galesburg	5	8	8	7	2	6	10	4	3	3	3	3	5	6	7	9	6	8	7	9/3/2010
City of Peoria	6	2	13	2	4	39	2	2	23	35	20	9	14	19	22	30	34	35	40	2/6/2009
City of Rockford	14	11	16	20	18	17	8	4	19	5	10	10	10	12	15	20	24	12	34	2/6/2009
	8,823	7,274	6,596	4,289	4,507	7,356	5,352	3,858	5,712	4,940	4,503	4,447	3,432	5,140	5,548	4,940	5,081	3,888	2,769	

# Statewide Duplicate Voter Registrations June 2010 - January 2012



<u>County</u>	Total Voters Registered as of 1/31/2012	Census Total Pop.	% Reg. Vs. Total Population	18 years and over #	% Reg. vs. 18 and Over as of 1/04/2012	% Reg. vs. 18 and Over as of 1/31/2012
ALEXANDER	7,859	8,238	95.40%	6,353	123.99%	123.71%
CLARK	12,634	16,335	77.34%	12,617	100.11%	100.13%
CLINTON	22,778	37,762	60.32%	29,180	77.74%	78.06%
HARDIN	3,111	4,320	72.01%	3,444	90.01%	90.33%
JASPER	6,761	9,698	69.72%	7,494	95.65%	90.22%
MASSAC	12,558	15,429	81.39%	11,911	105.78%	105.43%
MENARD	8,455	12,705	66.55%	9,704	86.94%	87.13%
MERCER	11,620	16,434	70.71%	12,714	91.31%	91.40%
OGLE	33,724	53,497	63.04%	40,253	83.58%	83.78%
PULASKI	5,535	6,161	89.84%	4,756	116.30%	116.38%
RICHLAND	12,241	16,233	75.41%	12,625	102.70%	96.96%
ROCK ISLAND	79,811	147,546	54.09%	114,359	69.50%	69.79%
STARK	4,025	5,994	67.15%	4,583	87.67%	87.82%
UNION	11,526	17,808	64.72%	13,980	82.53%	82.45%
City of East St. Louis	19,324	27,006	71.55%	19,098	100.98%	101.18%



# STATE BOARD OF ELECTIONS



*From the desk of.... Kyle Thomas*  
*Director of Voter Registration Services*  
*Phone: 217-782-1590*  
*Email: kthomas@elections.il.gov*

**To:** Rupert T. Borgsmiller; Executive Director  
**Re:** Joint Committee Meeting-Statewide EMS  
**Date:** January 30, 2012

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January 24, 2012 marked the third meeting of the Joint Committee to discuss the development of a Statewide Election Management System (EMS). Attendance was respectable with representation lacking only from the Election Commissions' Association. Those Committee Members in attendance included:

Zone 1- Steven Fox, Marion Co. Clerk  
Larry Reinhardt, Jackson Co. Clerk

Zone 2- Mark Von Nida, Madison Co. Clerk  
Bill Blessman, Mason Co. Clerk

Zone 3- Steve Bean, Macon Co. Clerk  
Gordy Hulten, Champaign Co. Clerk

Zone 4- Katherine Schultz, McHenry Co. Clerk  
Phyllis Bewley, Mercer Co. Clerk

We also had JoAnn Carretto; the LaSalle Co. Clerk in person and from Cook Co., Noah Praetz and Jan Kralovec attempted to participate by teleconference, though the quality of the connection was sub-par at best.

At the last meeting, it was decided that the states' which currently have "top-down" statewide databases needed to be contacted to gain some perspective. A sub-committee was formed to contact those states and a standard list of questions was given to the sub-committee to pass on.

Much of the meeting was spent reviewing the results the sub-committee had gathered. Most of the states expressed that their locals' acceptance was a major hurdle they faced in the onset of their new system, though it appeared that hurdle had been cleared.

The average reported annual maintenance costs for these states came in between 0.5-2.5 million dollars with initial development costs ranging from 4.5-14 million dollars.

What most of the sub-committee discovered is that there are few states operating complete election management systems, as their systems are more focused on voter registration alone. This led to discussions as to Illinois' ability to provide full election management, which I believe to be necessary. The exception would be that the State would not be offering ballot tabulation with this system, but ballot style creation is expected.

The question then arose again as to why the State is considering the pursuit of offering a Statewide EMS to which I responded; due to federal law requiring a Statewide database and due to State law requiring a local registration system in connection with the Statewide database, it is the State's responsibility to maintain the Statewide database with current data and current data is dependent on an operational local system. If a jurisdiction cannot afford to keep their local system functioning, then the State will not be meeting our federal mandate. After explaining this, the members in attendance began to understand that when approached by a jurisdiction which is looking to develop a new EMS with taxpayer dollars, why the State is interested in working to leverage that new system as an offering that can be used by more than just one jurisdiction in this State.

After the members started to understand the State Board's perspective, they decided they need to see more technical details in a simple format. So, I agreed to have available for discussion at our next meeting, a diagram outlining the system as I see it. We will then take that and add or subtract the capabilities from the jurisdictions point of view.

Our next meeting is scheduled for February 24<sup>th</sup> at 2:00 pm in Bloomington, following the Zone 3 monthly meeting.



**STATE BOARD OF ELECTIONS  
INTER-OFFICE MEMORANDUM**

---

**From the desk of:**

***Michael Roate, Director of Administrative Services/CFO***

**TO:                   Members of the Board**  
**SUBJECT:           New Springfield Office – Facility Update**  
**DATE:               January 30, 2012**

---

Preparations are well underway for the Springfield office move the new MacArthur Boulevard facility (2329 South MacArthur). Below is a summary of present project status as of 1/30/12

- A signed copy of the final lease contract between Town & Country Group (landlord), SBE and Central Management Services was received from CMS in early January. The major portion of move activities are still scheduled to occur between February 9<sup>th</sup> and February 14<sup>th</sup>; however, Illinois Correctional Industries (ICI) has indicated that the availability of ICI moving trucks/drivers for cubicle transport will be limited during the second work week of February (February 6<sup>th</sup> through the 10<sup>th</sup>). In order to maintain current deadlines for move activities, and ensure that sufficient resources are available for cubicle transport, the start date for ICI cubicle teardown has been moved up to February 1<sup>st</sup>. In addition, contractual arrangements were made with Hillier Moving and Storage (the furniture and equipment movers) to provide an extra truck and moving crew from February 6<sup>th</sup> – 8<sup>th</sup> for ICI's cubicle move activities (Hillier's main moving activities are still scheduled to start February 9<sup>th</sup>). With these extra resources and schedule changes, cubicle move activities are expected to stay within anticipated schedules.
- SBE had secured an additional one-month 'holdover' of the current Spring Street lease in order to complete moving activities and clean-up of the facility; however, due to CMS concerns with a one-month 'holdover' lease a 20-day 'emergency' lease (from February 1<sup>st</sup> through the 20<sup>th</sup>) was negotiated with the landlord instead. This means that SBE will need to be out of the building by February 20<sup>th</sup>; however, meeting this deadline is not expected to be a problem.
- The build-out of the MacArthur facility continues on schedule. New office walls have been drywalled and sanded, painting is well underway, new doors have been hung and locks are being installed, new data and phone cabling is being installed, and an office access and security system has been selected and installation will begin on January 30<sup>th</sup>. There may be some small 'clean-up' items still in process at the end of January, but the majority of build-out activities will be complete and SBE is expected take possession of the facility on February 1<sup>st</sup>. Also on February 1<sup>st</sup>, the first SBE division (VOSS) will move into the building to start voting system testing in the new test center room.
- As mentioned above, Hillier Moving and Storage will begin full scale moving activities on February 9<sup>th</sup>. As part of pre-move preparations, sketch maps (with locations of furniture/fixtures) have been created of individual offices, and also the main stockroom in the back of the facility. In addition, ICI has produced scale maps of the entire facility with locations of cubicles and offices plotted; this is expected to help greatly with move activities. These maps were forwarded to Hillier Moving and Storage on 1/27.

- Tentative schedule of key dates and upcoming activities in the moving process:
  - Facility, office and stockroom maps to Hillier for move preparation– January 27<sup>th</sup>
  - Transport of emergency generator to new facility– January 27<sup>th</sup>
  - Completion of remaining drywall, painting and carpet cleaning(MacArthur) – January 31<sup>st</sup>
  - 'Walk-through' of new facility prior to lease commencement– February 1<sup>st</sup>
  - 'Sign-off' and transfer of possession of new facility– February 1<sup>st</sup>
  - First division (VOSS) commences operations in new facility– February 1st
  - Start of cubicle move activities (ICI)– February 1st
  - Completion of security system installation– February 3<sup>rd</sup>
  - Start of furniture & fixture move activities (Hillier)– February 9<sup>th</sup>
  - Temporary shutdown of Springfield office telephone system– February 10<sup>th</sup> (1pm)
  - Temporary shutdown of SBE office-based computer systems – February 10<sup>th</sup> (SBE servers located in the CMS Data Center will still be operational)
  - Completion of ICI and Hillier moving activities (February 13<sup>th</sup>)
  - Completion of phone and data infrastructure move, and restoration of services – February 13<sup>th</sup>
  - Secure move of candidate petitions and related documents– February 14<sup>th</sup>
  - Clean-up of entire Spring Street facility prior to vacation of premises– February 15
  - Expected last day of possession of Spring Street facility– February 16<sup>th</sup>

Please let me know if you have any questions or comments.

**STATE BOARD OF ELECTIONS**  
**FY12 MONTHLY FISCAL REPORT**  
**General Revenue Fund**

AGENCY TOTALS MONTH ENDING: Jan. 31, 2012	FY12 APPROPRIATION	YEAR TO DATE EXPENDITURE	OBLIGATED MONEY	BALANCE	% OF EXPENDITURE
PERSONAL SERVICE	\$4,280,100.00	\$2,190,384.56	\$0.00	\$2,089,715.44	51.18%
STATE PAID RETIREMENT	\$171,300.00	\$85,705.61	\$0.00	\$85,594.39	50.03%
RETIREMENT (inc. supplemental)	\$0.00	\$0.00	\$0.00	\$0.00	0.00%
SOCIAL SECURITY	\$327,600.00	\$162,159.94	\$0.00	\$165,440.06	49.50%
CONTRACTUAL SERVICE	\$1,265,700.00	\$301,003.51	\$296,148.63	\$668,547.86	23.78%
TRAVEL	\$128,200.00	\$30,734.01	\$0.00	\$97,465.99	23.97%
PRINTING	\$51,400.00	\$8,980.92	\$0.00	\$42,419.08	17.47%
COMMODITIES	\$39,500.00	\$16,012.11	\$0.00	\$23,487.89	40.54%
EQUIPMENT	\$157,800.00	\$53,939.08	\$0.00	\$103,860.92	34.18%
TELECOMMUNICATIONS	\$143,800.00	\$51,022.41	\$0.00	\$92,777.59	35.48%
OPERATION OF AUTO EQUIPMENT	\$4,900.00	\$706.17	\$0.00	\$4,193.83	14.41%
HAVA MAINTENANCE OF EFFORT	\$550,000.00	\$282,020.80	\$182,806.27	\$85,172.93	51.28%
ELECTION CODE BOOKS	\$15,000.00	\$0.00	\$0.00	\$15,000.00	0.00%
IVRS LUMP SUM - OPERATIONS	\$328,500.00	\$158,142.54	\$0.00	\$170,357.46	48.14%
INTEREST PAYMENTS	\$0.00	\$0.00	\$0.00	\$0.00	0.00%
<b>SUB-TOTAL (OPERATIONS)</b>	<b>\$7,463,800.00</b>	<b>\$3,340,811.66</b>	<b>\$478,954.90</b>	<b>\$3,644,033.44</b>	<b>44.76%</b>
CO CLERK & RECORDER STIPENDS	\$806,000.00	\$0.00	\$0.00	\$806,000.00	0.00%
ELECTION JUDGE REIMBURSEMENT	\$1,347,100.00	\$0.00	\$0.00	\$1,347,100.00	0.00%
ELECTION JUDGES/EARLY VOTING	\$0.00	\$0.00	\$0.00	\$0.00	0.00%
IVRS LUMP SUM - GRANTS	\$1,251,900.00	\$0.00	\$0.00	\$1,251,900.00	0.00%
REDISTRICTING	\$90,000.00	\$90,000.00	\$0.00	\$0.00	100.00%
ADDITIONAL STATE MATCH	\$0.00	\$0.00	\$0.00	\$0.00	0.00%
VOTING SYSTEMS INTEGRITY CENTER	\$0.00	\$0.00	\$0.00	\$0.00	0.00%
ELEC. CANVASSING IMPLEMENTATION	\$300,000.00	\$189,484.58	\$41,532.59	\$68,982.83	63.16%
IDIS SYSTEM REPLACEMENT	\$85,000.00	\$0.00	\$0.00	\$85,000.00	0.00%
SUPP. APPROP. - IVRS	\$1,000,000.00	\$0.00	\$0.00	\$1,000,000.00	0.00%
SUPP. APPROP. - ELECTION DAY JUDGE	\$1,000,000.00	\$0.00	\$0.00	\$1,000,000.00	0.00%
<b>SUB-TOTAL (GRANTS)</b>	<b>\$5,880,000.00</b>	<b>\$279,484.58</b>	<b>\$41,532.59</b>	<b>\$5,558,982.83</b>	<b>4.75%</b>
<b>TOTAL APPROPRIATION</b>	<b>\$13,343,800.00</b>	<b>\$3,620,296.24</b>	<b>\$520,487.49</b>	<b>\$9,203,016.27</b>	<b>27.13%</b>



**STATE BOARD OF ELECTIONS**  
**FY12 MONTHLY FISCAL REPORT**  
**General Revenue Fund**

<b>BOARD</b> <b>MONTH ENDING: Jan. 31, 2012</b>	<b>FY12</b> <b>APPROPRIATION</b>	<b>YEAR TO DATE</b> <b>EXPENDITURE</b>	<b>BALANCE</b>	<b>% OF</b> <b>EXPENDITURE</b>
<b>CONTRACTUAL SERVICE</b>				
1205 Freight Express & Drayage	\$2,500.00	\$551.32	\$1,948.68	22.05%
1221 Repair/Maint. Furn./Office Equipment				
1232 Rental Motor Vehicles	\$1,000.00	\$1,000.00	\$0.00	100.00%
1239 Rental, NEC				
1243 Book Binding Services				
1266 Court Reporting & Filing Services	\$17,000.00	\$8,219.75	\$8,780.25	48.35%
1274 Reg. Fees & Conf. Expenses (Vendor)	\$1,000.00	\$0.00	\$1,000.00	0.00%
1275 Subscriptions				
1276 Reg. Fees & Conf. Expenses (Employee)	\$100.00	\$0.00	\$100.00	0.00%
1277 Association Dues	\$100.00	\$0.00	\$100.00	0.00%
1279 Employee Tuition & Fees				
1280 Copying, Photographic & Printing Services	\$500.00	\$321.75	\$178.25	64.35%
1286 Travel, Non-State Employee				
1289 Contractual Services, NEC	\$500.00	\$441.05	\$58.95	88.21%
<b>TRAVEL</b>	\$19,000.00	\$9,915.01	\$9,084.99	52.18%
<b>EQUIPMENT</b>				
1510 Office Furniture & Equipment	\$700.00	\$0.00	\$700.00	0.00%
<b>LUMP SUMS &amp; OTHER PURPOSES</b>				
1991 Interest Payments	\$0.00	\$0.00	\$0.00	0.00%

<b>BOARD GRAND TOTAL</b>	<b>FY12</b> <b>APPROPRIATION</b>	<b>YEAR TO DATE</b> <b>EXPENDITURE</b>	<b>OBLIGATED</b> <b>MONEY</b>	<b>BALANCE</b>
CONTRACTUAL SERVICE	\$22,700.00	\$10,533.87		\$12,166.13
TRAVEL	\$19,000.00	\$9,915.01		\$9,084.99
EQUIPMENT	\$700.00	\$0.00		\$700.00
INTEREST PAYMENTS	\$0.00	\$0.00		\$0.00
<b>TOTAL</b>	<b>\$42,400.00</b>	<b>\$20,448.88</b>	<b>\$0.00</b>	<b>\$21,951.12</b>

**STATE BOARD OF ELECTIONS**  
**FY12 MONTHLY FISCAL REPORT**  
**General Revenue Fund**

ADMINISTRATION	FY12	YEAR TO DATE	% OF	
MONTH ENDING: Jan. 31, 2012	APPROPRIATION	EXPENDITURE	BALANCE	EXPENDITURE
PERSONAL SERVICE	\$749,900.00	\$413,051.83	\$336,848.17	55.08%
1129 State Paid Retirement	\$30,000.00	\$15,840.72	\$14,159.28	52.80%
1161 Retirement	\$0.00	\$0.00	\$0.00	0.00%
1161-008 Compt. Supplemental Retirement	\$0.00	\$0.00	\$0.00	0.00%
1170 Social Security	\$57,400.00	\$30,576.77	\$26,823.23	53.27%
CONTRACTUAL SERVICE				
1201 Petty Cash	\$500.00	\$0.00	\$500.00	0.00%
1205 Freight Express & Drayage	\$700.00	\$0.00	\$700.00	0.00%
1221 Repair/Maint. Furn./Office Equipment	\$13,000.00	\$5,060.96	\$7,939.04	38.93%
1223 Repair/Maint. Real Property	\$4,000.00	\$0.00	\$4,000.00	0.00%
1230 In-House Repair & Maintenance				
1231 Rental, Office Equipment	\$35,800.00	\$1,310.61	\$34,489.39	3.66%
1232 Rental, Motor Vehicles	\$4,600.00	\$1,000.00	\$3,600.00	21.74%
1233 Rental, Real Property	\$325,000.00	\$156,653.19	\$168,346.81	48.20%
1234 Rental, Machinery & Mechanical Eqmt				
1239 Rental, NEC	\$1,000.00	\$410.00	\$590.00	41.00%
1240 Statistical & Tabulation Services	\$12,800.00	\$6,219.38	\$6,580.62	48.59%
1245 Professional & Artistic				
1248 Building & Grounds Maintenance	\$9,500.00	\$1,436.88	\$8,063.12	15.13%
1251 Gas	\$10,400.00	\$0.00	\$10,400.00	0.00%
1252 Electricity	\$80,900.00	\$26,320.88	\$54,579.12	32.54%
1253 Water	\$1,400.00	\$585.06	\$814.94	41.79%
1255 Utilities, NEC	\$900.00	\$358.10	\$541.90	39.79%
1261 Postage	\$75,000.00	\$624.24	\$74,375.76	0.83%
1266 Court Reporting				
1274 Reg Fees & Conf. Expenses (Vendor)	\$800.00	\$530.00	\$270.00	66.25%
1275 Subscription & Information Services	\$1,900.00	\$1,795.25	\$104.75	94.49%
1276 Reg.Fees & Conf. Expenses (Employee)	\$800.00	\$0.00	\$800.00	0.00%
1277 Association Dues	\$2,000.00	\$415.00	\$1,585.00	20.75%
1279 Tuition - Employee				
1281 Interviewee Expense - To Vendors				
1285 Taxes, Licenses & Fees	\$100.00	\$0.00	\$100.00	0.00%
1289 Contractual Services, NEC	\$1,000.00	\$45.87	\$954.13	4.59%
TRAVEL				
1291 In-State	\$18,000.00	\$3,525.15	\$14,474.85	19.58%
1292 Out-of-State	\$1,000.00	\$734.68	\$265.32	73.47%
PRINTING	\$9,800.00	\$981.03	\$8,818.97	10.01%
COMMODITIES				
1304 Office/Library Supplies	\$13,500.00	\$4,901.21	\$8,598.79	36.31%
1306 Food for Human Consumption	\$100.00	\$38.55	\$61.45	38.55%
1391 Household & Cleaning Supplies	\$2,900.00	\$1,034.38	\$1,865.62	35.67%
1394 Office/Library Equip., Not exc \$100				
1398 Equipment, NEC	\$400.00	\$0.00	\$400.00	0.00%
1399 Commodities, NEC	\$600.00	\$279.39	\$320.61	46.57%
EQUIPMENT				
1510 Office Furniture & Equipment	\$2,100.00	\$2,084.63	\$15.37	99.27%
1599 Equipment NEC	\$900.00	\$0.00	\$900.00	0.00%
TELECOMMUNICATIONS				
1710 Repair/Maintenance Telecom				
1721 Rental, Telephone Serv. & Equip.	\$51,000.00	\$22,863.63	\$28,136.37	44.83%
1722 Rental, Data Comm. Serv. & Equip.	\$81,200.00	\$24,772.68	\$56,427.32	30.51%
1728 Videoconferencing	\$6,400.00	\$3,386.10	\$3,013.90	52.91%
1729 Rental, Other Comm. Serv. & Equip.	\$3,700.00	\$0.00	\$3,700.00	0.00%
1730 Parts & Supplies for Telephone	\$1,500.00	\$0.00	\$1,500.00	0.00%
1750 Telephone, Data, Radio & Other Equipment				
OPERATION OF AUTO EQUIPMENT				
1893 Repair & Maint., Auto. Equipment	\$1,800.00	\$37.99	\$1,762.01	2.11%
1894 Parts & Fittings, Auto Equipment	\$200.00	\$0.00	\$200.00	0.00%
1896 Gasoline, Oil & Antifreeze	\$2,900.00	\$668.18	\$2,231.82	23.04%
1899 Auto. Expenses, NEC				
LUMP SUMS & OTHER PURPOSES				
1991 Interest Payments	\$0.00	\$0.00	\$0.00	0.00%
	FY12	YEAR TO DATE	OBLIGATED	% OF
ADMINISTRATION GRAND TOTAL	APPROPRIATION	EXPENDITURE	MONEY	BALANCE EXPENDITURE
PERSONAL SERVICE	\$749,900.00	\$413,051.83		\$336,848.17 55.08%
STATE PAID RETIREMENT	\$30,000.00	\$15,840.72		\$14,159.28 52.80%
RETIREMENT (incl. supplemental funding)	\$0.00	\$0.00		\$0.00 0.00%
SOCIAL SECURITY	\$57,400.00	\$30,576.77		\$26,823.23 53.27%
CONTRACTUAL SERVICE	\$582,100.00	\$202,765.42	\$209,655.17	\$169,679.41 34.83%
TRAVEL	\$19,000.00	\$4,259.83		\$14,740.17 22.42%
PRINTING	\$9,800.00	\$981.03		\$8,818.97 10.01%
COMMODITIES	\$17,500.00	\$6,253.53		\$11,246.47 35.73%
EQUIPMENT	\$3,000.00	\$2,084.63		\$915.37 69.49%
TELECOMMUNICATIONS	\$143,800.00	\$51,022.41		\$92,777.59 35.48%
OPERATION OF AUTO EQUIPMENT	\$4,900.00	\$706.17		\$4,193.83 14.41%
INTEREST PAYMENTS	\$0.00	\$0.00		\$0.00 0.00%
TOTAL	\$1,617,400.00	\$727,542.34	\$209,655.17	\$680,202.49 44.98%

**STATE BOARD OF ELECTIONS**  
**FY12 MONTHLY FISCAL REPORT**  
**General Revenue Fund**

ELECTIONS  
MONTH ENDING: Jan. 31, 2012

	FY12 APPROPRIATION	YEAR TO DATE EXPENDITURE	BALANCE	% OF EXPENDITURE
<b>PERSONAL SERVICE</b>	\$1,724,600.00	\$856,000.83	\$868,599.17	49.63%
1129 State Paid Retirement	\$69,000.00	\$33,584.01	\$35,415.99	48.67%
1161 Retirement	\$0.00	\$0.00	\$0.00	0.00%
1161-008 Compt. Supplemental Retirement	\$0.00	\$0.00	\$0.00	0.00%
1170 Social Security	\$132,000.00	\$63,403.57	\$68,596.43	48.03%
<b>CONTRACTUAL SERVICE</b>				
1145 Contractual Payroll				
1202 Contractual reimbursement To Employee				
1205 Freight Express & Drayage	\$4,300.00	\$68.29	\$4,231.71	1.59%
1221 Repair/Maint. Furn./Office Equipment	\$200.00	\$200.00	\$0.00	100.00%
1231 Rental, Office Equipment				
1232 Rental, Motor Vehicles				
1237 Rental, Film & Audio/Visual Aids	\$100.00	\$0.00	\$100.00	0.00%
1239 Rental, NEC	\$4,100.00	\$550.00	\$3,550.00	13.41%
1242 Auditing & Management Services				
1245 Professional & Artistic Services, NEC				
1266 Court Reporting & Filing Services	\$300.00	\$290.00	\$10.00	96.67%
1273 Advertising				
1274 Reg Fees & Conf. Expenses (Vendor)	\$4,000.00	\$0.00	\$4,000.00	0.00%
1275 Subscription & Information Services	\$1,900.00	\$0.00	\$1,900.00	0.00%
1276 Reg. Fees & Conf. Expenses (Employee)	\$1,300.00	\$0.00	\$1,300.00	0.00%
1277 Association Dues	\$2,300.00	\$744.00	\$1,556.00	32.35%
1279 Employee Tuition & Fees	\$2,900.00	\$170.00	\$2,730.00	5.86%
1280 Copying, Photographic & Printing Services	\$1,000.00	\$0.00	\$1,000.00	0.00%
1285 Operating Taxes, Licenses & Fees				
1286 Travel, Non-state Employees	\$300.00	\$290.44	\$9.56	96.81%
1289 Contractual Services, NEC	\$23,700.00	\$1,722.82	\$21,977.18	7.27%
<b>TRAVEL</b>	\$58,500.00	\$6,791.30	\$51,708.70	11.61%
<b>PRINTING</b>	\$18,900.00	\$2,004.90	\$16,895.10	10.61%
<b>EQUIPMENT</b>				
1510 Office Furniture & Equipment	\$5,000.00	\$1,222.62	\$3,777.38	24.45%
<b>LUMP SUMS &amp; OTHER PURPOSES</b>				
1991 Interest Payments	\$0.00	\$0.00	\$0.00	0.00%
<b>HAVA - Maintenance of Effort</b>	\$550,000.00	\$282,020.80	\$267,979.20	51.28%
<b>ELECTION CODE BOOKS</b>	\$15,000.00	\$0.00	\$15,000.00	0.00%
<b>Redistricting</b>	\$90,000.00	\$90,000.00	\$0.00	100.00%
<b>Election Judge Reimbursements</b>	\$1,347,100.00	\$0.00	\$1,347,100.00	0.00%
<b>Stipends</b>	\$806,000.00	\$0.00	\$806,000.00	0.00%
<b>Early Voting Election Judges</b>	\$0.00	\$0.00	\$0.00	0.00%
<b>State HAVA Match</b>	\$0.00	\$0.00	\$0.00	0.00%
<b>Voting System Integrity Center</b>	\$0.00	\$0.00	\$0.00	0.00%
<b>Electronic Canvassing Implementation</b>	\$300,000.00	\$189,484.58	\$110,515.42	63.16%
<b>IDIS Replacement System</b>	\$85,000.00	\$0.00	\$85,000.00	0.00%
<b>Supp. Approp. - Election Day Judge</b>	\$1,000,000.00	\$0.00	\$1,000,000.00	0.00%

ELECTIONS GRAND TOTAL	FY12 APPROPRIATION	YEAR TO DATE EXPENDITURE	OBLIGATED MONEY	BALANCE	% OF EXPENDITURE
PERSONAL SERVICE	\$1,724,600.00	\$856,000.83		\$868,599.17	49.63%
STATE PAID RETIREMENT	\$69,000.00	\$33,584.01		\$35,415.99	48.67%
RETIREMENT (incl. supplemental funding)	\$0.00	\$0.00		\$0.00	0.00%
SOCIAL SECURITY	\$132,000.00	\$63,403.57		\$68,596.43	48.03%
CONTRACTUAL SERVICE	\$46,400.00	\$4,035.55		\$42,364.45	8.70%
TRAVEL	\$58,500.00	\$6,791.30		\$51,708.70	11.61%
PRINTING	\$18,900.00	\$2,004.90		\$16,895.10	10.61%
EQUIPMENT	\$5,000.00	\$1,222.62		\$3,777.38	24.45%
HAVA - Maintenance of Effort	\$550,000.00	\$282,020.80	\$182,806.27	\$85,172.93	51.28%
ELECTION CODE BOOKS	\$15,000.00	\$0.00		\$15,000.00	0.00%
Redistricting	\$90,000.00	\$90,000.00		\$0.00	100.00%
Election Judge Reimbursements	\$1,347,100.00	\$0.00		\$1,347,100.00	0.00%
Stipends	\$806,000.00	\$0.00		\$806,000.00	0.00%
Early Voting Election Judges	\$0.00	\$0.00		\$0.00	0.00%
State HAVA Match	\$0.00	\$0.00		\$0.00	0.00%
Voting System Integrity Center	\$0.00	\$0.00		\$0.00	0.00%
Electronic Canvassing Implementation	\$300,000.00	\$189,484.58	\$41,532.59	\$68,982.83	63.16%
IDIS Replacement System	\$85,000.00	\$0.00		\$85,000.00	0.00%
Supp. Approp. - Election Day Judge	\$1,000,000.00	\$0.00		\$1,000,000.00	0.00%
INTEREST PAYMENTS	\$0.00	\$0.00		\$0.00	0.00%
<b>TOTAL</b>	<b>\$6,247,500.00</b>	<b>\$1,528,548.16</b>	<b>\$224,338.86</b>	<b>\$4,494,612.98</b>	<b>24.47%</b>



**STATE BOARD OF ELECTIONS**  
**FY12 MONTHLY FISCAL REPORT**  
**General Revenue Fund**

<b>GENERAL COUNSEL</b> <b>MONTH ENDING: Jan. 31, 2012</b>	<b>FY12</b> <b>APPROPRIATION</b>	<b>YEAR TO DATE</b> <b>EXPENDITURE</b>	<b>BALANCE</b>	<b>% OF</b> <b>EXPENDITURE</b>
<b>PERSONAL SERVICE</b>	\$289,700.00	\$154,948.53	\$134,751.47	53.49%
1129 State Paid Retirement	\$11,600.00	\$6,201.69	\$5,398.31	53.46%
1161 Retirement	\$0.00	\$0.00	\$0.00	0.00%
1170 Social Security	\$22,200.00	\$11,492.82	\$10,707.18	51.77%
<b>CONTRACTUAL SERVICE</b>				
1145 Contractual Payroll				
1202 Reimbursement to Employee	\$0.00	\$0.00	\$0.00	0.00%
1205 Freight Express & Drayage	\$500.00	\$0.00	\$500.00	0.00%
1221 Repair/Maint. Furn./Office Equipment				
1244 Legal Fees	\$60,000.00	\$13,746.54	\$46,253.46	22.91%
1245 Professional & Artistic Services, NEC				
1266 Court Reporting & Filing Services	\$15,000.00	\$7,811.99	\$7,188.01	52.08%
1273 Advertising				
1274 Reg Fees & Conf. Expenses (Vendor)	\$1,000.00	\$0.00	\$1,000.00	0.00%
1275 Subscription & Information Services	\$3,000.00	\$172.22	\$2,827.78	5.74%
1276 Reg. Fees & Conf. Expenses (Employee)	\$2,000.00	\$289.00	\$1,711.00	0.00%
1277 Association Dues	\$1,500.00	\$875.00	\$625.00	58.33%
1279 Employee Tuition & Fees	\$500.00	\$428.00	\$72.00	85.60%
1280 Copying, Photographic & Printing Services	\$700.00	\$0.00	\$700.00	0.00%
1284 Computer Software				
1289 Contractual Services, NEC	\$7,000.00	\$2,725.50	\$4,274.50	38.94%
<b>TRAVEL</b>	\$9,500.00	\$6,128.63	\$3,371.37	64.51%
<b>EQUIPMENT</b>				
1510 Office Furniture & Equipment	\$500.00	\$0.00	\$500.00	0.00%
<b>LUMP SUMS &amp; OTHER PURPOSES</b>				
1991 Interest Payments	\$0.00	\$0.00	\$0.00	0.00%

<b>GENERAL COUNSEL GRAND TOTAL</b>	<b>FY12</b> <b>APPROPRIATION</b>	<b>YEAR TO DATE</b> <b>EXPENDITURE</b>	<b>OBLIGATED</b> <b>MONEY</b>	<b>BALANCE</b>	<b>% OF</b> <b>EXPENDITURE</b>
<b>PERSONAL SERVICE</b>	\$289,700.00	\$154,948.53		\$134,751.47	53.49%
<b>STATE PAID RETIREMENT</b>	\$11,600.00	\$6,201.69		\$5,398.31	53.46%
<b>RETIREMENT</b>	\$0.00	\$0.00		\$0.00	0.00%
<b>SOCIAL SECURITY</b>	\$22,200.00	\$11,492.82		\$10,707.18	51.77%
<b>CONTRACTUAL SERVICE</b>	\$91,200.00	\$26,048.25	51,253.46	\$13,898.29	28.56%
<b>TRAVEL</b>	\$9,500.00	\$6,128.63		\$3,371.37	64.51%
<b>EQUIPMENT</b>	\$500.00	\$0.00		\$500.00	0.00%
<b>INTEREST PAYMENTS</b>	\$0.00	\$0.00		\$0.00	0.00%
<b>TOTAL</b>	<b>\$424,700.00</b>	<b>\$204,819.92</b>	<b>\$51,253.46</b>	<b>\$168,626.62</b>	<b>48.23%</b>

**STATE BOARD OF ELECTIONS**  
**FY12 MONTHLY FISCAL REPORT**  
**General Revenue Fund**

<b>CAMPAIGN DISCLOSURE</b> <b>MONTH ENDING: Jan. 31, 2012</b>	<b>FY12</b> <b>APPROPRIATION</b>	<b>YEAR TO DATE</b> <b>EXPENDITURE</b>	<b>BALANCE</b>	<b>% OF</b> <b>EXPENDITURE</b>
<b>PERSONAL SERVICE</b>	\$797,300.00	\$386,756.37	\$410,543.63	48.51%
1129 State Paid Retirement	\$31,900.00	\$15,476.78	\$16,423.22	48.52%
1161 Retirement	\$0.00	\$0.00	\$0.00	0.00%
1161-0008 Compt. Supplemental Retirement	\$0.00	\$0.00	\$0.00	0.00%
1170 Social Security	\$61,000.00	\$28,359.01	\$32,640.99	46.49%
<b>CONTRACTUAL SERVICE</b>				
1202 Employee Reimbursement	\$0.00	\$0.00	\$0.00	0.00%
1205 Freight Express & Drayage	\$100.00	\$5.83	\$94.17	5.83%
1221 Repair & Maint, Furn & Office Equipment	\$300.00	\$225.00	\$75.00	0.00%
1225 Repair & Maint, EDP				
1229 Repair & Maint, NEC				
1232 Rental, Motor Vehicles				
1239 Rental, NEC	\$3,200.00	\$0.00	\$3,200.00	0.00%
1245 Professional & Artistic Services, NEC	\$6,000.00	\$0.00	\$6,000.00	0.00%
1248 Building & Ground Maintenance				
1266 Court Reporting & Filing Services				
1274 Reg Fees & Conf. Expenses (Vendor)	\$1,100.00	\$1,060.00	\$40.00	96.36%
1275 Subscription & Information Services				
1276 Reg. Fees & Conf. Expenses (Employee)				
1277 Association Dues				
1279 Employee Tuition and Fees	\$400.00	\$0.00	\$400.00	0.00%
1285 Operating Taxes, Licenses & Fees				
1286 Travel, Non-State Employee				
1289 Contractual Services, NEC				
<b>TRAVEL</b>				
1291 In-State	\$8,000.00	\$269.46	\$7,730.54	3.37%
1292 Out-of-State	\$3,300.00	\$1,388.27	\$1,911.73	42.07%
<b>PRINTING</b>	\$22,000.00	\$5,994.99	\$16,005.01	27.25%
<b>EQUIPMENT</b>				
1510 Office Furniture & Equipment	\$9,300.00	\$1,679.25	\$7,620.75	18.06%
<b>LUMP SUMS &amp; OTHER PURPOSES</b>				
1991 Interest Payments	\$0.00	0	\$0.00	0.00%

<b>CAMPAIGN DISCLOSURE GRAND TOTAL</b>	<b>FY12</b> <b>APPROPRIATION</b>	<b>YEAR TO DATE</b> <b>EXPENDITURE</b>	<b>OBLIGATED</b> <b>MONEY</b>	<b>BALANCE</b>	<b>% OF</b> <b>EXPENDITURE</b>
<b>PERSONAL SERVICE</b>	\$797,300.00	\$386,756.37		\$410,543.63	48.51%
<b>STATE PAID RETIREMENT</b>	\$31,900.00	\$15,476.78		\$16,423.22	48.52%
<b>RETIREMENT (incl. supplemental funding)</b>	\$0.00	\$0.00		\$0.00	0.00%
<b>SOCIAL SECURITY</b>	\$61,000.00	\$28,359.01		\$32,640.99	46.49%
<b>CONTRACTUAL SERVICE</b>	\$11,100.00	\$1,290.83		\$9,809.17	11.63%
<b>TRAVEL</b>	\$11,300.00	\$1,657.73		\$9,642.27	14.67%
<b>PRINTING</b>	\$22,000.00	\$5,994.99		\$16,005.01	27.25%
<b>EQUIPMENT</b>	\$9,300.00	\$1,679.25		\$7,620.75	18.06%
<b>INTEREST PAYMENTS</b>	\$0.00	\$0.00		\$0.00	0.00%
<b>TOTAL</b>	<b>\$943,900.00</b>	<b>\$441,214.96</b>	<b>\$0.00</b>	<b>\$502,685.04</b>	<b>46.74%</b>

**STATE BOARD OF ELECTIONS**  
**FY12 MONTHLY FISCAL REPORT**  
**General Revenue Fund**

**INFORMATION TECHNOLOGY**  
**MONTH ENDING: Jan. 31, 2012**

	<u>FY12</u> <u>APPROPRIATION</u>	<u>YEAR TO DATE</u> <u>EXPENDITURE</u>	<u>BALANCE</u>	<u>% OF</u> <u>EXPENDITURE</u>
<b>PERSONAL SERVICE</b>	\$718,600.00	\$379,627.00	\$338,973.00	52.83%
1129 State Paid Retirement	\$28,800.00	\$14,602.41	\$14,197.59	50.70%
1161 Retirement	\$0.00	\$0.00	\$0.00	0.00%
1161-0008 Compt. Supplemental Retirement	\$0.00	\$0.00	\$0.00	0.00%
1170 Social Security	\$55,000.00	\$28,327.77	\$26,672.23	51.51%
<b>CONTRACTUAL SERVICE</b>				
1145 Contractual Payroll				
1205 Freight Express & Drayage	\$100.00	\$14.25	\$85.75	14.25%
1221 Repair/Maint. Furn./Office Equipment	\$13,000.00	\$125.48	\$12,874.52	0.97%
1223 Repair/Maint. Real Property	\$1,200.00	\$1,117.83	\$82.17	0.00%
1225 Repair/Maint. EDP Equipment	\$61,100.00	\$1,628.00	\$59,472.00	2.66%
1230 In-House Repair of Equipment				
1234 Rental, Machinery and Mech. Equip				
1239 Rental, NEC				
1242 Auditing & Management Services	\$0.00	\$0.00	\$0.00	0.00%
1244 Legal Fees				
1245 Professional & Artistic Services, NEC	\$284,500.00	\$0.00	\$284,500.00	0.00%
1271 Surety Bond & Ins. Prem.	\$700.00	\$499.00	\$201.00	71.29%
1272 Travel & Expense Reimbursement (Vendor)				
1274 Reg Fees & Conf. Expenses (Vendor)	\$6,000.00	\$0.00	\$6,000.00	0.00%
1275 Subscription & Information Services	\$2,800.00	\$0.00	\$2,800.00	0.00%
1276 Reg. Fees & Conf. Expenses (Employee)				
1277 Association Dues				
1279 Employee Tuition and Fees	\$5,000.00	\$0.00	\$5,000.00	0.00%
1284 Computer Software	\$118,100.00	\$52,751.01	\$65,348.99	44.67%
1285 Operating Taxes, Licenses & Fees				
1286 Travel, Non-State Employee	\$200.00	\$194.02	\$5.98	97.01%
1289 Contractual Services, NEC	\$19,500.00	\$0.00	\$19,500.00	0.00%
<b>TRAVEL</b>				
1291 In-State	\$5,500.00	\$1,981.51	\$3,518.49	36.03%
1292 Out-of-State	\$5,400.00	\$0.00	\$5,400.00	0.00%
<b>PRINTING</b>	\$700.00	\$0.00	\$700.00	0.00%
<b>COMMODITIES</b>				
1304 Office/Library Supplies	\$20,800.00	\$8,736.85	\$12,063.15	42.00%
1332 Industrial & Shop Materials				
1394 Office/Library Equip. under \$100	\$400.00	\$397.98	\$2.02	99.50%
1395 Small Tools < \$100	\$100.00	\$82.35	\$17.65	82.35%
1398 Equipment, NEC	\$500.00	\$427.59	\$72.41	85.52%
1399 Commodities, NEC	\$200.00	\$113.81	\$86.19	56.91%
<b>EQUIPMENT</b>				
1510 Office Furniture & Equipment	\$400.00	\$332.58	\$67.42	0.00%
1515 EDP Equipment	\$138,900.00	\$48,620.00	\$90,280.00	35.00%
<b>LUMP SUMS &amp; OTHER PURPOSES</b>				
1991 Interest Payments	\$0.00	\$0.00	\$0.00	0.00%

	<u>FY12</u> <u>APPROPRIATION</u>	<u>YEAR TO DATE</u> <u>EXPENDITURE</u>	<u>OBLIGATED</u> <u>MONEY</u>	<u>BALANCE</u>	<u>% OF</u> <u>EXPENDITURE</u>
<b>INFORMATION TECHNOLOGY GRAND TOTAL</b>					
<b>PERSONAL SERVICE</b>	\$718,600.00	\$379,627.00		\$338,973.00	52.83%
<b>STATE PAID RETIREMENT</b>	\$28,800.00	\$14,602.41		\$14,197.59	50.70%
<b>RETIREMENT</b>	\$0.00	\$0.00		\$0.00	0.00%
<b>SOCIAL SECURITY</b>	\$55,000.00	\$28,327.77		\$26,672.23	51.51%
<b>CONTRACTUAL SERVICE</b>	\$512,200.00	\$56,329.59	\$35,240.00	\$420,630.41	11.00%
<b>TRAVEL</b>	\$10,900.00	\$1,981.51		\$8,918.49	18.18%
<b>PRINTING</b>	\$700.00	\$0.00		\$700.00	0.00%
<b>COMMODITIES</b>	\$22,000.00	\$9,758.58		\$12,241.42	44.36%
<b>EQUIPMENT</b>	\$139,300.00	\$48,952.58		\$90,347.42	35.14%
<b>INTEREST PAYMENTS</b>	\$0.00	\$0.00		\$0.00	0.00%
<b>TOTAL</b>	<b>\$1,487,500.00</b>	<b>\$539,579.44</b>	<b>\$35,240.00</b>	<b>\$912,680.56</b>	<b>36.27%</b>



**STATE BOARD OF ELECTIONS**  
**FY12 MONTHLY FISCAL REPORT**  
**General Revenue Fund**

<b>IVRS LUMP SUM</b> <b>MONTH ENDING: Jan. 31, 2012</b>	<b>YEAR TO DATE</b> <b>EXPENDITURE</b>
<b>PERSONAL SERVICE</b>	\$141,227.50
1129 State Paid Retirement	\$5,651.23
1161 Retirement	
1170 Social Security	\$10,211.41
<b>CONTRACTUAL SERVICE</b>	
1205 Freight Express & Drayage	
1221 Repair/Maint. Furn./Office Equipment	
1232 Rental Motor Vehicles	
1239 Rental, NEC	
1242 Auditing & Management Services	
1243 Book Binding Services	
1266 Court Reporting & Filing Services	
1274 Reg. Fees & Conf. Expenses (Vendor)	
1275 Subscriptions	
1276 Reg. Fees & Conf. Expenses (Employee)	
1277 Association Dues	
1279 Employee Tuition & Fees	
1280 Copying, Photographic & Printing Services	
1284 Computer Software	\$1,052.40
1286 Travel, Non-State Employee	
1289 Contractual Services, NEC	
<b>TRAVEL</b>	
<b>COMMODITIES</b>	
1304 Office Supplies	
1398 Equipment Less than \$100	
<b>EQUIPMENT</b>	
1510 Office Furniture & Equipment	
<b>LUMP SUMS &amp; OTHER PURPOSES</b>	
1991 Interest Payments	
<b>LOCAL GRANTS</b>	
4453 Reimbursement to Governmental Units	
4458 Services, NEC	
4470 Grants to Local Governments	
4479 Payments to Other State Agencies	
<b>SUPP. APPROP. - IVRS</b>	
<b>LUMP SUM - OPERATIONS APPROP FOR YEAR</b>	<b>\$328,500.00</b>
<b>TOTAL LUMP SUM - OPERATIONS EXPENDITURES</b>	<b>\$158,142.54</b>
<b>REMAINING LUMP SUM APPROPRIATION</b>	<b>\$170,357.46</b>
<b>LUMP SUM - GRANTS APPROP FOR YEAR</b>	<b>\$1,251,900.00</b>
<b>TOTAL LUMP SUM - GRANT EXPENDITURES</b>	<b>\$0.00</b>
<b>REMAINING LUMP SUM APPROPRIATION</b>	<b>\$1,251,900.00</b>
<b>LUMP SUM TOTAL APPROPRIATION</b>	<b>\$1,580,400.00</b>
<b>TOTAL LUMP SUM EXPENDITURES</b>	<b>\$158,142.54</b>
<b>REMAINING LUMP SUM APPROPRIATION</b>	<b>\$1,422,257.46</b>
<b>SUPP. APPROP - IVRS</b>	<b>\$1,000,000.00</b>
<b>TOTAL SUPP. APPROP. - IVRS</b>	<b>\$0.00</b>
<b>REMAINING SUPP. APPROP. - IVRS</b>	<b>\$1,000,000.00</b>

**STATE BOARD OF ELECTIONS**  
**FY12 MONTHLY FISCAL REPORT**  
 Help Illinois Vote Fund

AGENCY TOTALS	SECTION 101 Discretionary Funds	SECTION 102 Entitlement Payments	SECTION 261 EAID Disbursements	SECTION 251 Requirements	SECTION 251 Additional Requirements	TOTAL Fund Activity
<u>MONTH ENDING: Jan. 31, 2012</u>						
<b>CASH BALANCE AT BEGINNING OF YR</b>	<b>\$2,409,908.25</b>	<b>\$0.00</b>	<b>-\$80,713.82</b>	<b>\$5,700,043.64</b>	<b>\$11,787,751.01</b>	<b>\$19,816,989.08</b>
Program Revenues from Federal Govt			\$89,305.82			\$89,305.82
Miscellaneous Revenues						\$0.00
Interest Earned on IOC Balances	\$4,125.39			\$13,728.68	\$25,898.93	\$43,753.00
Interest Penalties Received						\$0.00
EAC-Mandated Transfers of Interest	-\$1,232,597.16			\$1,232,597.16		\$0.00
State Match Receipts						\$0.00
<b>TOTAL CASH REVENUES</b>	<b>-\$1,228,471.77</b>	<b>\$0.00</b>	<b>\$89,305.82</b>	<b>\$1,246,325.84</b>	<b>\$25,898.93</b>	<b>\$133,058.82</b>
<b>YEAR TO DATE CASH EXPENDITURES</b>						
PERSONAL SERVICE	\$43,078.00	\$0.00	\$0.00	\$0.00	\$0.00	\$43,078.00
STATE-PAID RETIREMENT	\$1,723.68	\$0.00	\$0.00	\$0.00	\$0.00	\$1,723.68
RETIREMENT	\$14,732.94	\$0.00	\$0.00	\$0.00	\$0.00	\$14,732.94
SOCIAL SECURITY	\$3,147.92	\$0.00	\$0.00	\$0.00	\$0.00	\$3,147.92
GROUP INSURANCE	\$13,213.34	\$0.00	\$0.00	\$0.00	\$0.00	\$13,213.34
CONTRACTUAL SERVICE	\$9,349.51	\$0.00	\$0.00	\$124,020.77	\$0.00	\$133,370.28
TRAVEL	\$13,841.86	\$0.00	\$0.00	\$0.00	\$0.00	\$13,841.86
PRINTING	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
COMMODITIES	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
EQUIPMENT	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
TELECOMMUNICATIONS	\$201.62	\$0.00	\$0.00	\$0.00	\$0.00	\$201.62
OPERATION OF AUTO. EQUIPMENT	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
INDIRECT COST REFUNDS	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
<b>SUB-TOTAL CASH EXPENDITURES (OPERATIONS)</b>	<b>\$99,288.87</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$124,020.77</b>	<b>\$0.00</b>	<b>\$223,309.64</b>
AWARDS & GRANTS	\$0.00	\$0.00	\$22,790.84	\$175,932.32	\$973,990.54	\$1,172,713.70
<b>TOTAL CASH EXPENDITURES</b>	<b>\$99,288.87</b>	<b>\$0.00</b>	<b>\$22,790.84</b>	<b>\$299,953.09</b>	<b>\$973,990.54</b>	<b>\$1,396,023.34</b>
<b>CASH BALANCE AT END OF MONTH</b>	<b>\$1,082,147.61</b>	<b>\$0.00</b>	<b>-\$14,198.84</b>	<b>\$6,646,416.39</b>	<b>\$10,839,659.40</b>	<b>\$18,554,024.56</b>

**STATE BOARD OF ELECTIONS**  
**FY12 MONTHLY FISCAL REPORT**  
**Help Illinois Vote Fund**

**SECTION 101 - DISCRETIONARY FUNDS**  
**MONTH ENDING: Jan. 31, 2012**

	<b>FY12 APPROPRIATION</b>	<b>YEAR TO DATE EXPENDITURE</b>
<b>PERSONAL SERVICE</b>	\$43,078.00	\$43,078.00
1129 State Paid Retirement	\$1,723.68	\$1,723.68
1161 Retirement	\$14,732.94	\$14,732.94
1170 Social Security	\$3,147.92	\$3,147.92
1180 Group Insurance	\$13,213.34	\$13,213.34
<b>CONTRACTUAL SERVICE</b>		
1205 Freight Express/Drayage	\$73.51	\$73.51
1223 Repair & Maintenance Real Property		
1225 Repair & Maintenance, EDP Equip.	\$7,388.00	\$7,388.00
1231 Rental, Office Equipment		
1232 Rental, Motor Vehicles		
1237 Rental, Film & Audio/Visual Aids		
1239 Rental, NEC		
1242 Auditing & Management Services		
1243 Book Binding & Processing Services		
1244 Legal Fees		
1245 Professional & Technical Services, NEC		
1261 Postage		
1266 Court Reporting & Filing Services		
1273 Advertising		
1274 Registration Fees & Conf. Exp. (Vendor)	\$495.00	\$495.00
1276 Registration Fees & Conf. Exp. (Employee)	\$1,393.00	\$1,393.00
1280 Copying, Photographic & Printing Serv.		
1284 Computer Software		
1286 Travel, Reimb. to Non-State Employees		
1289 Contractual Services, NEC		
<b>TRAVEL</b>		
1291 In-State & 1293 In-State (to vendor)	\$11,070.85	\$11,070.85
1292 Out-of-State	\$2,771.01	\$2,771.01
<b>PRINTING</b>		
<b>COMMODITIES</b>		
1304 Office/Library Supplies		
1308 Educational & Instructional Materials		
1394 Office/Library Equip., Not Exceed. \$100		
1398 Equipment, NEC		
1399 Commodities, NEC		
<b>EQUIPMENT</b>		
1510 Office Furniture & Equipment		
1515 EDP Equipment		
1561 Training Equipment		
6625 Leasehold Improvements		
<b>TELECOMMUNICATIONS</b>		
1721 Rental, Telephone Serv. & Equip.	\$201.62	\$201.62
1722 Rental, Data Comm. Serv. & Equip.		
1728 Video Conferencing		
1729 Rental, Other Comm. Serv. & Equip.		
1730 Parts & Supplies for Telephone		
1740 Answering & Pag. Comm. Serv. Equip.		
1750 Telephone, Data, Radio & Other Equip.		
1799 Telecommunication Services, NEC		
<b>OPERATION OF AUTO EQUIPMENT</b>		
1893 Repair & Maint., Automotive Equipment		
1894 Parts & Fittings, Automotive Equipment		
1896 Gasoline, Oil & Antifreeze		
1898 Automotive Services, NEC		
1899 Automotive Expenses, NEC		
<b>INDIRECT COST REFUNDS</b>		
1993 Indirect Cost Allocation Refund		
<b>GRANTS</b>		
4453 Reimbursement to Governmental Units		
4458 Services, NEC		
4470 Grants to Local Governments (PPA)		
4470 Grants to Local Governments (EAL)		
4470 Grants to Local Governments (AAG)		
4470 Grants to Local Governments		
4479 Payments to Other State Agencies		

<b>SECTION 101 GRAND TOTAL</b>	<b>FY12 APPROPRIATION</b>	<b>YEAR TO DATE EXPENDITURE</b>	<b>OBLIGATED MONEY</b>
<b>PERSONAL SERVICE</b>	\$43,078.00	\$43,078.00	
<b>STATE PAID RETIREMENT</b>	\$1,723.68	\$1,723.68	
<b>RETIREMENT</b>	\$14,732.94	\$14,732.94	
<b>SOCIAL SECURITY</b>	\$3,147.92	\$3,147.92	
<b>GROUP INSURANCE</b>	\$13,213.34	\$13,213.34	
<b>CONTRACTUAL SERVICE</b>	\$9,349.51	\$9,349.51	
<b>TRAVEL</b>	\$13,841.86	\$13,841.86	
<b>PRINTING</b>	\$0.00	\$0.00	
<b>COMMODITIES</b>	\$0.00	\$0.00	
<b>EQUIPMENT</b>	\$0.00	\$0.00	
<b>TELECOMMUNICATIONS</b>	\$201.62	\$201.62	
<b>OPERATION OF AUTO EQUIPMENT</b>	\$0.00	\$0.00	
<b>INDIRECT COST REFUNDS</b>	\$0.00	\$0.00	
<b>GRANTS</b>	\$0.00	\$0.00	
<b>TOTAL</b>	<b>\$99,288.87</b>	<b>\$99,288.87</b>	<b>\$0.00</b>



**STATE BOARD OF ELECTIONS**  
**FY12 MONTHLY FISCAL REPORT**  
**Help Illinois Vote Fund**

**SECTION 102 - ENTITLEMENT PAYMENTS**  
**MONTH ENDING: Jan. 31, 2012**

**FY12**  
**APPROPRIATION**

**YEAR TO DATE**  
**EXPENDITURE**

**PERSONAL SERVICE**

1129 State Paid Retirement  
 1161 Retirement  
 1170 Social Security

**CONTRACTUAL SERVICE**

1205 Freight Express/Drayage  
 1225 Repair & Maintenance, EDP Equip.  
 1231 Rental, Office Equipment  
 1237 Rental, Film & Audio/Visual Aids  
 1239 Rental, NEC  
 1242 Auditing & Management Services  
 1243 Book Binding & Processing Services  
 1244 Legal Fees  
 1245 Professional & Technical Services, NEC  
 1261 Postage  
 1266 Court Reporting & Filing Services  
 1274 Registration Fees & Conf. Exp. (Vendor)  
 1276 Registration Fees & Conf. Exp. (Employee)  
 1280 Copying, Photographic & Printing Serv.  
 1284 Computer Software  
 1289 Contractual Services, NEC

**TRAVEL**

1291 In-State  
 1292 Out-of-State

**PRINTING**

**COMMODITIES**

1304 Office/Library Supplies  
 1394 Office/Library Equip., Not Exceed. \$100  
 1398 Equipment, NEC  
 1399 Commodities, NEC

**EQUIPMENT**

1510 Office Furniture & Equipment  
 1515 EDP Equipment  
 1599 Equipment, NEC

**TELECOMMUNICATIONS**

1721 Rental, Telephone Serv. & Equip.  
 1722 Rental, Data Comm. Serv. & Equip.  
 1728 Video Conferencing  
 1729 Rental, Other Comm. Serv. & Equip.  
 1730 Parts & Supplies for Telephone  
 1740 Answering & Pag. Comm. Serv. Equip.  
 1750 Telephone, Data, Radio & Other Equip.  
 1799 Telecommunication Services, NEC

**OPERATION OF AUTO EQUIPMENT**

1893 Repair & Maint., Automotive Equipment  
 1894 Parts & Fittings, Automotive Equipment  
 1896 Gasoline, Oil & Antifreeze  
 1898 Automotive Services, NEC  
 1899 Automotive Expenses, NEC

**GRANTS**

4453 Reimbursement to Governmental Units  
 4458 Services, NEC  
 4470 Grants to Local Governments, NEC

<b>SECTION 102 GRAND TOTAL</b>	<b>FY12 APPROPRIATION</b>	<b>YEAR TO DATE EXPENDITURE</b>	<b>OBLIGATED MONEY</b>
<b>PERSONAL SERVICE</b>	\$0.00	\$0.00	
<b>STATE PAID RETIREMENT</b>	\$0.00	\$0.00	
<b>RETIREMENT</b>	\$0.00	\$0.00	
<b>SOCIAL SECURITY</b>	\$0.00	\$0.00	
<b>CONTRACTUAL SERVICE</b>	\$0.00	\$0.00	
<b>TRAVEL</b>	\$0.00	\$0.00	
<b>PRINTING</b>	\$0.00	\$0.00	
<b>COMMODITIES</b>	\$0.00	\$0.00	
<b>EQUIPMENT</b>	\$0.00	\$0.00	
<b>TELECOMMUNICATIONS</b>	\$0.00	\$0.00	
<b>OPERATION OF AUTO EQUIPMENT</b>	\$0.00	\$0.00	
<b>GRANTS</b>	\$0.00	\$0.00	
<b>TOTAL</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>

**STATE BOARD OF ELECTIONS**  
**FY12 MONTHLY FISCAL REPORT**  
**Help Illinois Vote Fund**

**SECTION 261 - EAID DISBURSEMENTS**  
**MONTH ENDING: Jan. 31, 2012**

**FY12**  
**APPROPRIATION**

**YEAR TO DATE**  
**EXPENDITURE**

**PERSONAL SERVICE**

1129 State Paid Retirement  
1161 Retirement  
1170 Social Security

**CONTRACTUAL SERVICE**

1205 Freight Express/Drayage  
1225 Repair & Maintenance, EDP Equip.  
1231 Rental, Office Equipment  
1237 Rental, Film & Audio/Visual Aids  
1239 Rental, NEC  
1242 Auditing & Management Services  
1243 Book Binding & Processing Services  
1244 Legal Fees  
1245 Professional & Technical Services, NEC  
1261 Postage  
1266 Court Reporting & Filing Services  
1274 Registration Fees & Conf. Exp. (Vendor)  
1276 Registration Fees & Conf. Exp. (Employee)  
1280 Copying, Photographic & Printing Serv.  
1284 Computer Software  
1289 Contractual Services, NEC

**TRAVEL**

1291 In-State  
1292 Out-of-State

**PRINTING**

**COMMODITIES**

1304 Office/Library Supplies  
1394 Office/Library Equip., Not exc. \$100  
1398 Equipment, NEC  
1399 Commodities, NEC

**EQUIPMENT**

1510 Office Furniture & Equipment  
1515 EDP Equipment  
1599 Equipment, NEC

**TELECOMMUNICATIONS**

1721 Rental, Telephone Serv. & Equip.  
1722 Rental, Data Comm. Serv. & Equip.  
1728 Video Conferencing  
1729 Rental, Other Comm. Serv. & Equip.  
1730 Parts & Supplies for Telephone  
1740 Answering & Pag. Comm. Serv. Equip.  
1750 Telephone, Data, Radio & Other Equip.  
1799 Telecommunication Services, NEC

**OPERATION OF AUTO EQUIPMENT**

1893 Repair & Maint., Automotive Equipment  
1894 Parts & Fittings, Automotive Equipment  
1896 Gasoline, Oil & Antifreeze  
1898 Automotive Services, NEC  
1899 Automotive Expenses, NEC

**GRANTS**

4453 Reimbursement to Governmental Units  
4470 Grants to Local Governments (VAID II)  
4470 Grants to Local Governments (VAID III)

\$22,790.84

\$22,790.84

**SECTION 261 GRAND TOTAL**

**FY12**  
**APPROPRIATION**

**YEAR TO DATE**  
**EXPENDITURE**

**OBLIGATED**  
**MONEY**

**PERSONAL SERVICE**

\$0.00

\$0.00

**STATE PAID RETIREMENT**

\$0.00

\$0.00

**RETIREMENT**

\$0.00

\$0.00

**SOCIAL SECURITY**

\$0.00

\$0.00

**CONTRACTUAL SERVICE**

\$0.00

\$0.00

**TRAVEL**

\$0.00

\$0.00

**PRINTING**

\$0.00

\$0.00

**COMMODITIES**

\$0.00

\$0.00

**EQUIPMENT**

\$0.00

\$0.00

**TELECOMMUNICATIONS**

\$0.00

\$0.00

**OPERATION OF AUTO EQUIPMENT**

\$0.00

\$0.00

**GRANTS**

\$22,790.84

\$22,790.84

**TOTAL**

\$22,790.84

\$22,790.84

\$0.00



**STATE BOARD OF ELECTIONS**  
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**Help Illinois Vote Fund**

SECTION 251 - REQUIREMENTS MONTH ENDING: Jan. 31, 2012	FY12 APPROPRIATION	YEAR TO DATE EXPENDITURE	
<b>PERSONAL SERVICE</b>			
1129 State Paid Retirement			
1161 Retirement			
1170 Social Security			
1180 Group Insurance			
<b>CONTRACTUAL SERVICE</b>			
1205 Freight Express/Orayage			
1223 Repair & Maintenance Real Property			
1225 Repair & Maintenance, EOP Equip.			
1231 Rental, Office Equipment			
1232 Rental, Motor Vehicles			
1237 Rental, Film & Audio/Visual Aids			
1239 Rental, NEC			
1242 Auditing & Management Services	\$85,209.92	\$85,209.92	
1243 Book Binding & Processing Services			
1244 Legal Fees			
1245 Professional & Technical Services, NEC	\$5,801.40	\$5,801.40	
1261 Postage			
1266 Court Reporting & Filing Services			
1273 Advertising			
1274 Registration Fees & Conf. Exp. (Vendor)			
1276 Registration Fees & Conf. Exp. (Employee)			
1280 Copying, Photographic & Printing Serv.			
1284 Computer Software	\$33,009.45	\$33,009.45	
1289 Contractual Services, NEC			
<b>TRAVEL</b>			
1291 In-State			
1292 Out-of-State			
<b>PRINTING</b>			
<b>COMMODITIES</b>			
1304 Office/Library Supplies			
1308 Educational & Instructional Materials			
1394 Office/Library Equip., Not Exceed. \$100			
1398 Equipment, NEC			
1399 Commodities, NEC			
<b>EQUIPMENT</b>			
1510 Office Furniture & Equipment			
1515 EOP Equipment			
1561 Training Equipment			
6625 Leasehold Improvements			
<b>TELECOMMUNICATIONS</b>			
1721 Rental, Telephone Serv. & Equip.			
1722 Rental, Data Comm. Serv. & Equip.			
1728 Video Conferencing			
1729 Rental, Other Comm. Serv. & Equip.			
1730 Parts & Supplies for Telephone			
1740 Answering & Pag. Comm. Serv. Equip.			
1750 Telephone, Data, Radio & Other Equip.			
1799 Telecommunication Services, NEC			
<b>OPERATION OF AUTO EQUIPMENT</b>			
1893 Repair & Maint., Automotive Equipment			
1894 Parts & Fittings, Automotive Equipment			
1896 Gasoline, Oil & Antifreeze			
1898 Automotive Services, NEC			
1899 Automotive Expenses, NEC			
<b>INDIRECT COST REFUNDS</b>			
1993 Indirect Cost Allocation Refund			
<b>GRANTS</b>			
4453 Reimbursement to Govt Units (AVE)			
4453 Reimbursement to Govt Units (Phase II)			
4453 Reimbursement to Govt Units (ALA)	\$175,932.32	\$175,932.32	
4453 Reimbursement to Govt Units (EOG)			
4470 Grants to Local Governments (VRS)			
4479 Payments to Other State Agencies			
<b>SECTION 251 (OLD REQ) GRAND TOTAL</b>	<b>FY12 APPROPRIATION</b>	<b>YEAR TO DATE EXPENDITURE</b>	<b>OBLIGATED MONEY</b>
<b>PERSONAL SERVICE</b>	\$0.00	\$0.00	
<b>STATE PAID RETIREMENT</b>	\$0.00	\$0.00	
<b>RETIREMENT</b>	\$0.00	\$0.00	
<b>SOCIAL SECURITY</b>	\$0.00	\$0.00	
<b>GROUP INSURANCE</b>	\$0.00	\$0.00	
<b>CONTRACTUAL SERVICE</b>	\$124,020.77	\$124,020.77	\$1,156,262.28
<b>TRAVEL</b>	\$0.00	\$0.00	
<b>PRINTING</b>	\$0.00	\$0.00	
<b>COMMODITIES</b>	\$0.00	\$0.00	
<b>EQUIPMENT</b>	\$0.00	\$0.00	
<b>TELECOMMUNICATIONS</b>	\$0.00	\$0.00	
<b>OPERATION OF AUTO EQUIPMENT</b>	\$0.00	\$0.00	
<b>INDIRECT COST REFUNDS</b>	\$0.00	\$0.00	
<b>GRANTS</b>	\$175,932.32	\$175,932.32	
<b>TOTAL</b>	<b>\$299,953.09</b>	<b>\$299,953.09</b>	<b>\$1,156,262.28</b>

**STATE BOARD OF ELECTIONS**  
**FY12 MONTHLY FISCAL REPORT**  
Help Illinois Vote Fund

**SECTION 251 - ADDITIONAL REQUIREMENTS**  
**MONTH ENDING: Jan. 31, 2012**

**FY12**  
**APPROPRIATION**

**YEAR TO DATE**  
**EXPENDITURE**

**PERSONAL SERVICE**

1129 State Paid Retirement  
1161 Retirement  
1170 Social Security  
1180 Group Insurance

**CONTRACTUAL SERVICE**

1205 Freight Express/Drayage  
1223 Repair & Maintenance Real Property  
1225 Repair & Maintenance, EDP Equip.  
1231 Rental, Office Equipment  
1232 Rental, Motor Vehicles  
1237 Rental, Film & Audio/Visual Aids  
1239 Rental, NEC  
1242 Auditing & Management Services  
1243 Book Binding & Processing Services  
1244 Legal Fees  
1245 Professional & Technical Services, NEC  
1261 Postage  
1266 Court Reporting & Filing Services  
1273 Advertising  
1274 Registration Fees & Conf. Exp. (Vendor)  
1276 Registration Fees & Conf. Exp. (Employee)  
1280 Copying, Photographic & Printing Serv.  
1284 Computer Software  
1289 Contractual Services, NEC

**TRAVEL**

1291 In-State  
1292 Out-of-State

**PRINTING**

**COMMODITIES**

1304 Office/Library Supplies  
1308 Educational & Instructional Materials  
1394 Office/Library Equip., Not Exceed. \$100  
1398 Equipment, NEC  
1399 Commodities, NEC

**EQUIPMENT**

1510 Office Furniture & Equipment  
1515 EDP Equipment  
1561 Training Equipment  
6625 Leasehold Improvements

**TELECOMMUNICATIONS**

1721 Rental, Telephone Serv. & Equip.  
1722 Rental, Data Comm. Serv. & Equip.  
1728 Video Conferencing  
1729 Rental, Other Comm. Serv. & Equip.  
1730 Parts & Supplies for Telephone  
1740 Answering & Pag. Comm. Serv. Equip.  
1750 Telephone, Data, Radio & Other Equip.  
1799 Telecommunication Services, NEC

**OPERATION OF AUTO EQUIPMENT**

1893 Repair & Maint., Automotive Equipment  
1894 Parts & Fittings, Automotive Equipment  
1896 Gasoline, Oil & Antifreeze  
1898 Automotive Services, NEC  
1899 Automotive Expenses, NEC

**INDIRECT COST REFUNDS**

1993 Indirect Cost Allocation Refund

**GRANTS**

4453 Reimbursement to Govt Units (AVE)  
4453 Reimbursement to Govt Units (Phase II)  
4453 Reimbursement to Govt Units (ALA)  
4453 Reimbursement to Govt Units (EDG)  
4470 Grants to Local Governments (VRS)  
4479 Payments to Other State Agencies

\$973,990.54

\$973,990.54

**SECTION 251 (NEW REQ) GRAND TOTAL**

**FY12**  
**APPROPRIATION**

**YEAR TO DATE**  
**EXPENDITURE**

**OBLIGATED**  
**MONEY**

PERSONAL SERVICE	\$0.00	\$0.00
STATE PAID RETIREMENT	\$0.00	\$0.00
RETIREMENT	\$0.00	\$0.00
SOCIAL SECURITY	\$0.00	\$0.00
GROUP INSURANCE	\$0.00	\$0.00
CONTRACTUAL SERVICE	\$0.00	\$0.00
TRAVEL	\$0.00	\$0.00
PRINTING	\$0.00	\$0.00
COMMODITIES	\$0.00	\$0.00
EQUIPMENT	\$0.00	\$0.00
TELECOMMUNICATIONS	\$0.00	\$0.00
OPERATION OF AUTO EQUIPMENT	\$0.00	\$0.00
INDIRECT COST REFUNDS	\$0.00	\$0.00
GRANTS	\$973,990.54	\$973,990.54

<b>TOTAL</b>	<b>\$973,990.54</b>	<b>\$973,990.54</b>	<b>\$0.00</b>
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Start Date	End Date	Activity	Division
1 /24/2012		Send each election authority a current computerized voter registration file error report, for the December 15, 2011 submission.	VRS
1 /25/2012		Governor's State of the State Message Constitution Art. V Sec. 13. (4th Wednesday in January)	LEG
1 /25/2012		Send notification to election authorities re: Voting Equipment Test. 10 ILCS 5/24B-9, 24C-9	VOSS
1 /27/2012		Bill Introduction Deadline. Last day to file a legislative bill with either HOUSE. (H/S Rule) (date subject to change)	LEG
1 /30/2012		Election authorities must submit to SBE a copy of the specimen ballot that is to be tested for General Primary. 10 ILCS 5/24B-9	VOSS
2 /1 /2012		Begin preparation of packet materials for 2012 MARCH QUARTERLY REPORT OF CAMPAIGN CONTRIBUTIONS AND EXPENDITURES. Packets to be mailed or e-mailed March 2, 2012. 10 ILCS 5/9-15.	CAMP DISC
2 /1 /2012		Date upon which the State Board of Election shall notify political committees that have failed to file the 2011 DECEMBER QUARTERLY REPORT OF CAMPAIGN CONTRIBUTIONS AND EXPENDITURES by the deadline that an assessment will be levied against the committee for failure to file the report in a timely fashion. Rules and Regulations 125.425.	CAMP DISC
2 /3 /2012		Each election authority shall send to the SBE written notice on a form prescribed and supplied by the Board of the type of voting system it will use for the General Primary Election. (Rules and Regulations)	VOSS
2 /3 /2012		Each election authority shall send to the SBE written notice on a form prescribed and supplied by the Board the serial numbers for the early voting systems it will use in the General Primary Election.	VOSS

Start Date	End Date	Activity	Division
2 /4 /2012		Last day for the election authority to have in his office a sufficient number of ballots printed and available for mailing to persons in the United States Service or their spouse and dependents, citizens temporarily residing outside the territorial limits of the United States and nonresident civilians. [10 ILCS 5/7-16, 16-5.01]	LEG
2 /8 /2012		The Board will refer to the Attorney General of Illinois for compliance enforcement each jurisdiction whose computerized voter registration submission has not been received for the December 15, 2011 submission.	VRS
2 /14/2012		Remind the Driver and Motor Vehicle Services of the registration cut off of 27 days before an election.	LEG
2 /14/2012		Notify election authorities of their need to give public test notification and re-tabulation notification for General Primary.	VOSS
2 /15/2012		The date that a second letter goes out to all committees that have failed to file the report advising them that an assessment for late filing is continuing and that a complaint will be filed if the committee fails to file the 2011 DECEMBER QUARTERLY REPORT OF CAMPAIGN CONTRIBUTIONS AND EXPENDITURES. Rules and Regulations 125.425.	CAMP DISC
2 /15/2012		Governor's budget message. [15 ILCS 20/50-5] (3rd Wednesday)	LEG
2 /17/2012		Begin testing of the automatic tabulating equipment. 10 ILCS 5/24B-9, 24C-9	VOSS
2 /19/2012		First day of period during which independent expenditures of \$1000 or more made by a political committee must be reported electronically within five business days after making the independent expenditure. 10 ILCS 5/9-10(e).	CAMP DISC
2 /19/2012		First day of period during which any receipt of a contribution of \$1000 or more by a political committee participating in the general primary election, must be reported within two business days following its receipt. 10 ILCS 5/9-10(e)	CAMP DISC
2 /20/2012		Complete draft of Board's field and office plan for Election Day.	EL INFO



Start Date	End Date	Activity	Division
2 /21/2012		Last day for voter registration or transfer of registration prior to March 20th General Primary Election. 10 ILCS 5/4,5,6	EL INFO
2 /22/2012		BOARD MEETING. 10 ILCS 5/1A7	EXEC DIR
2 /22/2012		First day for grace period registration, change of address and voting begins. 10 ILCS 5/4-50, 5-50, 6-100	EL INFO
2 /22/2012		Notify election authorities to forward a copy of their computer operator's log or analogous information following the proclamation of election results. 10 ILCS 5/24A-13, 24B-13, 24C-14 (Rules and Regulations)	VOSS
2 /24/2012		Committee Deadline Final day for SENATE committee action on SENATE bills. (Senate Rule) Final day for HOUSE committee action on HOUSE bills. (House Rule)	LEG
2 /27/2012		First day for early voting at the office of the Election Authority and locations designated by the Election Authority. 10 ILCS 5/19A-15	EL INFO
2 /27/2012		Have petitions available for new political party and independent candidates. (Candidates may begin to circulate petitions March 27, 2012). 10 ILCS 5/1A-8 (4)	EL INFO
2 /28/2012		Complete profile of pre-election Referenda. 10 ILCS 5/1A-8 (1, 2, 12)	VOSS
2 /28/2012		Send letter to those jurisdictions where in-precinct counting equipment is utilized requesting a list of those precincts involved in the election. 10 ILCS 5/24B-15, 24C-15	VOSS
3 /2 /2012		The last day that the State Board of Elections shall notify political committees that have filed a Statement of Organization of their obligation to file the 2012 MARCH QUARTERLY REPORT OF CAMPAIGN CONTRIBUTIONS AND EXPENDITURES. 10 ILCS 5/9-10, 9-15(9).	CAMP DISC
3 /9 /2012		Notify state central committee chairmen of the time and place of SBE's random selection procedure for the election jurisdictions' 5% re-tabulation of precincts. 10 ILCS 5/24B-15 and 5/24C-15	VOSS

4. Follow up.
5. Comments from the general public.
6. Next Board meeting at 10:30 a.m. on Monday, March 19, 2012 in the Agency's new Springfield office located at 2329 S. MacArthur Boulevard.
7. Executive session.